

**STAFF'S SUGGESTED MODIFICATIONS TO  
PROPOSED REGULATIONS**

## **Attachment A**

Modifications to Malfunction and Diagnostic System Requirements for 2004 and Subsequent Model-Year Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles and Engines (OBD II), Section 1968.2, Title 13, California Code Regulations

## Table of Contents

<b>(a) PURPOSE</b> .....	1
<b>(b) APPLICABILITY</b> .....	1
<b>(c) DEFINITIONS</b> .....	1
<b>(d) GENERAL REQUIREMENTS</b> .....	5
(1) The OBD II System. ....	6
(2) MIL and Fault Code Requirements. ....	6
(3) Monitoring Conditions. ....	8
(4) In-Use Monitor Performance Ratio Definition.....	10
(5) Standardized tracking and reporting of monitor performance.....	14
(6) Enforcement Testing.....	16
<b>(e) MONITORING REQUIREMENTS</b> .....	16
(1) CATALYST MONITORING .....	16
(1.5) CATALYST MONITORING FOR DIESELS .....	19
(2) HEATED CATALYST MONITORING .....	21
(3) MISFIRE MONITORING .....	22
(3.5) MISFIRE MONITORING FOR DIESELS .....	28
(4) EVAPORATIVE SYSTEM MONITORING .....	29
(5) SECONDARY AIR SYSTEM MONITORING.....	31
(6) FUEL SYSTEM MONITORING .....	33
(7) OXYGEN SENSOR MONITORING .....	34
(8) EXHAUST GAS RECIRCULATION (EGR) SYSTEM MONITORING .....	37
(9) POSITIVE CRANKCASE VENTILATION (PCV) SYSTEM MONITORING .....	38
(10) ENGINE COOLING SYSTEM MONITORING .....	39
(11) COLD START EMISSION REDUCTION STRATEGY MONITORING..	43
(12) AIR CONDITIONING (A/C) SYSTEM COMPONENT MONITORING...	43
(13) VARIABLE VALVE TIMING AND/OR CONTROL (VVT) SYSTEM MONITORING .....	44
(14) DIRECT OZONE REDUCTION (DOR) SYSTEM MONITORING .....	45
(15) PARTICULATE MATTER (PM) TRAP MONITORING.....	46
(16) COMPREHENSIVE COMPONENT MONITORING.....	47
(17) OTHER EMISSION CONTROL OR SOURCE SYSTEM MONITORING .....	50
(18) EXCEPTIONS TO MONITORING REQUIREMENTS.....	51
<b>(f) STANDARDIZATION REQUIREMENTS</b> .....	53
(1) Reference Documents: .....	53
(2) Diagnostic Connector:.....	54
(3) Communications to a Scan Tool: .....	55
(4) Required Emission Related Functions: .....	55
(5) In-use Performance Ratio Tracking Requirements .....	60
(6) Service Information: .....	61
(7) Exceptions to Standardization Requirements.....	62
<b>(g) MONITORING SYSTEM DEMONSTRATION REQUIREMENTS FOR CERTIFICATION</b> .....	63

(1) General. ....	63
(2) Selection of Test Vehicles: .....	63
(3) Required Testing:.....	63
(4) Testing Protocol: .....	65
(5) Evaluation Protocol: .....	66
(6) Confirmatory Testing:.....	67
<b>(h) CERTIFICATION DOCUMENTATION .....</b>	<b>68</b>
<b>(i) DEFICIENCIES.....</b>	<b>71</b>
<b>(j) PRODUCTION VEHICLE EVALUATION TESTING .....</b>	<b>72</b>
(1) Verification of Standardized Requirements .....	72
(2) Verification of Monitoring Requirements .....	74
(3) Verification and Reporting of In-use Monitoring Performance .....	75

## **§1968.2. Malfunction and Diagnostic System Requirements--2004 and Subsequent Model-Year Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles and Engines**

### **(a) PURPOSE**

The purpose of this regulation is to establish emission standards and other requirements for onboard diagnostic systems (OBD II systems) that are installed on 2004 and subsequent model-year passenger cars, light-duty trucks, and medium-duty vehicles and engines certified for sale in California. The OBD II systems, through the use of an onboard computer(s), shall monitor emission systems in-use for the actual life of the vehicle and shall be capable of detecting malfunctions of the monitored emission systems, illuminating a malfunction indicator light (MIL) to notify the vehicle operator of detected malfunctions, and storing fault codes identifying the detected malfunctions.

### **(b) APPLICABILITY**

Except as specified elsewhere in this regulation (title 13, CCR section 1968.2), all 2004 and subsequent model-year vehicles, defined as passenger cars, light-duty trucks, and medium-duty vehicles, including medium-duty vehicles with engines certified on an engine dynamometer and medium-duty passenger vehicles, shall be equipped with an OBD II system and shall meet all applicable requirements of this regulation (title 13, CCR section 1968.2).

### **(c) DEFINITIONS**

- (1) "Actual life" refers to the entire period that a vehicle is operated on public roads in California up to the time a vehicle is retired from use.
- (2) "Alternate phase-in" is a phase-in schedule that achieves equivalent compliance volume by the end of the last year of a scheduled phase-in provided in this regulation. The compliance volume is the number calculated by multiplying the percent of vehicles (based on the manufacturer's projected sales volume of all vehicles) meeting the new requirements per year by the number of years implemented prior to and including the last year of the scheduled phase-in and then summing these yearly results to determine a cumulative total (e.g., a three year, 30/60/100 percent scheduled phase-in would be calculated as  $(30\% \times 3 \text{ years}) + (60\% \times 2 \text{ years}) + (100\% \times 1 \text{ year}) = 310$ ). On phase-ins scheduled to begin prior to the 2004 model year, manufacturers are allowed to include vehicles introduced before the first year of the scheduled phase-in (e.g., in the previous example, 10 percent introduced one year before the scheduled phase-in begins would be calculated as  $(10\% \times 4 \text{ years})$  and added to the cumulative total). However, on phase-ins scheduled to begin in 2004 or subsequent model years, manufacturers are only allowed to include vehicles introduced up to one model year before the first year of the scheduled phase-in. The Executive Officer shall consider acceptable any alternate phase-in which results in an equal or larger cumulative total by the end of the last year of the scheduled phase-in; however, all vehicles shall comply with the respective requirements subject to the phase-in within one model year following the last year of the scheduled phase-in.

- (3) “Base fuel schedule” refers to the fuel calibration schedule programmed into the Powertrain Control Module or PROM when manufactured or when updated by some off-board source, prior to any learned on-board correction.
- (4) “Calculated load value” refers to an indication of the percent engine capacity that is being used and is defined in [SAE J1979/ISO 15031-5](#), incorporated by reference (section (f)(1.9)<sup>1</sup>). For diesel applications, the calculated load value is determined by the ratio of current output torque to maximum output torque at current engine speed.
- (5) “Confirmed fault code” is defined as the diagnostic trouble code stored when an OBD II system has confirmed that a malfunction exists (e.g., typically on the second driving cycle that the malfunction is detected) in accordance with the requirements of sections (e) and (f)(4.4).
- (6) “Continuously,” if used in the context of monitoring conditions for circuit continuity, lack of circuit continuity, circuit faults, and out-of-range values, means sampling at a rate no less than two samples per second. If for engine control purposes, a computer input component is sampled less frequently, the signal of the component may instead be evaluated each time sampling occurs.
- (7) “Deactivate” means to turn-off, shutdown, desensitize, or otherwise make inoperable through software programming or other means during the actual life of the vehicle.
- (8) “Diagnostic or emission critical” electronic powertrain control unit refers to the engine and transmission control unit(s). For the 2005 and subsequent model years, it also includes any other on-board electronic powertrain control unit containing software that has primary control over any of the monitors required by sections (e)(1.0) through (e)(15.0) and (e)(17.0) or has primary control over the diagnostics for more than two of the components required to be monitored by section (e)(16.0).
- (9) “Diesel engines” refers to engines using a compression ignition thermodynamic cycle.
- (10) “Driving cycle” consists of engine startup and engine shutoff and includes the period of engine off time up to the next engine startup. For vehicles that employ engine shutoff strategies (e.g., engine shutoff at idle), the manufacturer may request Executive Officer approval to use an alternate definition for driving cycle (e.g., key on and key off). Executive Officer approval of the alternate definition shall be based on equivalence to engine startup and engine shutoff signaling the beginning and ending of a single driving event for a conventional vehicle. Engine restarts following an engine shut-off that has been neither commanded by the vehicle operator nor by the engine control strategy but caused by an event such as an engine stall may be considered a new driving cycle or a continuation of the existing driving cycle.
- (11) “Engine misfire” means lack of combustion in the cylinder due to absence of spark, poor fuel metering, poor compression, or any other cause. This does not include lack of combustion events in non-active cylinders due to default fuel shut-off or cylinder deactivation strategies.
- (12) “Engine start” is defined as the point when the engine reaches a speed 150 rpm below the normal, warmed-up idle speed (as determined in the drive position for

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<sup>1</sup> Unless otherwise noted, all section references refer to section 1968.2 of title 13, CCR.

- vehicles equipped with an automatic transmission). For hybrid vehicles or for engines employing alternate engine start hardware or strategies (e.g., integrated starter and generators, etc.), the manufacturer may request Executive Officer approval to use an alternate definition for engine start (e.g., ignition key “on”). Executive Officer approval of the alternate definition shall be based on equivalence to an engine start for a conventional vehicle.
- (13) “Fault memory” means information pertaining to malfunctions stored in the onboard computer, including fault codes, stored engine conditions, and MIL status.
  - (14) “Federal Test Procedure (FTP) test” refers to an exhaust emission test conducted according to the test procedures incorporated by reference in title 13, CCR section 1961(d) that is used to determine compliance with the FTP standard to which a vehicle is certified.
    - (14.1) “FTP cycle”. For passenger vehicles, light-duty trucks, and medium-duty vehicles certified on a chassis dynamometer, FTP cycle refers to the driving schedule in Code of Federal Regulations (CFR) 40, Appendix 1, Part 86, section (a) entitled, “EPA Urban Dynamometer Driving Schedule for Light-Duty Vehicles and Light-Duty Trucks.” For medium-duty engines certified on an engine dynamometer, FTP cycle refers to the engine dynamometer schedule in CFR 40, Appendix 1, Part 86, section (f)(1), entitled, “EPA Engine Dynamometer Schedule for Heavy-Duty Otto-Cycle Engines,” or section (f)(2), entitled, “EPA Engine Dynamometer Schedule for Heavy-Duty Diesel Engines.”
    - (14.2) “FTP standard” refers to the certification tailpipe exhaust emission standards (both 50,000 mile and FTP full useful life standards) and test procedures applicable to the class to which the vehicle is certified.
    - (14.3) “FTP full useful life standard” refers to the FTP standard applicable when the vehicle reaches the end of its full useful life as defined in the certification requirements and test procedures incorporated by reference in title 13, CCR section 1961(d).
  - (15) “Fuel trim” refers to feedback adjustments to the base fuel schedule. Short-term fuel trim refers to dynamic or instantaneous adjustments. Long-term fuel trim refers to much more gradual adjustments to the fuel calibration schedule than short-term trim adjustments.
  - (16) “Functional check” for an output component or system means verification of proper response of the component and system to a computer command.
  - (17) “Key on, engine off position” refers to a vehicle with the ignition key in the engine run position (not engine crank or accessory position) but with the engine not running.
  - (18) “Light-duty truck” is defined in title 13, CCR section 1900 (b).
  - (19) “Low Emission Vehicle I application” refers to a vehicle or engine certified in California to the exhaust emission standards defined in title 13, CCR sections 1956.8(g), 1960.1(g)(1), and 1960.1(h)(1) for any of the following vehicle emission categories: Transitional Low Emission Vehicle (TLEV), Low Emission Vehicle (LEV), Ultra Low Emission Vehicle (ULEV), or Super Ultra Low Emission Vehicle (SULEV). Additionally, vehicles certified to Federal emission standards (bins) in California but categorized in a Low Emission Vehicle I vehicle emission category for purposes of calculating NMOG fleet average in accordance with the

- certification requirements and test procedures incorporated by reference in title 13, CCR section 1961 (d) are subject to all monitoring requirements applicable to Low Emission Vehicle I applications but shall use the Federal tailpipe emission standard (i.e., the Federal bin) for purposes of determining the malfunction thresholds in section (e).
- (19.1) "MDV SULEV vehicles" refer only to medium-duty Low Emission Vehicle I applications certified to the SULEV vehicle emission category.
  - (19.2) "TLEV vehicles" refer only to Low Emission Vehicle I applications certified to the TLEV vehicle emission category.
  - (19.3) "LEV vehicles" refer only to Low Emission Vehicle I applications certified to the LEV vehicle emission category.
  - (19.4) "ULEV vehicles" refer only to Low Emission Vehicle I applications certified to the ULEV vehicle emission category.
- (20) "Low Emission Vehicle II application" refers to a vehicle or engine certified in California to the exhaust emission standards defined in title 13, CCR section 1961 for any of the following vehicle emission categories: LEV, ULEV, or SULEV. Additionally, except as provided for in section (e)(18.1.3), vehicles certified to Federal emission standards (bins) in California but categorized in a Low Emission Vehicle II vehicle emission category for purposes of calculating NMOG fleet average in accordance with the certification requirements and test procedures incorporated by reference in title 13, CCR section 1961 (d) are subject to all monitoring requirements applicable to Low Emission Vehicle II applications but shall use the Federal tailpipe emission standard (i.e., the Federal bin) for purposes of determining the malfunction thresholds in section (e).
- (20.1) "PC/LDT SULEV II vehicles" refer only to passenger car and light-duty truck Low Emission Vehicle II applications certified to the SULEV vehicle emission category.
  - (20.2) "MDV SULEV II vehicles" refer only to medium-duty Low Emission Vehicle II applications certified to the SULEV vehicle emission category.
  - (20.3) "LEV II vehicles" refer only to Low Emission Vehicle II applications certified to the LEV vehicle emission category.
  - (20.4) "ULEV II vehicles" refer only to Low Emission Vehicle II applications certified to the ULEV vehicle emission category.
- (21) "Malfunction" means any deterioration or failure of a component that causes the performance to be outside of the applicable limits in section (e).
- (22) "Medium-duty vehicle" is defined in title 13, CCR section 1900 (b).
- (22.1) "Medium-duty passenger vehicle" is defined in Title 40, Section 86.1803-01, Code of Federal Regulations.
- (23) "Normal production" is the time after the start of production when the manufacturer has produced 2% of the projected volume for the test group or calibration, whichever is being evaluated in accordance with section (j).
- ~~(23)~~(24) "Passenger car" is defined in title 13, CCR section 1900 (b).
- ~~(24)~~(25) "Pending fault code" is defined as the diagnostic trouble code stored upon the initial detection of a malfunction (e.g., typically on a single driving cycle) prior to illumination of the MIL in accordance with the requirements of section (e) and (f)(4.4).
- ~~(25)~~(26) "Percentage of misfire" as used in (e)(3.2) means the percentage of misfires out of the total number of firing events for the specified interval.



- ~~(26)~~(27) \_\_\_\_\_ “Power Take-Off (PTO) unit” refers to an engine driven output provision for the purposes of powering auxiliary equipment (e.g., a dump-truck bed, aerial bucket, or tow-truck winch).
- ~~(27)~~(28) \_\_\_\_\_ “Rationality fault diagnostic” for an input component means verification of the accuracy of the input signal while in the range of normal operation and when compared to all other available information.
- ~~(28)~~(29) \_\_\_\_\_ “Redline engine speed” shall be defined by the manufacturer as either the recommended maximum engine speed as normally displayed on instrument panel tachometers or the engine speed at which fuel shutoff occurs.
- ~~(29)~~(30) \_\_\_\_\_ “Response rate” for oxygen sensors refers to the delay between a switch of the sensor from lean to rich or vice versa in response to a commanded change in air/fuel ratio. Specifically, the response rate is the delay from the time when the oxygen sensor is exposed to a change in exhaust gas from richer/leaner than stoichiometric to leaner/richer than stoichiometric to the time when the oxygen sensor indicates the lean/rich condition.
- ~~(30)~~(31) \_\_\_\_\_ “SC03 emission standards” refers to the certification tailpipe exhaust emission standards for the air conditioning (A/C) test of the Supplemental Federal Test Procedure Off-Cycle Emission Standards specified in title 13, CCR section 1961(a) applicable to the class to which the vehicle is certified.
- ~~(31)~~(32) \_\_\_\_\_ “Secondary air” refers to air introduced into the exhaust system by means of a pump or aspirator valve or other means that is intended to aid in the oxidation of HC and CO contained in the exhaust gas stream.
- ~~(32)~~(33) \_\_\_\_\_ “Similar conditions” as used in sections (e)(3) and (e)(6) means engine conditions having an engine speed within 375 rpm, load conditions within 20 percent, and the same warm-up status (i.e., cold or hot) as the engine conditions stored pursuant to (e)(3.4.4) and (e)(6.4.5). The Executive Officer may approve other definitions of similar conditions based on comparable timeliness and reliability in detecting similar engine operation.
- ~~(33)~~(34) \_\_\_\_\_ “Small volume manufacturer” is defined in title 13, CCR section 1900(b). However, for a manufacturer that transitions from a small volume manufacturer to a non-small volume manufacturer, the manufacturer is still considered a small volume manufacturer for the first three model years that it no longer meets the definition in title 13, CCR section 1900(b).
- ~~(34)~~(35) \_\_\_\_\_ “Unified cycle” is defined in “Speed Versus Time Data for California’s Unified Driving Cycle”, dated December 12, 1996, incorporated by reference.
- ~~(35)~~(36) \_\_\_\_\_ “US06 cycle” refers to the driving schedule in CFR 40, Appendix 1, Part 86, section (g) entitled, “EPA US06 Driving Schedule for Light-Duty Vehicles and Light-Duty Trucks.”
- ~~(36)~~(37) \_\_\_\_\_ “Warm-up cycle” means sufficient vehicle operation such that the coolant temperature has risen by at least 40 degrees Fahrenheit from engine starting and reaches a minimum temperature of at least 160 degrees Fahrenheit (140 degrees Fahrenheit for applications with diesel engines).

#### **(d) GENERAL REQUIREMENTS**

Section (d) sets forth the general requirements of the OBD II system. Specific performance requirements for components and systems that shall be monitored are set forth in section (e) below.

- (1) The OBD II System.
  - (1.1) If a malfunction is present as specified in section (e), the OBD II system shall detect the malfunction, store a pending or confirmed fault code in the onboard computer's memory, and illuminate the MIL as required.
  - (1.2) The OBD II system shall be equipped with a standardized data link connector to provide access to the stored fault codes as specified in section (f).
  - (1.3) The OBD II system shall be designed to operate, without any required scheduled maintenance, for the actual life of the vehicle in which it is installed and may not be programmed or otherwise designed to deactivate based on age and/or mileage of the vehicle during the actual life of the vehicle. [This section is not intended to alter existing law and enforcement practice regarding a manufacturer's liability for a vehicle beyond its useful life, except where a vehicle has been programmed or otherwise designed so that an OBD II system deactivates based on age and/or mileage of the vehicle.](#)
  - (1.4) Computer-coded engine operating parameters may not be changeable without the use of specialized tools and procedures (e.g. soldered or potted computer components or sealed (or soldered) computer enclosures). Subject to Executive Officer approval, manufacturers may exempt from this requirement those product lines that are unlikely to require protection. Criteria to be evaluated in making an exemption include current availability of performance chips, high performance capability of the vehicle, and sales volume.
- (2) MIL and Fault Code Requirements.
  - (2.1) MIL Specifications.
    - (2.1.1) The MIL shall be located on the driver's side instrument panel and be of sufficient illumination and location to be readily visible under all lighting conditions and shall be amber in color when illuminated. The MIL, when illuminated, shall display the phrase "Check Engine" or "Service Engine Soon". The word "Powertrain" may be substituted for "Engine" in the previous phrases. Alternatively, the International Standards Organization (ISO) engine symbol may be substituted for the word "Engine" or for the entire phrase.
    - (2.1.2) The MIL shall illuminate in the key on, engine off position before engine cranking to indicate that the MIL is functional. For all 2005 and subsequent model year vehicles, the MIL shall continuously illuminate during this functional check for a minimum of 15-20 seconds. During this functional check of the MIL, the data stream value for MIL status shall indicate commanded off (see section (f)(4.2)) unless the MIL has also been commanded on for a detected malfunction. This functional check of the MIL is not required during vehicle operation in the key on, engine off position subsequent to the initial engine cranking of each driving cycle (e.g., due to an engine stall or other non-commanded engine shutoff).
    - (2.1.3) The MIL shall also illuminate within 10 seconds to inform the vehicle operator whenever the powertrain enters a default or "limp home" mode of operation that can affect emissions or the performance of the OBD II system or in the event of a malfunction of an on-board computer(s) itself that can affect the performance of the OBD II system. If the default or "limp home" mode of operation is recoverable (i.e., operation automatically

returns to normal at the beginning of the following driving cycle), the OBD II system may wait and illuminate the MIL only if the default or "limp home" mode of operation is again entered before the end of the next driving cycle in lieu of illuminating the MIL within 10 seconds on the first driving cycle where the default or "limp home" mode of operation is entered.

- (2.1.4) At the manufacturer's option, the MIL may be used to indicate readiness status in a standardized format (see section (f)(4.1.3)) in the key on, engine off position.
- (2.1.5) A manufacturer may request Executive Officer approval to also use the MIL to indicate which, if any, fault codes are currently stored (e.g., to "blink" the stored codes) ~~in the key on, engine off position~~. The Executive Officer shall approve the request upon determining that if the manufacturer has demonstrated ds that the method used to indicate the fault codes will not be activated during a California Inspection and Maintenance test or during routine driver operation.
- (2.1.6) The MIL may not be used for any purpose other than specified in this regulation.
- (2.2) MIL Illumination and Fault Code Storage Protocol.
  - (2.2.1) Upon detection of a malfunction, the OBD system shall store a pending fault code within ten seconds indicating the likely area of the malfunction ~~and "freeze frame" engine conditions (as defined in section (f)(4.3)) present at the time the malfunction occurs~~.
  - (2.2.2) After storage of a pending fault code, if the identified malfunction is again detected before the end of the next driving cycle in which monitoring occurs, the MIL shall illuminate continuously and a confirmed fault code shall be stored within 10 seconds. If a malfunction is not detected before the end of the next driving cycle in which monitoring occurs (i.e., there is no indication of the malfunction at any time during the driving cycle), the corresponding pending fault code ~~and "freeze frame" conditions~~ set according to section (d)(2.2.1) shall be erased at the end of the driving cycle.
  - (2.2.3) A manufacturer may request Executive Officer approval to employ alternate statistical MIL illumination and fault code storage protocols to those specified in these requirements. The Executive Officer shall grant approval upon determining that if the manufacturer has provided ds data and/or engineering evaluation that ~~adequately~~ demonstrate that the alternative protocols can evaluate system performance and detect malfunctions in a manner that is equally effective and timely. Except as otherwise provided in section (e) for evaporative system malfunctions, strategies requiring on average more than six driving cycles for MIL illumination may not be accepted.
  - (2.2.4) ~~Regarding "freeze frame" conditions, a~~ manufacturer ~~may shall~~ store and erase "freeze frame" engine conditions (as defined in section (f)(4.3)) present at the time the malfunction is detected. A manufacturer shall store and erase freeze frame engine conditions in conjunction with ~~storing~~ storage and erasure of either a pending or confirmed fault codes ~~in lieu of~~

~~a pending fault code~~ as required in sections (d)(2.2.1), ~~(e)(3.4)~~, and ~~(e)(6.4)~~.

(2.3) Extinguishing the MIL.

Except as otherwise provided in sections (e)(3.4.5), (e)(4.4.2), and (e)(6.4.6) for misfire and fuel system malfunctions, once the MIL has been illuminated it may be extinguished after three subsequent sequential driving cycles during which the monitoring system responsible for illuminating the MIL functions and the previously detected malfunction is no longer present provided no other malfunction has been detected that would independently illuminate the MIL according to the requirements outlined above.

(2.4) Erasing a confirmed fault code.

The OBD II system may erase a confirmed fault code if the identified malfunction has not been again detected in at least 40 engine warm-up cycles, and the MIL is presently not illuminated for that malfunction.

(2.5) Exceptions to MIL and Fault Code Requirements.

For 2004 model year vehicles only, wherever the requirements of section (d)(2) reflect a substantive change from the MIL and fault code requirements of title 13, CCR section 1968.1 for 2003 model year vehicles, the manufacturer may request Executive Officer approval to continue to use the requirements of section 1968.1 in lieu of the requirements of section (d)(2). The Executive Officer shall approve the request upon determining that the manufacturer has submitted data and/or engineering evaluation which demonstrate that software or hardware changes would be required to comply with the requirements of section (d)(2) and that the system complies with the MIL and fault code requirements of title 13, CCR section 1968.1.

(3) Monitoring Conditions.

Section (d)(3) sets forth the general monitoring requirements while section (e) sets forth the specific monitoring requirements as well as identifies which of the following general monitoring requirements in section (d)(3) are applicable for each monitored component or system identified in section (e).

(3.1) For all 2004 and subsequent model year vehicles:

(3.1.1) As specifically provided for in section (e), manufacturers shall define monitoring conditions, subject to Executive Officer approval, for detecting malfunctions identified in section (e). The Executive Officer shall approve manufacturer defined monitoring conditions that are determined (based on manufacturer submitted data and/or other engineering documentation) to be: technically necessary to ensure robust detection of malfunctions (e.g., avoid false passes and false ~~detection-indications~~ of malfunctions), designed to ensure monitoring will occur under conditions which may reasonably be expected to be encountered in normal urban vehicle operation and use, and designed to ensure monitoring will occur during the FTP cycle or Unified cycle.

(3.1.2) Monitoring shall occur at least once per driving cycle in which the monitoring conditions are met.

(3.1.3) Manufacturers may request Executive Officer approval to define monitoring conditions that are not encountered during the FTP cycle or Unified cycle as required in section (d)(3.1.1). In evaluating the manufacturer's request, the Executive Officer shall consider the degree to

which the requirement to run during the FTP or Unified cycle restricts in-use monitoring, the technical necessity for defining monitoring conditions that are not encountered during the FTP or Unified cycle, data and/or an engineering evaluation submitted by the manufacturer which adequately demonstrate that the component/system does not normally function, or monitoring is otherwise not feasible, during the FTP or Unified cycle, and, where applicable in section (d)(3.2), the ability of the manufacturer to demonstrate the monitoring conditions will satisfy the minimum acceptable in-use monitor performance ratio requirement as defined in section (d)(3.2).

- (3.2) As specifically provided for in section (e), manufacturers shall define monitoring conditions in accordance with the criteria in sections (d)(3.2.1) through (3.2.3). The requirements of section (d)(3.2) shall be phased in as follows: ~~50-30~~ percent of all 2005 model year vehicles, ~~75-60~~ percent of all 2006 model year vehicles, and 100 percent of all 2007 and subsequent model year vehicles. Manufacturers may use an alternate phase-in schedule in lieu of the required phase-in schedule if the alternate phase-in schedule provides for equivalent compliance volume as defined in section (c) with the exception that 100 percent of 2007 and subsequent model year vehicles shall comply with the requirements.
- (3.2.1) Manufacturers shall define monitoring conditions that, in addition to meeting the criteria in section (d)(3.1), ensure that the monitor yields an in-use performance ratio (as defined in section (d)(4)) that meets or exceeds the minimum acceptable in-use monitor performance ratio on in-use vehicles. For purposes of this regulation, except as provided below in section (d)(3.2.1)(D), the minimum acceptable in-use monitor performance ratio is:
- (A) 0.260 for secondary air system monitors and other cold start related monitors utilizing a denominator incremented in accordance with section (d)(4.3.2)(E);
  - (B) For evaporative system monitors:
    - (i) 0.260 for monitors designed to detect malfunctions identified in section (e)(4.2.2)(C) (i.e., 0.020 inch leak detection); and
    - (ii) 0.520 for monitors designed to detect malfunctions identified in section (e)(4.2.2)(A) and (B) (i.e., purge flow and 0.040 inch leak detection);

~~and~~
  - (C) 0.336 for catalyst, oxygen sensor, EGR, VVT system, and all other monitors specifically required in section (e) to meet the monitoring condition requirements of section (d)(3.2); and
- (D) through the 2007 model year, for the first two years a vehicle is certified to the in-use performance ratio monitoring requirements of section (d)(3.2), 0.100 for all monitors specified in section (d)(3.2.1)(A) through (C) above. For example, the 0.100 ratio applies to the 2004 and 2005 model years for vehicles first certified in the 2004 model year and to the 2007 and 2008 model years for vehicles first certified in the 2007 model year.
- (3.2.2) In addition to meeting the requirements of section (d)(3.2.1), manufacturers shall implement software algorithms in the OBD II system to individually track and report in-use performance of the monitors in the



standardized format specified in section (d)(5) for each of the following component monitors:

- a. Catalyst (section (e)(1.3) or, where applicable, (e)(1.5.3))
- b. Oxygen sensor (section (e)(7.3.1)(A))
- c. Evaporative system (section (e)(4.3.2))
- d. EGR system (section (e)(8.3.1)) and VVT system (section (e)(13.3))
- e. Secondary air system (section (e)(5.3.2)(B))

The OBD II system is not required to track and report in-use performance for monitors other than those specifically identified above.

(3.2.3) Manufacturers may not use the calculated ratio (or any element thereof) or any other indication of monitor frequency as a monitoring condition for any monitor (e.g., using a low ratio to enable more frequent monitoring through diagnostic executive priority or modification of other monitoring conditions, or using a high ratio to enable less frequent monitoring).

(4) In-Use Monitor Performance Ratio Definition

(4.1) For monitors required to meet the minimum in-use monitor performance ratio in section (d)(3.2.1), the ratio shall be calculated in accordance with the following specifications for the numerator, denominator, and ratio.

(4.2) Numerator Specifications

(4.2.1) Definition: The numerator is defined as a measure of the number of times a vehicle has been operated such that all monitoring conditions necessary for a specific monitor to detect a malfunction have been encountered.

(4.2.2) Specifications for incrementing:

(A) Except as provided for in section (d)(4.2.2)(FE), the numerator, when incremented, shall be incremented by an integer of one. The numerator may not be incremented more than once per driving cycle.

(B) The numerator for a specific monitor shall be incremented within ten seconds if and only if the following criteria are satisfied on a single driving cycle:

- (i) Every monitoring condition necessary for the monitor of the specific component to detect a malfunction and store a pending fault code has been satisfied, including enable criteria, presence or absence of related fault codes, sufficient length of monitoring time, and diagnostic executive priority assignments (e.g., diagnostic "A" must execute prior to diagnostic "B", etc.). For the purpose of incrementing the numerator, satisfying all the monitoring conditions necessary for a monitor to determine the component is passing may not, by itself, be sufficient to meet this criteria;
- (ii) For monitors that require multiple stages or events in a single driving cycle to detect a malfunction, every monitoring condition necessary for all events to have completed must be satisfied;
- (iii) For monitors that require intrusive operation of components to detect a malfunction, a manufacturer shall request Executive Officer approval of the strategy used to determine that, had a malfunction been present, the monitor would have detected the malfunction. Executive Officer approval of the request shall be based on the equivalence of the strategy to actual intrusive operation and the ability of the strategy to accurately determine if every monitoring condition necessary for the

intrusive event to occur was satisfied.

(iv) In addition to the requirements of section (d)(4.2.2)(B)(i) through (iii) above, the secondary air system monitor numerator(s) shall be incremented if and only if the criteria in section (B) above have been satisfied during normal operation of the secondary air system for vehicles that require monitoring during normal operation (sections (e)(5.2.2) through (5.2.4)). Monitoring during intrusive operation of the secondary air system later in the same driving cycle solely for the purpose of monitoring may not, by itself, be sufficient to meet this criteria.

(C) For monitors that can generate results in a “gray zone” or “non-detection zone” (i.e., results that indicate neither a passing system nor a malfunctioning system) or in a “non-decision zone” (e.g., monitors that increment and decrement counters until a pass or fail threshold is reached), the manufacturer shall submit a plan for appropriate incrementing of the numerator to the Executive Officer for review and approval. In general, the Executive Officer shall not approve plans that allow the numerator to be incremented when the monitor indicates a result in the “non-detection zone” or prior to the monitor reaching a decision. In reviewing the plan for approval, the Executive Officer shall consider data and/or engineering evaluation submitted by the manufacturer demonstrating the expected frequency of results in the “non-detection zone” and the ability of the monitor to accurately determine if a monitor would have detected a malfunction instead of a result in the “non-detection zone” had an actual malfunction been present.

(D) For monitors that run or complete during engine off operation, the numerator shall be incremented within 10 seconds of engine start on the subsequent driving cycle.

(E) Manufacturers utilizing alternate statistical MIL illumination protocols as allowed in section (d)(2.2.3) for any of the monitors requiring a numerator shall submit a plan for appropriate incrementing of the numerator to the Executive Officer for review and approval. Executive Officer approval of the plan shall be conditioned upon the manufacturer providing supporting data and/or engineering evaluation for the proposed plan, the equivalence of the incrementing in the manufacturer's plan to the incrementing specified in section (d)(4.2.2) for monitors using the standard MIL illumination protocol, and the overall equivalence of the manufacturer's plan in determining that the minimum acceptable in-use performance ratio in section (d)(3.2.1) is satisfied.

#### (4.3) Denominator Specifications

(4.3.1) Definition: The denominator is defined as a measure of the number of times a vehicle has been operated as defined in (d)(4.3.2).

(4.3.2) Specifications for incrementing:

(A) The denominator, when incremented, shall be incremented by an integer of one. The denominator may not be incremented more than once per driving cycle.

(B) The denominator for each monitor shall be incremented within ten seconds if and only if the following criteria are satisfied on a single driving

- cycle:
- (i) Cumulative time since engine start is greater than or equal to 600 seconds while at an elevation of less than 8,000 feet above sea level and at an ambient temperature of greater than or equal to 20 degrees Fahrenheit;
  - (ii) Cumulative vehicle operation at or above 25 miles per hour occurs for greater than or equal to 300 seconds while at an elevation of less than 8,000 feet above sea level and at an ambient temperature of greater than or equal to 20 degrees Fahrenheit;
  - (iii) Continuous vehicle operation at idle (i.e., accelerator pedal released by driver and vehicle speed less than or equal to one mile per hour) for greater than or equal to 30 seconds while at an elevation of less than 8,000 feet above sea level and at an ambient temperature of greater than or equal to 20 degrees Fahrenheit;
- (C) In addition to the requirements of section (d)(4.3.2)(B) above, the secondary air system monitor denominator(s) shall be incremented if and only if commanded "on" operation of the secondary air system occurs for a time greater than or equal to ten seconds. For purposes of determining this commanded "on" time, the OBD II system may not include time during intrusive operation of the secondary air system solely for the purposes of monitoring;
- (D) In addition to the requirements of section (d)(4.3.2)(B) above, the evaporative system monitor denominator(s) shall be incremented if and only if:
- (i) Cumulative time since engine start is greater than or equal to 600 seconds while at an ambient temperature of greater than or equal to 40 degrees Fahrenheit but less than or equal to 95 degrees Fahrenheit;
  - (ii) Engine cold start occurs with engine coolant temperature at engine start greater than or equal to 40 degrees Fahrenheit but less than or equal to 95 degrees Fahrenheit and less than or equal to 12 degrees Fahrenheit higher than ambient temperature at engine start.
- (E) In addition to the requirements of section (d)(4.3.2)(B) above, the denominator(s) for the following monitors shall be incremented if and only if the component or strategy is commanded "on" for a time greater than or equal to ten seconds:
- (i) Heated catalyst (section (e)(2))
  - (ii) Cold Start Emission Reduction Strategy (section (e)(11))
  - (iii) Components or systems that operate only at engine start-up (e.g., glow plugs, intake air heaters, etc.) and are subject to monitoring under "other emission control or source devices" (section (e)(17)) or comprehensive component output components (section (e)(16))
- For purposes of determining this commanded "on" time, the OBD II system may not include time during intrusive operation of any of the components or strategies later in the same driving cycle solely for the purposes of monitoring.
- (F) In addition to the requirements of section (d)(4.3.2)(B) above, the denominator(s) for the following monitors of output components (except those operated only at engine start-up and subject to the requirements of



the previous section (d)(4.3.2)(E)) shall be incremented if and only if the component is commanded to function (e.g., commanded “on”, “open”, “closed”, “locked”, etc.) on two or more occasions during the driving cycle or for a time greater than or equal to ten seconds, whichever occurs first:

- (i) Air conditioning system (section (e)(12))
- (ii) Variable valve timing and/or control system (section (e)(13))
- ~~(ii)~~(iii) “Other emission control or source device” (section (e)(17))
- ~~(iii)~~(iv) Comprehensive component output component (section (e)(16))  
(e.g., turbocharger waste-gates, variable length manifold runners, torque converter clutch lock-up solenoids, etc.)

(G) For monitors of the following components, the manufacturer may request Executive Officer approval to use alternate or additional criteria to that set forth in section (d)(4.3.2)(B) above for incrementing the denominator. Executive Officer approval of the proposed criteria shall be based on the equivalence of the proposed criteria in measuring the frequency of monitor operation relative to the amount of vehicle operation in accordance with the criteria in section (d)(4.3.2)(B) above:

- (i) Engine cooling system input components (section (e)(10))
- (ii) Air conditioning system input components (section (e)(12))
- (iii) Direct ozone reduction systems (section (e)(14))
- (iv) Particulate matter traps (section (e)(15))
- (v) “Other emission control or source devices” (section (e)(17))
- (vi) Comprehensive component input components that require extended monitoring evaluation (section (e)(16)) (e.g., stuck fuel level sensor rationality)

~~(G)~~(H) For hybrid vehicles, vehicles that employ alternate engine start hardware or strategies (e.g., integrated starter and generators), or alternate fuel vehicles (e.g., dedicated, bi-fuel, or dual-fuel applications), the manufacturer may request Executive Officer approval to use alternate criteria to ~~the criteria~~that set forth in section (d)(4.3.2)(B) above for incrementing the denominator. In general, the Executive Officer shall not approve alternate criteria for vehicles that only employ engine shut off at or near idle/vehicle stop conditions. Executive Officer approval of the alternate criteria shall be based on the equivalence of the alternate criteria to determine the amount of vehicle operation relative to the measure of conventional vehicle operation in accordance with the criteria in section (d)(4.3.2)(B) above.

#### (4.4) Ratio Specifications

(4.4.1) Definition: The ratio is defined as the numerator divided by the denominator.

#### (4.5) Disablement of Numerators and Denominators

(4.5.1) Within ten seconds of a malfunction that disables a monitor required to meet the monitoring conditions in section (d)(3.2.1) being detected (i.e., a pending or confirmed code is stored), the OBD II system shall disable further incrementing of the corresponding numerator and denominator for each monitor that is disabled. When the malfunction is no longer detected (i.e., the pending code is erased through self-clearing or through a scan tool command), incrementing of all corresponding numerators and

denominators shall resume within ten seconds.

(4.5.2) Within ten seconds of the start of a PTO (see section (c)) operation that disables a monitor required to meet the monitoring conditions in section (d)(3.2.1), the OBD II system shall disable further incrementing of the corresponding numerator and denominator for each monitor that is disabled. When the PTO operation ends, incrementing of all corresponding numerators and denominators shall resume within ten seconds.

(4.5.3) The OBD II system shall disable further incrementing of all numerators and denominators within ten seconds if a malfunction of any component used to determine if the criteria in sections (d)(4.3.2)(B) through (D) are satisfied (i.e., vehicle speed, ambient temperature, elevation, idle operation, engine cold start, or time of operation) has been detected and the corresponding pending fault code has been stored. Incrementing of all numerators and denominators shall resume within ten seconds when the malfunction is no longer present (e.g., pending code erased through self-clearing or by a scan tool command).

(5) Standardized tracking and reporting of monitor performance

(5.1) For monitors required to track and report in-use monitor performance in section (d)(3.2.2), the performance data shall be tracked and reported in accordance with the specifications in sections (d)(4), (d)(5), and (f)(5). The OBD II system shall separately report an in-use monitor performance numerator and denominator for each of the following components: catalyst bank 1, catalyst bank 2, primary oxygen sensor bank 1, primary oxygen sensor bank 2, evaporative 0.020 inch leak detection system, EGR/VVT system, and secondary air system. The OBD II system shall also report a general denominator and an ignition cycle counter in the standardized format specified in sections (d)(5.5), (d)(5.6) and (f)(5).

(5.2) Numerator

(5.2.1) The OBD II system shall report a separate numerator for each of the components listed in section (d)(5.1).

(5.2.2) For specific components or systems that have multiple monitors that are required to be reported under section (e) (e.g., oxygen sensor bank 1 may have multiple monitors for sensor response or other sensor characteristics), the OBD II system shall separately track numerators and denominators for each of the specific monitors and report only the corresponding numerator and denominator for the specific monitor that has the lowest numerical ratio. If two or more specific monitors have identical ratios, the corresponding numerator and denominator for the specific monitor that has the highest denominator shall be reported for the specific component.

(5.2.3) The numerator(s) shall be reported in accordance with the specifications in section (f)(5.2.1).

(5.3) Denominator

(5.3.1) The OBD II system shall report a separate denominator for each of the components listed in section (d)(5.1).

(5.3.2) The denominator(s) shall be reported in accordance with the specifications in section (f)(5.2.1).

(5.4) Ratio

(5.4.1) For purposes of determining which corresponding numerator and denominator to report as required in section (d)(5.2.2), the ratio shall be calculated in accordance with the specifications in section (f)(5.2.2).

(5.5) Ignition cycle counter

(5.5.1) Definition:

(A) The ignition cycle counter is defined as a counter that indicates the number of ignition cycles a vehicle has experienced as defined in section (d)(5.5.2)(B).

(B) The ignition cycle counter shall be reported in accordance with the specifications in section (f)(5.2.1).

(5.5.2) Specifications for incrementing:

(A) The ignition cycle counter, when incremented, shall be incremented by an integer of one. The ignition cycle counter may not be incremented more than once per driving cycle.

(B) The ignition cycle counter shall be incremented within ten seconds if and only if the vehicle meets the engine start definition (see section (c)) for at least ~~one two~~ seconds plus or minus one second.

(C) The OBD II system shall disable further incrementing of the ignition cycle counter within ten seconds if a malfunction of any component used to determine if the criteria in section (d)(5.5.2)(B) are satisfied (i.e., engine speed or time of operation) has been detected and the corresponding pending fault code has been stored. The ignition cycle counter may not be disabled from incrementing for any other condition. Incrementing of the ignition cycle counter shall resume within ten seconds when the malfunction is no longer present (e.g., pending code erased through self-clearing or by a scan tool command).

(5.6) General Denominator

(5.6.1) Definition:

(A) The general denominator is defined as a measure of the number of times a vehicle has been operated as defined in section (d)(5.6.2)(B).

(B) The general denominator shall be reported in accordance with the specifications in section (f)(5.2.1).

(5.6.2) Specifications for incrementing:

(A) The general denominator, when incremented, shall be incremented by an integer of one. The general denominator may not be incremented more than once per driving cycle.

(B) The general denominator shall be incremented within ten seconds if and only if the criteria identified in section (d)(4.3.2)(B) are satisfied on a single driving cycle.

(C) The OBD II system shall disable further incrementing of the general denominator within ten seconds if a malfunction of any component used to determine if the criteria in section (d)(4.3.2)(B) are satisfied (i.e., vehicle speed, ambient temperature, elevation, idle operation, or time of operation) has been detected and the corresponding pending fault code has been stored. The general denominator may not be disabled from incrementing for any other condition (e.g., the disablement criteria in sections (d)(4.5.1) and (d)(4.5.2) may not disable the general

denominator). Incrementing of the general denominator shall resume within ten seconds when the malfunction is no longer present (e.g., pending code erased through self-clearing or by a scan tool command).

(6) Enforcement Testing

- (6.1) The procedures used to assure compliance with the requirements of title 13, CCR section 1968.2 are set forth in title 13, CCR section 1968.5.
- (6.2) Consistent with the requirements of title 13, CCR section 1968.5(b)(4)(A) for enforcement OBD II emission testing, the manufacturer shall retain-make available upon request by the Executive Officer all test equipment (e.g., malfunction simulators, deteriorated “threshold” components, etc.) necessary to determine the malfunction criteria in section (e) for major monitors subject to OBD II emission testing as defined in title 13, CCR section 1968.5. To meet the requirements of this section, the manufacturers shall only be required to make available ~~retain~~ test equipment necessary to duplicate “threshold” testing performed by the manufacturer. This test equipment shall include, but is not limited to, aged “threshold” catalyst systems and computer equipment used to simulate misfire, oxygen sensor, fuel system, VVT system, and cold start reduction strategy system faults. The manufacturer is not required to make available test equipment for vehicles that ~~This equipment shall be retained by the manufacturer until vehicles certified with the equipment~~ exceed the applicable full useful life age (e.g., 10 years for vehicles certified to a full useful life of 10 years and 100,000 miles).

**(e) MONITORING REQUIREMENTS**

(1) CATALYST MONITORING

- (1.1) Requirement: The OBD II system shall monitor the catalyst system for proper conversion capability.
- (1.2) Malfunction Criteria:
- (1.2.1) Low Emission Vehicle I applications: The OBD II system shall detect a catalyst system malfunction when the catalyst system’s conversion capability decreases to the point that either of the following occurs:
- (A) Non-Methane Organic Gas (NMOG) emissions exceed 1.75 times the FTP full useful life standards to which the vehicle has been certified with NMOG emissions multiplied by the certification reactivity adjustment factor for the vehicle;
- (B) The average FTP test Non-Methane Hydrocarbon (NMHC) conversion efficiency of the monitored portion of the catalyst system falls below 50 percent (i.e., the cumulative NMHC emissions measured at the outlet of the monitored catalyst(s) are more than 50 percent of the cumulative engine-out emissions measured at the inlet of the catalyst(s)). With Executive Officer approval, manufacturers may use a conversion efficiency malfunction criteria of less than 50 percent if the catalyst system is designed such that the monitored portion of the catalyst system must be replaced along with an adjacent portion of the catalyst system sufficient to ensure that the total portion replaced will meet the 50 percent conversion efficiency criteria. Executive Officer approval shall be based on data and/or engineering evaluation demonstrating the conversion efficiency of the monitored portion and the total portion designed to be replaced, and

the likelihood of the catalyst system design to ensure replacement of the monitored and adjacent portions of the catalyst system.

(1.2.2) Low Emission Vehicle II applications:

(A) 2004 model year vehicles.

- (i) All LEV II, ULEV II, and MDV SULEV II vehicles shall use the malfunction criteria specified for Low Emission Vehicle I applications in section (e)(1.2.1).
- (ii) All PC/LDT SULEV II vehicles shall use the malfunction criteria specified for Low Emission Vehicle I applications in section (e)(1.2.1) except the malfunction criterion in paragraph (e)(1.2.1)(A) shall be 2.5 times the applicable FTP full useful life NMOG standard.

(B) Except as provided below in section (e)(1.2.4), for 2005 and 2006 model years, the OBD II system shall detect a catalyst system malfunction when the catalyst system's conversion capability decreases to the point that any of the following occurs:

- (i) For LEV II, ULEV II, and MDV SULEV II vehicles.
  - a. NMOG emissions exceed the criteria specified for Low Emission Vehicle I applications in section (e)(1.2.1)(A).
  - b. The average FTP test NMHC conversion efficiency is below the criteria specified for Low Emission Vehicle I applications in section (e)(1.2.1)(B).
  - c. Oxides of nitrogen (NO<sub>x</sub>) emissions exceed 3.5 times the FTP full useful life NO<sub>x</sub> standard to which the vehicle has been certified.
- (ii) PC/LDT SULEV II vehicles shall use the same malfunction criteria as 2005 and 2006 model year LEV II, ULEV II, and MDV SULEV II vehicles (section (e)(1.2.2)(B)(i)) except the malfunction criteria in paragraph a. shall be 2.5 times the applicable FTP full useful life NMOG standard.

(C) Except as provided below in section (e)(1.2.5), for 2007 and subsequent model years, the OBD II system shall detect a catalyst system malfunction when the catalyst system's conversion capability decreases to the point that any of the following occurs.

- (i) For LEV II, ULEV II, and MDV SULEV II vehicles.
  - a. NMOG emissions exceed the criteria specified for Low Emission Vehicle I applications in section (e)(1.2.1)(A).
  - b. The average FTP test NMHC conversion efficiency is below the criteria specified for Low Emission Vehicle I applications in section (e)(1.2.1)(B).
  - c. NO<sub>x</sub> emissions exceed 1.75 times the FTP full useful life NO<sub>x</sub> standard to which the vehicle has been certified.
- (ii) For PC/LDT SULEV II vehicles.
  - a. NMOG emissions exceed 2.5 times the applicable FTP full useful life NMOG standard to which the vehicle has been certified.
  - b. The average FTP test NMHC conversion efficiency is below the criteria specified for Low Emission Vehicle I applications in section (e)(1.2.1)(B).
  - c. NO<sub>x</sub> emissions exceed 2.5 times the applicable FTP full useful life NO<sub>x</sub> standard to which the vehicle has been certified.

- (1.2.3) Non-Low Emission Vehicle I or II applications: The OBD II system shall detect a catalyst system malfunction when the catalyst system's conversion capability decreases to the point that NMHC emissions increase by more than 1.5 times the applicable FTP full useful life standards over an FTP test performed with a representative 4000 mile catalyst system.
- (1.2.4) In lieu of using the malfunction criteria in section (e)(1.2.2)(B) for all 2005 and 2006 model year Low Emission Vehicle II applications, a manufacturer may phase-in the malfunction criteria only on Low Emission Vehicle II applications such that at least 30% of all 2005 model year vehicles and 60% of all 2006 model year vehicles use the malfunction criteria. For 2005 and 2006 model year Low Emission Vehicle II applications not included in the phase-in, the malfunction criteria in section (e)(1.2.2)(A) shall be used.
- (1.2.5) In lieu of using the malfunction criteria in section (e)(1.2.2)(C) for all 2007 model year Low Emission Vehicle II applications, for the 2007 model year only, a manufacturer may continue to use the malfunction criteria in section (e)(1.2.2)(B) for any Low Emission Vehicle II applications previously certified in the 2005 or 2006 model year to the malfunction criteria in section (e)(1.2.2)(B) and carried over to the 2007 model year.
- (1.2.6) For purposes of determining the catalyst system malfunction criteria in sections (e)(1.2.1), (1.2.2)(A), and (1.2.3), the malfunction criteria shall be established by using a catalyst system with all monitored catalysts simultaneously deteriorated to the malfunction criteria while unmonitored catalysts shall be deteriorated to the end of the vehicle's full useful life.
- (1.2.7) For purposes of determining the catalyst system malfunction criteria in sections (e)(1.2.2)(B) and (C):
  - (A) The manufacturer shall use a catalyst system deteriorated to the malfunction criteria using methods established by the manufacturer to represent real world catalyst deterioration under normal and malfunctioning operating conditions.
  - (B) Except as provided below in section (e)(1.2.7)(C), the malfunction criteria shall be established by using a catalyst system with all monitored and unmonitored (downstream of the sensor utilized for catalyst monitoring) catalysts simultaneously deteriorated to the malfunction criteria.
  - (C) For vehicles using fuel shutoff to prevent over-fueling during misfire conditions (see section (e)(3.4.1)(D)), the malfunction criteria shall be established by using a catalyst system with all monitored catalysts simultaneously deteriorated to the malfunction criteria while unmonitored catalysts shall be deteriorated to the end of the vehicle's full useful life.
- (1.3) Monitoring Conditions: Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(1.2) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements). For purposes of tracking and reporting as required in section (d)(3.2.2), all monitors used to detect malfunctions identified in section (e)(1.2) shall be tracked separately but reported as a single set of values as specified in section (d)(5.2.2).
- (1.4) MIL Illumination and Fault Code Storage:

(1.4.1) General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(1.4.2) The monitoring method for the catalyst(s) shall be capable of detecting when a catalyst fault code has been cleared (except OBD II system self-clearing), but the catalyst has not been replaced (e.g., catalyst overtemperature approaches may not be acceptable).

(1.5) CATALYST MONITORING FOR DIESELS

(1.5.1) Requirement: On all 2004 and subsequent model year diesel passenger cars, light-duty trucks, and medium-duty passenger vehicles (see section (c)) and all 2005 and subsequent model year diesel medium-duty vehicles, the OBD II system shall monitor the catalyst system for proper conversion capability.

(1.5.2) Malfunction Criteria:

(A) For 2004 and subsequent model year diesel passenger cars, light-duty trucks, and medium-duty passenger vehicles:

(i) ~~Except as provided below, t~~The OBD II system shall detect a catalyst system malfunction when the catalyst system's conversion capability decreases to the point that emissions exceed 1.75 times the applicable FTP full useful life NMHC, NOx, or PM standard.

~~(ii) For vehicles not exempted from NMHC conversion efficiency monitoring under the provisions of~~Except as provided below in section (e)(1.5.2)(A)(~~iii~~v), if no failure or deterioration of the catalyst system NMHC conversion capability could result in a vehicle's emissions exceeding 1.75 times any of the applicable standards, the OBD II system shall detect a malfunction when the system has no detectable amount of NMHC conversion capability.

~~(iii) For vehicles not exempted from NOx conversion efficiency monitoring under the provisions of~~Except as provided below in section (e)(1.5.2)(A)(~~iii~~v), if no failure or deterioration of the catalyst system NOx conversion capability could result in a vehicle's emissions exceeding 1.75 times any of the applicable standards, the OBD II system shall detect a malfunction when the system has no detectable amount of NOx conversion capability.

~~(ii)(iv)~~ For the 2004 through 2009 model year, a manufacturer may request to be exempted from the requirements for NMHC conversion catalyst system monitoring. The Executive Officer shall approve the request upon determining that if the manufacturer has demonstrated, through data and/or engineering evaluation, that the average FTP test NMHC conversion efficiency of the system is less than 30 percent (i.e., the cumulative NMHC emissions measured at the outlet of the catalyst are more than 70 percent of the cumulative engine-out NMHC emissions measured at the inlet of the catalyst(s)).

~~(iii)(v)~~ For the 2004 through 2009 model year, a manufacturer may request to be exempted from the requirements for NOx conversion catalyst system monitoring. The Executive Officer shall approve the request upon determining that if the manufacturer has demonstrated, through data and/or engineering evaluation, that the average FTP test NOx



- conversion efficiency of the system is less than 30 percent (i.e., the cumulative NOx emissions measured at the outlet of the catalyst are more than 70 percent of the cumulative engine-out NOx emissions measured at the inlet of the catalyst(s)).
- (B) For 2005 and 2006 model year diesel medium-duty vehicles (except medium-duty passenger vehicles):
- (i) Except as provided below, the OBD II system shall detect a NOx conversion catalyst system malfunction when the catalyst system's conversion capability decreases to the point that emissions exceed 1.5 times the applicable FTP full useful life NOx or PM standard (or, if applicable, NMHC+NOx standard).
  - (ii) A manufacturer may request to be exempted from the requirements for NOx conversion catalyst system monitoring. The Executive Officer shall approve the request upon determining that if the manufacturer has demonstrated, through data and/or engineering evaluation, that no failure or deterioration of the system will cause emissions to exceed the emission threshold specified in section (e)(1.5.2)(B)(i).
  - (iii) Monitoring of the NMHC conversion catalyst system performance is not required.
- (C) For 2007 and subsequent model year diesel medium-duty vehicles (except medium-duty passenger vehicles):
- (i) ~~Except as provided below, t~~The OBD II system shall detect a catalyst system malfunction when the catalyst system's conversion capability decreases to the point that emissions exceed 1.5 times the applicable FTP full useful life NMHC, NOx, or PM standard (or, if applicable, NMHC+NOx standard).
  - ~~(ii) For vehicles not exempted from NMHC conversion efficiency monitoring under the provisions of~~Except as provided below in section (e)(1.5.2)(C)(~~iv~~), if no failure or deterioration of the catalyst system NMHC conversion capability could result in a vehicle's emissions exceeding 1.5 times any of the applicable standards, the OBD II system shall detect a malfunction when the system has no detectable amount of NMHC conversion capability.
  - ~~(iii) For vehicles not exempted from NOx conversion efficiency monitoring under the provisions of~~Except as provided below in section (e)(1.5.2)(C)(~~iv~~), if no failure or deterioration of the catalyst system NOx conversion capability could result in a vehicle's emissions exceeding 1.5 times any of the applicable standards, the OBD II system shall detect a malfunction when the system has no detectable amount of NOx conversion capability.
  - ~~(iv)~~(iv) For the 2007 through 2009 model year, a manufacturer may request to be exempted from the requirements for NMHC conversion catalyst system monitoring. The Executive Officer shall approve the request upon determining that if the manufacturer has demonstrated, through data and/or engineering evaluation, that the average FTP test NMHC conversion efficiency of the system is less than 30 percent (i.e., the cumulative NMHC emissions measured at the outlet of the catalyst are



more than 70 percent of the cumulative engine-out NMHC emissions measured at the inlet of the catalyst(s)).

~~(iii)~~(v) For the 2007 through 2009 model year, a manufacturer may request to be exempted from the requirements for NOx conversion catalyst system monitoring. The Executive Officer shall approve the request upon determining that if the manufacturer has demonstrated, through data and/or engineering evaluation, that the average FTP test NOx conversion efficiency of the system is less than 30 percent (i.e., the cumulative NOx emissions measured at the outlet of the catalyst are more than 70 percent of the cumulative engine-out NOx emissions measured at the inlet of the catalyst(s)).

(1.5.3) Monitoring Conditions: Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(1.5.2) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements). For purposes of tracking and reporting as required in section (d)(3.2.2), all monitors used to detect malfunctions identified in section (e)(1.5.2) shall be tracked separately but reported as a single set of values as specified in section (d)(5.2.2).

(1.5.4) MIL Illumination and Fault Code Storage:

(A) General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(B) The monitoring method for the ~~reduction~~-catalyst(s) shall be capable of detecting all instances, except diagnostic self-clearing, when a catalyst fault code has been cleared but the catalyst has not been replaced (e.g., catalyst overtemperature approaches may not be acceptable).

## (2) HEATED CATALYST MONITORING

(2.1) Requirement:

(2.1.1) The OBD II system shall monitor all heated catalyst systems for proper heating.

(2.1.2) The efficiency of heated catalysts shall be monitored in conjunction with the requirements of section (e)(1).

(2.2) Malfunction Criteria:

(2.2.1) The OBD II system shall detect a catalyst heating system malfunction when the catalyst does not reach its designated heating temperature within a requisite time period after engine starting. The manufacturer shall determine the requisite time period, but the time period may not exceed the time that would cause emissions from a vehicle equipped with the heated catalyst system to exceed 1.75 times any of the applicable FTP full useful life standards.

(2.2.2) Manufacturers may use other monitoring strategies for the heated catalyst but must submit the alternate plan to the Executive Officer for approval. The Executive Officer shall approve alternate strategies for monitoring heated catalyst systems based on comparable reliability and timeliness to these requirements in detecting a catalyst heating malfunction.

(2.3) Monitoring Conditions: Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(2.2) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).

(2.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(3) MISFIRE MONITORING

(3.1) Requirement:

(3.1.1) The OBD II system shall monitor the engine for misfire causing catalyst damage and misfire causing excess emissions.

(3.1.2) The OBD II system shall identify the specific cylinder that is experiencing misfire. Manufacturers may request Executive Officer approval to store a general misfire fault code instead of a cylinder specific fault code under certain operating conditions. The Executive Officer shall approve the request upon determining that ~~provided~~ the manufacturer has ~~submitted~~ data and/or an engineering evaluation that adequately demonstrate that the misfiring cylinder cannot be reliably identified when the conditions occur.

(3.1.3) If more than one cylinder is misfiring, a separate fault code shall be stored indicating that multiple cylinders are misfiring except as allowed below. When identifying multiple cylinder misfire, the manufacturer is not required to also identify each of the misfiring cylinders individually through separate fault codes. For 2005 and subsequent model year vehicles, if more than 90 percent of the detected misfires occur in a single cylinder, the manufacturer may elect to store the appropriate fault code indicating the specific misfiring cylinder in lieu of the multiple cylinder misfire fault code. If, however, two or more cylinders individually have more than 10 percent of the total number of detected misfires, a multiple cylinder fault code must be stored.

(3.2) Malfunction Criteria: The OBD II system shall detect a misfire malfunction pursuant to the following:

(3.2.1) Misfire causing catalyst damage:

(A) Manufacturers shall determine the percentage of misfire evaluated in 200 revolution increments for each engine speed and load condition that would result in a temperature that causes catalyst damage. The manufacturer shall submit documentation to support this percentage of misfire as required in section (h)(2.5). For every engine speed and load condition that this percentage of misfire is determined to be lower than five percent, the manufacturer may set the malfunction criteria at five percent.

(B) Subject to Executive Officer approval, a manufacturer may employ a longer interval than 200 revolutions but only for determining, on a given driving cycle, the first misfire exceedance as provided in section (e)(3.4.1)(A) below. Executive Officer approval shall be conditioned granted upon determining that the manufacturer has ~~submitted~~ data and/or an engineering evaluation that adequately demonstrate that catalyst damage would not occur due to unacceptably high catalyst temperatures before the interval has elapsed.

(C) A misfire malfunction shall be detected if the percentage of misfire established in section (e)(3.2.1)(A) is exceeded.

(D) For purposes of establishing the temperature at which catalyst damage occurs as required in section (e)(3.2.1)(A), on 2005 and subsequent

model year vehicles, manufacturers may not define catalyst damage at a temperature more severe than what the catalyst system could be operated at for ten consecutive hours and still meet the applicable FTP full useful life standards.

(3.2.2) Misfire causing emissions to exceed 1.5 times the FTP standards:

- (A) Manufacturers shall determine the percentage of misfire evaluated in 1000 revolution increments that would cause emissions from an emission durability demonstration vehicle to exceed 1.5 times any of the applicable FTP standards if the percentage of misfire were present from the beginning of the test. To establish this percentage of misfire, the manufacturer shall utilize misfire events occurring at equally spaced, complete engine cycle intervals, across randomly selected cylinders throughout each 1000-revolution increment. If this percentage of misfire is determined to be lower than one percent, the manufacturer may set the malfunction criteria at one percent.
- (B) Subject to Executive Officer approval, a manufacturer may employ other revolution increments. The Executive Officer shall grant approval upon determining that if the manufacturer has can adequately demonstrated that the strategy would be equally effective and timely in detecting misfire.
- (C) A malfunction shall be detected if the percentage of misfire established in section (3.2.2)(A) is exceeded regardless of the pattern of misfire events (e.g., random, equally spaced, continuous, etc.).

(3.3) Monitoring Conditions:

(3.3.1) Manufacturers shall continuously monitor for misfire under the following conditions:

- (A) From no later than the end of the second crankshaft revolution after engine start,
- (B) During the rise time and settling time for engine speed to reach the desired idle engine speed at engine start-up (i.e., “flare-up” and “flare-down”), and
- (C) Under all positive torque engine speeds and load conditions except within the following range: the engine operating region bound by the positive torque line (i.e., engine load with the transmission in neutral), and the two following engine operating points: an engine speed of 3000 rpm with the engine load at the positive torque line, and the redline engine speed (defined in section (c)) with the engine's manifold vacuum at four inches of mercury lower than that at the positive torque line.

(3.3.2) If a monitoring system cannot detect all misfire patterns under all required engine speed and load conditions as required in section (e)(3.3.1) above, the manufacturer may request Executive Officer approval to accept the monitoring system. In evaluating the manufacturer's request, the Executive Officer shall consider the following factors: the magnitude of the region(s) in which misfire detection is limited, the degree to which misfire detection is limited in the region(s) (i.e., the probability of detection of misfire events), the frequency with which said region(s) are expected to be encountered in-use, the type of misfire patterns for which misfire detection is troublesome, and demonstration that the monitoring technology employed is not inherently incapable of detecting misfire under required

conditions (i.e., compliance can be achieved on other engines). The evaluation shall be based on the following misfire patterns: equally spaced misfire occurring on randomly selected cylinders, single cylinder continuous misfire, and paired cylinder (cylinders firing at the same crank angle) continuous misfire.

- (3.3.3) A manufacturer may request Executive Officer approval of a monitoring system that has reduced misfire detection capability during the portion of the first 1000 revolutions after engine start that a cold start emission reduction strategy that reduces engine torque (e.g., spark retard strategies) is active. The Executive Officer shall approve the request upon determining that if the manufacturer has demonstrateds that the probability of detection is greater than or equal to 75 percent during the worst case condition (i.e., lowest generated torque) for a vehicle operated continuously at idle (park/neutral idle) on a cold start between 50-86 degrees Fahrenheit and that the technology cannot reliably detect a higher percentage of the misfire events during the conditions.
- (3.3.4) A manufacturer may request Executive Officer approval to disable misfire monitoring or employ an alternate malfunction criterion when misfire cannot be distinguished from other effects.
- (A) Upon determining that the manufacturer has presenteding documentation that demonstrates the disablement interval or period of use of an alternate malfunction criterion is limited only to that necessary for avoiding false detection, the Executive Officer shall approve the disablement or use of the alternate malfunction criterion for conditions involving:
- (i) rough road,
  - (ii) fuel cut,
  - (iii) gear changes for manual transmission vehicles,
  - (iv) traction control or other vehicle stability control activation such as anti-lock braking or other engine torque modifications to enhance vehicle stability,
  - (v) off-board control or intrusive activation of vehicle components or diagnostics during service or assembly plant testing,
  - (vi) portions of intrusive evaporative system or EGR diagnostics that can significantly affect engine stability (i.e., while the purge valve is open during the vacuum pull-down of a evaporative system leak check but not while the purge valve is closed and the evaporative system is sealed or while an EGR diagnostic causes the EGR valve to be intrusively cycled on and off during positive torque conditions), or
  - (vii) engine speed, load, or torque transients due to throttle movements more rapid than occurs over the US06 cycle for the worst case vehicle within each test group.
- (B) Additionally, the Executive Officer will approve a manufacturer's request in accordance with sections (e)(18.3) through (18.5) to disable misfire monitoring when fuel level is 15 percent or less of the nominal capacity of the fuel tank, when PTO units are active, or while engine coolant temperature is below 20 degrees Fahrenheit. The Executive Officer will approve a request to continue disablement on engine starts when engine

coolant temperature is below 20 degrees Fahrenheit at engine start until engine coolant temperature exceeds 70 degrees Fahrenheit.

- (C) In general, for 2005 and subsequent model year vehicles, the Executive Officer shall not approve disablement for conditions involving normal air conditioning compressor cycling from on-to-off or off-to-on, automatic transmission gear shifts (except for shifts occurring during wide open throttle operation), transitions from idle to off-idle, normal engine speed or load changes that occur during the engine speed rise time and settling time (i.e., “flare-up” and “flare-down”) immediately after engine starting without any vehicle operator-induced actions (e.g., throttle stabs), or excess acceleration (except for acceleration rates that exceed the maximum acceleration rate obtainable at wide open throttle while the vehicle is in gear due to abnormal conditions such as slipping of a clutch).
  - (D) The Executive Officer may approve misfire monitoring disablement or use of an alternate malfunction criterion for any other condition on a case by case basis upon determining that ~~if~~ the manufacturer ~~can~~ has demonstrated that the request is based on an unusual or unforeseen circumstance and that it is applying the best available computer and monitoring technology.
- (3.3.5) For engines with more than eight cylinders that cannot meet the requirements of section (e)(3.3.1), a manufacturer may request Executive Officer approval to use alternative misfire monitoring conditions. The Executive Officer shall approve the request upon determining that the manufacturer has ~~submitted~~ ed data and/or an engineering evaluation which adequately ~~demonstrates~~ that misfire detection throughout the required operating region cannot be achieved when employing proven monitoring technology (i.e., a technology that provides for compliance with these requirements on other engines) and provided misfire is detected to the fullest extent permitted by the technology. However, the Executive Officer may not grant the request if the misfire detection system is unable to monitor during all positive torque operating conditions encountered during an FTP cycle.
- (3.4) MIL Illumination and Fault Code Storage:
- (3.4.1) Misfire causing catalyst damage. Upon detection of the level of misfire specified in section (e)(3.2.1) above, the following criteria shall apply for MIL illumination and fault code storage:
- (A) Pending fault codes
    - (i) A pending fault code ~~and freeze frame conditions~~ shall be stored immediately if, during a single driving cycle, the specified misfire level is exceeded three times when operating in the positive torque region encountered during an FTP cycle or is exceeded on a single occasion when operating at any other engine speed and load condition in the positive torque region defined in section (e)(3.3.1).
    - (ii) Immediately after a pending fault code is stored as specified in section (e)(3.4.1)(A)(i) above, the MIL shall blink once per second at all times while misfire is occurring during the driving cycle.
      - a. The MIL may be extinguished during those times when misfire is not occurring during the driving cycle.



- b. If, at the time a misfire malfunction occurs, the MIL is already illuminated for a malfunction other than misfire, the MIL shall blink as previously specified in section (e)(3.4.1)(A)(ii) while misfire is occurring. If misfiring ceases, the MIL shall stop blinking but remain illuminated as required by the other malfunction.
- (B) Confirmed fault codes
- ~~a.~~(i) If a pending fault code for exceeding the misfire level set forth in section (e)(3.2.1) is stored, the OBD II system shall immediately store a confirmed fault code if the percentage of misfire specified in section (e)(3.2.1) is again exceeded one or more times during either: (a) the driving cycle immediately following the storage of the pending fault code, regardless of the conditions encountered during the driving cycle; or (b) on the next driving cycle in which similar conditions (see section (c)) to the engine conditions that occurred when the pending fault code was stored are encountered.
- (ii) If a pending fault code for exceeding the misfire level set forth in section (e)(3.2.2) is stored from a previous drive cycle, the OBD II system shall immediately store a confirmed fault code if the percentage of misfire specified in section (e)(3.2.1) is exceeded one or more times regardless of the conditions encountered.
- (iii) Upon storage of a confirmed fault code, the MIL shall blink as specified in subparagraph (e)(3.4.1)(A)(ii) above as long as misfiring is occurring and the MIL shall remain continuously illuminated, ~~even~~ if the misfiring ceases.
- (C) Erasure of pending fault codes
- Pending fault codes ~~and stored freeze frame conditions~~ shall be erased at the end of the next driving cycle in which similar conditions to the engine conditions that occurred when the pending fault code was stored have been encountered without any exceedance of the specified misfire levels. The pending code ~~and stored freeze frame conditions~~ may also be erased if similar driving conditions are not encountered during the next 80 driving cycles subsequent to the initial detection of a malfunction.
- (D) Exemptions for vehicles with fuel shutoff and default fuel control.
- Notwithstanding sections (e)(3.4.1)(A) and (B) above, in vehicles that provide for fuel shutoff and default fuel control to prevent over fueling during catalyst damage misfire conditions, the MIL need not blink. Instead, the MIL may illuminate continuously in accordance with the requirements for continuous MIL illumination in sections (e)(3.4.1)(B)(iii) above upon detection of misfire, provided that the fuel shutoff and default control are activated as soon as misfire is detected. Fuel shutoff and default fuel control may be deactivated only to permit fueling outside of the misfire range. Manufacturers may also periodically, but not more than once every 30 seconds, deactivate fuel shutoff and default fuel control to determine if the specified catalyst damage misfire level is still being exceeded. Normal fueling and fuel control may be resumed if the specified catalyst damage misfire level is no longer being exceeded.
- (E) Manufacturers may request Executive Officer approval of strategies that ~~steadily continuously~~ illuminate the MIL in lieu of blinking the MIL during

extreme catalyst damage misfire conditions (i.e., catalyst damage misfire occurring at all engine speeds and loads). Executive Officer approval shall be granted upon determining that if the manufacturer employs the strategy only when catalyst damage misfire levels cannot be avoided during reasonable driving conditions and the manufacturer has demonstrateds that the strategy will encourage operation of the vehicle in conditions that will minimize catalyst damage (e.g., at low engine speeds and loads).

- (3.4.2) Misfire causing emissions to exceed 1.5 times the FTP standards. Upon detection of the misfire level specified in section (e)(3.2.2), the following criteria shall apply for MIL illumination and fault code storage:
- (A) Misfire within the first 1000 revolutions after engine start.
- (i) A pending fault code ~~and freeze frame conditions~~ shall be stored no later than after the first exceedance of the specified misfire level during a single driving cycle if the exceedance occurs within the first 1000 revolutions after engine start (defined in section (c)) during which misfire detection is active.
  - (ii) If a pending fault code is stored, the OBD II system shall illuminate the MIL and store a confirmed fault code within ten seconds if an exceedance of the specified misfire level is again detected in the first 1000 revolutions during any subsequent driving cycle, regardless of the conditions encountered during the driving cycle.
  - (iii) The pending fault code ~~and stored freeze frame conditions~~ shall be erased at the end of the next driving cycle in which similar conditions to the engine conditions that occurred when the pending fault code was stored have been encountered without an exceedance of the specified percentage of misfire. The pending code ~~and stored freeze frame conditions~~ may also be erased if similar conditions are not encountered during the next 80 driving cycles immediately following the initial detection of the malfunction.
- (B) Exceedances after the first 1000 revolutions after engine start.
- (i) A pending fault code ~~and freeze frame conditions~~ shall be stored no later than after the fourth exceedance of the percentage of misfire specified in section (e)(3.2.2) during a single driving cycle.
  - (ii) If a pending fault code is stored, the OBD II system shall illuminate the MIL and store a confirmed fault code within ten seconds if the percentage of misfire specified in section (e)(3.2.2) is again exceeded four times during: (a) the driving cycle immediately following the storage of the pending fault code, regardless of the conditions encountered during the driving cycle; or (b) on the next driving cycle in which similar conditions (see section (c)) to the engine conditions that occurred when the pending fault code was stored are encountered.
  - (iii) The pending fault code ~~and stored freeze frame conditions~~ may be erased at the end of the next driving cycle in which similar conditions to the engine conditions that occurred when the pending fault code was stored have been encountered without an exceedance of the specified percentage of misfire. The pending code ~~and stored freeze frame conditions~~ may also be erased if similar conditions are not encountered

during the next 80 driving cycles immediately following initial detection of the malfunction.

(3.4.3) Storage of freeze frame conditions.

(A) A manufacturer shall store and erase freeze frame conditions either in conjunction with storing and erasing a pending fault code or in conjunction with storing and erasing a confirmed fault code.

(B) If freeze frame conditions are stored for a malfunction other than misfire or fuel system malfunction (see section (e)(6)) when a fault code is stored as specified in section (e)(3.4) above, the stored freeze frame information shall be replaced with freeze frame information regarding the misfire malfunction.

~~(3.4.3)~~(3.4.4) Storage of misfire conditions for similar conditions determination.

Upon detection of misfire under sections (e)(3.4.1) or (3.4.2), manufacturers shall store the following engine conditions: engine speed, load, and warm-up status of the first misfire event that resulted in the storage of the pending fault code.

~~(3.4.4)~~(3.4.5) Extinguishing the MIL. The MIL may be extinguished after three sequential driving cycles in which similar conditions have been encountered without an exceedance of the specified percentage of misfire.

(3.5) MISFIRE MONITORING FOR DIESELS

(3.5.1) Requirement:

(A) The OBD II system on a diesel engine shall be capable of detecting misfire occurring continuously in one or more cylinders. To the extent possible without adding hardware for this specific purpose, the OBD II system shall also identify the specific continuously misfiring cylinder.

(B) If more than one cylinder is continuously misfiring, a separate fault code shall be stored indicating that multiple cylinders are misfiring. When identifying multiple cylinder misfire, the manufacturer is not required to also identify each of the continuously misfiring cylinders individually through separate fault codes.

(3.5.2) Malfunction Criteria: The OBD II system shall detect a misfire malfunction when one or more cylinders are continuously misfiring.

(3.5.3) Monitoring Conditions: The OBD II system shall monitor for misfire during engine idle conditions. A manufacturer shall submit monitoring conditions to the Executive Officer for approval. The Executive Officer shall approve manufacturer defined monitoring conditions that are determined (based on manufacturer submitted data and/or other engineering documentation) to ~~be~~: (i) be technically necessary to ensure robust detection of malfunctions (e.g., avoid false passes and false detection of malfunctions), (ii) require no more than 1000 cumulative engine revolutions, and (iii) do not require any single continuous idle operation of more than 15 seconds to make a determination that a malfunction is present (e.g., a decision can be made with data gathered during several idle operations of 15 seconds or less). For 2004 model year vehicles only, a manufacturer may comply with the monitoring conditions for diesel misfire monitoring in title 13, CCR section 1968.1 in lieu of meeting the monitoring conditions in section (e)(3.5.3).



(3.5.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(4) EVAPORATIVE SYSTEM MONITORING

(4.1) Requirement: The OBD II system shall verify purge flow from the evaporative system and shall monitor the complete evaporative system, excluding the tubing and connections between the purge valve and the intake manifold, for vapor leaks to the atmosphere. Individual components of the evaporative system (e.g. valves, sensors, etc.) shall be monitored in accordance with the comprehensive components requirements in section (e)(16) (e.g., for circuit continuity, out of range values, rationality, proper functional response, etc.).

(4.2) Malfunction Criteria:

(4.2.1) For purposes of section (e)(4), an orifice shall be defined as an O'Keefe Controls Co. precision metal "Type B" orifice with NPT connections with a diameter of the specified dimension (e.g., part number B-20-SS for a stainless steel 0.020 inch diameter orifice).

(4.2.2) The OBD II system shall detect an evaporative system malfunction when any of the following conditions exist:

(A) No purge flow from the evaporative system to the engine can be detected by the OBD II system;

(B) The complete evaporative system contains a leak or leaks that cumulatively are greater than or equal to a leak caused by a 0.040 inch diameter orifice; and

(C) The complete evaporative system contains a leak or leaks that cumulatively are greater than or equal to a leak caused by a 0.020 inch diameter orifice.

(4.2.3) On vehicles with fuel tank capacity greater than 25.0 gallons, a manufacturer may request the Executive Officer to revise the orifice size in sections (e)(4.2.2)(B) and/or (C) if the most reliable monitoring method available cannot reliably detect a system leak of the magnitudes specified. The Executive Officer shall approve the request upon finding-determining that the manufacturer has provided adequate-data and/or engineering analysis that demonstrate the need for to support the request.

(4.2.4) Upon request by the manufacturer and upon determining that the manufacturer has ~~submitted~~ data and/or engineering evaluation which adequately support the request, the Executive Officer shall revise the orifice size in sections (e)(4.2.2)(B) and/or (C) upward to exclude detection of leaks that cannot cause evaporative or running loss emissions to exceed 1.5 times the applicable standards.

(4.2.5) A manufacturer may request Executive Officer approval to revise the orifice size in section (e)(4.2.2)(B) to a 0.090 inch diameter orifice. The Executive Officer shall approve the request upon the manufacturer submitting data and/or engineering analysis and the Executive Officer finding that:

(A) the monitoring strategy for detecting orifices specified in section (e)(4.2.2)(C) meets the monitoring conditions requirements of section (e)(4.3.2); and

- (B) the monitoring strategy for detecting 0.090 inch diameter orifices substantially exceeds the monitoring conditions requirements of section (e)(4.3.1) for monitoring strategies designed to detect orifices specified in section (e)(4.2.2)(B).
- (4.2.6) For the 2004 and 2005 model years only, manufacturers that use separate monitors to identify leaks (as specified in (e)(4.2.2)(B) or (C)) in different portions of the complete evaporative system (e.g., separate monitors for the fuel tank to canister portion and for the canister to purge valve portion of the system) may request Executive Officer approval to revise the malfunction criteria in sections (e)(4.2.2)(B) and (C) to identify a malfunction when the separately monitored portion of the evaporative system (e.g., the fuel tank to canister portion) has a leak (or leaks) that is greater than or equal to the specified size in lieu of when the complete evaporative system has a leak (or leaks) that is greater than or equal to the specified size. The Executive Officer shall approve the request upon [finding-determining](#) that the manufacturer utilized the same monitoring strategy (e.g., monitoring portions of the complete system with separate monitors) on vehicles prior to the 2004 model year and that the monitoring strategy provides further isolation of the malfunction for repair technicians by utilizing separate fault codes for each monitored portion of the evaporative system.
- (4.3) Monitoring Conditions:
- (4.3.1) Manufacturers shall define the monitoring conditions for malfunctions identified in sections (e)(4.2.2)(A) and (B) (i.e., purge flow and 0.040 inch leak detection) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).
- (4.3.2) Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(4.2.2)(C) (i.e., 0.020 inch leak detection) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements). For purposes of tracking and reporting as required in section (d)(3.2.2), all monitors used to detect malfunctions identified in section (e)(4.2.2)(C) shall be tracked separately but reported as a single set of values as specified in section (d)(5.2.2).
- (4.3.3) Manufacturers may disable or abort an evaporative system monitor when the fuel tank level is over 85 percent of nominal tank capacity or during a refueling event.
- (4.3.4) Manufacturers may request Executive Officer approval to execute the evaporative system monitor only on driving cycles determined by the manufacturer to be cold starts if the condition is needed to ensure reliable monitoring. The Executive Officer may not approve criteria that exclude engine starts from being considered as cold starts solely on the basis that ambient temperature exceeds (i.e., indicates a higher temperature than) engine coolant temperature at engine start. The Executive Officer shall approve the request upon [finding-determining](#) that data and/or an engineering evaluation submitted by the manufacturer [adequately](#) demonstrate that a reliable check can only be made on driving cycles when the cold start criteria are satisfied.

- (4.3.5) Manufacturers may temporarily disable the evaporative purge system to perform an evaporative system leak check.
- (4.4) MIL Illumination and Fault Code Storage:
- (4.4.1) Except as provided below for fuel cap leaks and alternate statistical MIL illumination protocols, general requirements for MIL illumination and fault code storage are set forth in section (d)(2).
- (4.4.2) If the OBD II system is capable of discerning that a system leak is being caused by a missing or improperly secured fuel cap:
- (A) The manufacturer is not required to illuminate the MIL or store a fault code if the vehicle is equipped with an alternative indicator for notifying the vehicle operator of the malfunction. The alternative indicator shall be of sufficient illumination and location to be readily visible under all lighting conditions. ~~conform to the requirements outlined in section (d)(2.1.1) for location and illumination.~~
- (B) If the vehicle is not equipped with an alternative indicator and the MIL illuminates, the MIL may be extinguished and the corresponding fault codes erased once the OBD II system has verified that the fuel cap has been securely fastened and the MIL has not been illuminated for any other type of malfunction.
- (C) The Executive Officer may approve other strategies that provide equivalent assurance that a vehicle operator will be promptly notified of a missing or improperly secured fuel cap and that corrective action will be undertaken.
- (4.4.3) Notwithstanding section (d)(2.2.3), manufacturers may request Executive Officer approval to use alternative statistical MIL illumination and fault code storage protocols that require up to twelve driving cycles on average for monitoring strategies designed to detect malfunctions specified by section (e)(4.2.2)(C). Executive Officer approval shall be granted in accordance with the bases identified in section (d)(2.2.3) and upon determination that if the manufacturer has submitted data and/or an engineering analysis adequately demonstrating that the most reliable monitoring method available cannot reliably detect a malfunction of the specified size without the additional driving cycles and that the monitoring system will still meet the monitoring conditions requirements specified in sections (d)(3.1) and (3.2).
- (5) SECONDARY AIR SYSTEM MONITORING
- (5.1) Requirement: The OBD II system on vehicles equipped with any form of secondary air delivery system shall monitor the proper functioning of the secondary air delivery system including all air switching valve(s). The individual electronic components (e.g., actuators, valves, sensors, etc.) in the secondary air system shall be monitored in accordance with the comprehensive component requirements in section (e)(16).
- (5.2) Malfunction Criteria:
- (5.2.1) For purposes of section (e)(5), "air flow" is defined as the air flow delivered by the secondary air system to the exhaust system. For vehicles using secondary air systems with multiple air flow paths/distribution points, the air flow to each bank (i.e., a group of cylinders that share a common

- exhaust manifold, catalyst, and control sensor) shall be monitored in accordance with the malfunction criteria in sections (e)(5.2.3) and (5.2.4).
- (5.2.2) For all Low Emission Vehicle I applications:
- (A) Except as provided in sections (e)(5.2.2)(B) and (e)(5.2.4), the OBD II system shall detect a secondary air system malfunction prior to a decrease from the manufacturer's specified air flow that would cause a vehicle's emissions to exceed 1.5 times any of the applicable FTP standards.
  - (B) Manufacturers may request Executive Officer approval to detect a malfunction when no detectable amount of air flow is delivered in lieu of the malfunction criteria in section (e)(5.2.2)(A). The Executive Office shall grant approval upon determining that deterioration of the secondary air system is unlikely based on data and/or engineering evaluation submitted by the manufacturer demonstrating that the materials used for the secondary air system (e.g., air hoses, tubing, valves, connectors, etc.) are inherently resistant to disconnection, corrosion, or other deterioration.
- (5.2.3) For all Low Emission Vehicle II applications:
- (A) For 2004 and 2005 model year vehicles, manufacturers shall use the malfunction criteria specified for Low Emission Vehicle I applications in section (e)(5.2.2).
  - (B) For 2006 and subsequent model year vehicles, except as provided in sections (e)(5.2.3)(C) and (e)(5.2.4), the OBD II system shall detect a secondary air system malfunction prior to a decrease from the manufacturer's specified air flow during normal operation that would cause a vehicle's emissions to exceed 1.5 times any of the applicable FTP standards. For purposes of sections (e)(5.2) and (5.3), "normal operation" shall be defined as the condition when the secondary air system is activated during catalyst and/or engine warm-up following engine start and may not include the condition when the secondary air system is intrusively turned on solely for the purpose of monitoring.
  - (C) For 2006 and 2007 model year vehicles only, a manufacturer may request Executive Officer approval to detect a malfunction when no detectable amount of air flow is delivered during normal operation in lieu of the malfunction criteria in section (e)(5.2.3)(B) (e.g., 1.5 times the standard) during normal operation. Executive Officer approval shall be granted upon determining that if the manufacturer has submitted data and/or engineering analysis adequately that demonstrating that the monitoring system is capable of detecting malfunctions prior to a decrease from the manufacturer's specified air flow that would cause a vehicle's emissions to exceed 1.5 times any of the applicable FTP standards during an intrusive operation of the secondary air system later in the same driving cycle.
- (5.2.4) For vehicles in which no deterioration or failure of the secondary air system would result in a vehicle's emissions exceeding 1.5 times any of the applicable standards, the OBD II system shall detect a malfunction when no detectable amount of air flow is delivered. For vehicles subject to the malfunction criteria in section (e)(5.2.3)(B), this monitoring for no detectable amount of air flow shall occur during normal operation of the secondary air system.

(5.3) Monitoring Conditions:

(5.3.1) For all Low Emission Vehicle I applications: Manufacturers shall define the monitoring conditions in accordance with section (d)(3.1).

(5.3.2) For all Low Emission Vehicle II applications:

(A) For 2004 and 2005 model year vehicles, manufacturers shall define the monitoring conditions in accordance with section (d)(3.1).

(B) For 2006 and subsequent model year vehicles, manufacturers shall define the monitoring conditions in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements). For purposes of tracking and reporting as required in section (d)(3.2.2), all monitors used to detect malfunctions identified in section (e)(5.2) during normal operation of the secondary air system shall be tracked separately but reported as a single set of values as specified in sections (d)(4.2.2)(C) and (d)(5.2.2).

(5.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(6) FUEL SYSTEM MONITORING

(6.1) Requirement:

(6.1.1) For all vehicles except vehicles with diesel engines, the OBD II system shall monitor the fuel delivery system to determine its ability to provide compliance with emission standards.

(6.1.2) For vehicles with diesel engines, the manufacturer shall monitor the performance of all electronic fuel system components to the extent feasible with respect to the malfunction criteria specified in section (e)(6.2) below.

(6.2) Malfunction Criteria:

(6.2.1) The OBD II system shall detect a malfunction of the fuel delivery system (including feedback control based on a secondary oxygen sensor) when the fuel delivery system is unable to maintain a vehicle's emissions at or below 1.5 times any of the applicable FTP standards.

(6.2.2) Except as provided for in section (e)(6.2.3) below, if the vehicle is equipped with adaptive feedback control, the OBD II system shall detect a malfunction when the adaptive feedback control has used up all of the adjustment allowed by the manufacturer.

(6.2.3) If the vehicle is equipped with feedback control that is based on a secondary oxygen (or equivalent) sensor, the OBD II system is not required to detect a malfunction of the fuel system solely when the feedback control based on a secondary oxygen sensor has used up all of the adjustment allowed by the manufacturer. However, if a failure or deterioration results in vehicle emissions that exceed the malfunction criteria in section (e)(6.2.1), the OBD II system is required to detect a malfunction.

(6.2.4) The OBD II system shall detect a malfunction whenever the fuel control system fails to enter closed-loop operation (if employed) within a manufacturer specified time interval.

(6.2.5) Manufacturers may adjust the criteria and/or limit(s) to compensate for changes in altitude, for temporary introduction of large amounts of purge

vapor, or for other similar identifiable operating conditions when they occur.

(6.3) Monitoring Conditions: The fuel system shall be monitored continuously for the presence of a malfunction.

(6.4) MIL Illumination and Fault Code Storage:

(6.4.1) A pending fault code ~~and freeze frame conditions~~ shall be stored immediately upon the fuel system exceeding the malfunction criteria established pursuant to section (e)(6.2).

(6.4.2) Except as provided below, if a pending fault code is stored, the OBD II system shall immediately illuminate the MIL and store a confirmed fault code if a malfunction is again detected during either of the following two events: (a) the driving cycle immediately following the storage of the pending fault code, regardless of the conditions encountered during the driving cycle; or (b) on the next driving cycle in which similar conditions (see section (c)) to those that occurred when the pending fault code was stored are encountered.

(6.4.3) The pending fault code ~~and stored freeze frame conditions~~ may be erased at the end of the next driving cycle in which similar conditions have been encountered without an exceedance of the specified fuel system malfunction criteria. The pending code ~~and stored freeze frame conditions~~ may also be erased if similar conditions are not encountered during the 80 driving cycles immediately after the initial detection of a malfunction for which the pending code was set.

(6.4.4) Storage of freeze frame conditions.

(A) A manufacturer shall store and erase freeze frame conditions either in conjunction with storing and erasing a pending fault code or in conjunction with storing and erasing a confirmed fault code.

(B) If freeze frame conditions are stored for a malfunction other than misfire (see section (e)(3)) or fuel system malfunction when a fault code is stored as specified in section (e)(6.4) above, the stored freeze frame information shall be replaced with freeze frame information regarding the fuel system malfunction.

~~(6.4.4)~~(6.4.5) Storage of fuel system conditions for determining similar conditions of operation. Upon detection of a fuel system malfunction under section (e)(6.2), manufacturers shall store the engine speed, load, and warm-up status of the first fuel system malfunction that resulted in the storage of the pending fault code.

~~(6.4.5)~~(6.4.6) Extinguishing the MIL. The MIL may be extinguished after three sequential driving cycles in which similar conditions have been encountered without a malfunction of the fuel system.

(7) OXYGEN SENSOR MONITORING

(7.1) Requirement:

(7.1.1) The OBD II system shall monitor the output voltage, response rate, and any other parameter which can affect emissions of all primary (fuel control) oxygen (lambda) sensors for malfunction. Both the lean-to-rich and rich-to-lean response rates shall be monitored.



- (7.1.2) The OBD II system shall also monitor all secondary oxygen sensors (those used for fuel trim control or as a monitoring device) for proper output voltage, activity, and/or response rate.
- (7.1.3) For vehicles equipped with heated oxygen sensors, the OBD II system shall monitor the heater for proper performance.
- (7.1.4) For other types of sensors (e.g., wide range or universal lambda sensors, etc.), the manufacturer shall submit a monitoring plan to the Executive Officer for approval. The Executive Officer shall approve the request upon finding-determining that the manufacturer has submitted data and an engineering evaluation that demonstrate that the monitoring plan is as reliable and effective as the monitoring plan required for conventional sensors under section (e)(7).
- (7.2) Malfunction Criteria:
  - (7.2.1) Primary Sensors:
    - (A) The OBD II system shall detect a malfunction prior to any failure or deterioration of the oxygen sensor voltage, response rate, amplitude, or other characteristic(s) (including drift or bias corrected for by secondary sensors) that would cause a vehicle's emissions to exceed 1.5 times any of the applicable FTP standards.
    - (B) The OBD II system shall detect malfunctions of the oxygen sensor caused by a lack of circuit continuity or out of range values.
    - (C) The OBD II system shall detect a malfunction of the oxygen sensor when a sensor failure or deterioration causes the fuel system to stop using that sensor as a feedback input (e.g., causes default or open loop operation).
    - (D) The OBD II system shall detect a malfunction of the oxygen sensor when the sensor output voltage, amplitude, activity, or other characteristics are no longer sufficient for use as an OBD II system monitoring device (e.g., for catalyst monitoring).
  - (7.2.2) Secondary Sensors:
    - (A) The OBD II system shall detect a malfunction prior to any failure or deterioration of the oxygen sensor voltage, response rate, amplitude, or other characteristic(s) that would cause a vehicle's emissions to exceed 1.5 times any of the applicable FTP standards.
    - (B) The OBD II system shall detect malfunctions of the oxygen sensor caused by a lack of circuit continuity.
    - (C) To the extent feasible, the OBD II system shall detect a malfunction of the oxygen sensor when the sensor output voltage, amplitude, activity, or other characteristics are no longer sufficient for use as an OBD II system monitoring device (e.g., for catalyst monitoring).
    - (D) The OBD II system shall detect malfunctions of the oxygen sensor caused by out of range values.
  - (7.2.3) Sensor Heaters:
    - (A) The OBD II system shall detect a malfunction of the heater performance when the current or voltage drop in the heater circuit is no longer within the manufacturer's specified limits for normal operation (i.e., within the criteria required to be met by the component vendor for heater circuit performance at high mileage). Subject to Executive Officer approval, other malfunction criteria for heater performance malfunctions may be

used upon the Executive Officer determining that provided the manufacturer has submitteds data and/or an engineering evaluation that adequately showing demonstrate the monitoring reliability and timeliness to be equivalent to the stated criteria in section (e)(7.2.3)(A).

- (B) The OBD II system shall detect malfunctions of the heater circuit including open or short circuits that conflict with the commanded state of the heater (e.g., shorted to 12 Volts when commanded to 0 Volts (ground), etc.).

(7.3) Monitoring Conditions:

(7.3.1) Primary Sensors

- (A) Manufacturers shall define the monitoring conditions for malfunctions identified in sections (e)(7.2.1)(A) and (D) (e.g., proper response rate) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements). For purposes of tracking and reporting as required in section (d)(3.2.2), all monitors used to detect malfunctions identified in sections (e)(7.2.1)(A) and (D) shall be tracked separately but reported as a single set of values as specified in section (d)(5.2.2).
- (B) Except as provided in section (e)(7.3.1)(C), monitoring for malfunctions identified in sections (e)(7.2.1)(B) and (C) (i.e., circuit continuity, out-of-range, and open-loop malfunctions) shall be:
  - (i) Conducted in accordance with title 13, CCR section 1968.1 for Low Emission Vehicle I applications and 2004 and 2005 model year Low Emission Vehicle II applications;
  - (ii) Conducted continuously for all 2006 and subsequent model year Low Emission Vehicle II applications.
- (C) A manufacturer may request Executive Officer approval to disable continuous oxygen sensor monitoring when an oxygen sensor malfunction cannot be distinguished from other effects (e.g., disable out-of-range low monitoring during fuel cut conditions). The Executive Officer shall approve the disablement upon determining that the manufacturer has submitteding test data and/or documentation that demonstrates a properly functioning sensor cannot be distinguished from a malfunctioning sensor and that the disablement interval is limited only to that necessary for avoiding false detection.

(7.3.2) Secondary Sensors

- (A) Manufacturers shall define monitoring conditions for malfunctions identified in sections (e)(7.2.2)(A), (B), and (C) (e.g., proper sensor activity) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).
- (B) Except as provided in section (e)(7.3.2)(C), monitoring for malfunctions identified in section (e)(7.2.2)(D) (i.e., out-of-range malfunctions) shall be:
  - (i) Conducted in accordance with title 13, CCR section 1968.1 for Low Emission Vehicle I applications and 2004 and 2005 model year Low Emission Vehicle II applications;
  - (ii) Conducted continuously for all 2006 and subsequent model year Low Emission Vehicle II applications.
- (C) A manufacturer may request Executive Officer approval to disable continuous oxygen sensor monitoring when an oxygen sensor malfunction cannot be distinguished from other effects (e.g., disable out-of-range low



monitoring during fuel cut conditions). The Executive Officer shall approve the disablement upon determining that the manufacturer has ~~submitted~~ed test data and/or documentation that demonstrates a properly functioning sensor cannot be distinguished from a malfunctioning sensor and that the disablement interval is limited only to that necessary for avoiding false detection.

(7.3.3) Sensor Heaters

(A) Manufacturers shall define monitoring conditions for malfunctions identified in section (e) (87.2.3)(A) (e.g., sensor heater performance) in accordance sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).

(B) Monitoring for malfunctions identified in section (e)(7.2.3)(B) (e.g., circuit malfunctions) shall be:

(i) Conducted in accordance with title 13, CCR section 1968.1 for 2004 and 2005 model year vehicles;

(ii) Conducted continuously for all 2006 and subsequent model year vehicles.

(7.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(8) EXHAUST GAS RECIRCULATION (EGR) SYSTEM MONITORING

(8.1) Requirement: The OBD II system shall monitor the EGR system on vehicles so-equipped for low and high flow rate malfunctions. The individual electronic components (e.g., actuators, valves, sensors, etc.) that are used in the EGR system shall be monitored in accordance with the comprehensive component requirements in section (e)(16).

(8.2) Malfunction Criteria:

(8.2.1) The OBD II system shall detect a malfunction of the EGR system prior to an increase or decrease from the manufacturer's specified EGR flow rate that would cause a vehicle's emissions to exceed 1.5 times any of the applicable FTP standards.

(8.2.2) For vehicles in which no failure or deterioration of the EGR system could result in a vehicle's emissions exceeding 1.5 times any of the applicable standards, the OBD II system shall detect a malfunction when the system has no detectable amount of EGR flow.

(8.3) Monitoring Conditions:

(8.3.1) Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(8.2) (e.g., flow rate) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements). For purposes of tracking and reporting as required in section (d)(3.2.2), all monitors used to detect malfunctions identified in section (e)(8.2.2) shall be tracked separately but reported as a single set of values as specified in section (d)(5.2.2).

(8.3.2) Manufacturers may request Executive Officer approval to temporarily disable the EGR system check under specific conditions (e.g., when freezing may affect performance of the system). The Executive Officer shall approve the request upon determining that provided the manufacturer has ~~submitted~~eds data and/or an engineering evaluation which

adequately demonstrate that a reliable check cannot be made when these conditions exist.

(8.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(9) POSITIVE CRANKCASE VENTILATION (PCV) SYSTEM MONITORING

(9.1) Requirement:

(9.1.1) On all 2004 and subsequent model year vehicles, manufacturers shall monitor the PCV system on vehicles so-equipped for system integrity. A manufacturer may use an alternate phase-in schedule in lieu of meeting the requirements of section (e)(9) on all 2004 model year vehicles if the alternate phase-in schedule provides for equivalent compliance volume (as defined in section (c)) to the phase-in schedule specified in title 13, CCR section 1968.1(b)(10.1). Vehicles not required to be equipped with PCV systems shall be exempt from monitoring of the PCV system.

(9.1.2) For vehicles with diesel engines, the manufacturer shall submit a plan for Executive Officer approval of the monitoring strategy, malfunction criteria, and monitoring conditions prior to introduction on a production vehicle. Executive Officer approval shall be based on the effectiveness of the monitoring strategy to monitor the performance of the PCV system to the extent feasible with respect to the malfunction criteria in section (e)(9.2) below and the monitoring conditions required by the diagnostic.

(9.2) Malfunction Criteria:

(9.2.1) For the purposes of section (e)(9), "PCV system" is defined as any form of crankcase ventilation system, regardless of whether it utilizes positive pressure. "PCV valve" is defined as any form of valve or orifice used to restrict or control crankcase vapor flow. Further, any additional external PCV system tubing or hoses used to equalize crankcase pressure or to provide a ventilation path between various areas of the engine (e.g., crankcase and valve cover) are considered part of the PCV system "between the crankcase and the PCV valve" and subject to the malfunction criteria in section (e)(9.2.2) below.

(9.2.2) Except as provided below, the OBD II system shall detect a malfunction of the PCV system when a disconnection of the system occurs between either the crankcase and the PCV valve, or between the PCV valve and the intake manifold.

(9.2.3) If the PCV system is designed such that the PCV valve is fastened directly to the crankcase in a manner which makes it significantly more difficult to remove the valve from the crankcase rather than disconnect the line between the valve and the intake manifold (taking aging effects into consideration), the Executive Officer shall exempt the manufacturer from detection of disconnection between the crankcase and the PCV valve.

(9.2.4) Subject to Executive Officer approval, system designs that utilize tubing between the valve and the crankcase shall also be exempted from the portion of the monitoring requirement for detection of disconnection between the crankcase and the PCV valve. The manufacturer shall file a request and submit data and/or engineering evaluation in support of the request. The Executive Officer shall approve the request upon finding

determining that the connections between the valve and the crankcase are: (i) resistant to deterioration or accidental disconnection, (ii) significantly more difficult to disconnect than the line between the valve and the intake manifold, and (iii) not subject to disconnection per manufacturer's repair procedures for non-PCV system repair work.

(9.2.5) Manufacturers are not required to detect disconnections between the PCV valve and the intake manifold if said disconnection (1) causes the vehicle to stall immediately during idle operation; or (2) is unlikely to occur due to a PCV system design that is integral to the induction system (e.g., machined passages rather than tubing or hoses).

(9.3) Monitoring Conditions: Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(9.2) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).

(9.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2). The stored fault code need not specifically identify the PCV system (e.g., a fault code for idle speed control or fuel system monitoring can be stored) if the manufacturer demonstrates that additional monitoring hardware would be necessary to make this identification, and provided the manufacturer's diagnostic and repair procedures for the detected malfunction include directions to check the integrity of the PCV system.

## (10) ENGINE COOLING SYSTEM MONITORING

(10.1) Requirement:

(10.1.1) The OBD II system shall monitor the thermostat on vehicles so-equipped for proper operation.

(10.1.2) The OBD II system shall monitor the engine coolant temperature (ECT) sensor for circuit continuity, out-of-range values, and rationality faults.

(10.2) Malfunction Criteria:

(10.2.1) Thermostat

(A) The OBD II system shall detect a thermostat malfunction if, within an Executive Officer approved time interval after starting the engine, either of the following two conditions occur:

(i) The coolant temperature does not reach the highest temperature required by the OBD II system to enable other diagnostics;

(ii) The coolant temperature does not reach a warmed-up temperature within 20 degrees Fahrenheit of the manufacturer's nominal thermostat regulating temperature. Subject to Executive Officer approval, a manufacturer may utilize lower temperatures for this criterion upon the Executive Officer determining that the manufacturer has if it can adequately demonstrated that the fuel, spark timing, and/or other coolant temperature-based modifications to the engine control strategies would not cause an emission increase of 50 or more percent of any of the applicable standards (e.g., 50 degree Fahrenheit emission test, etc.).

(B) Executive Officer approval of the time interval after engine start shall be granted upon determining that the based on data and/or engineering evaluation submitted by the manufacturer ~~to~~ supports the specified times.

- (C) With Executive Officer approval, a manufacturer may use alternate malfunction criteria and/or monitoring conditions (see section (e)(10.3)) that are a function of temperature at engine start on vehicles that do not reach the temperatures specified in the malfunction criteria when the thermostat is functioning properly. Executive Officer approval shall be granted upon determining that ~~based on~~ the manufacturer has ~~submitted~~ ing data that demonstrates that a properly operating system does not reach the specified temperatures, that the monitor is capable of meeting the specified malfunction criteria at engine start temperatures greater than 50°F, and that the overall effectiveness of the monitor is comparable to a monitor meeting these thermostat monitoring requirements at lower temperatures.
- (D) With Executive Officer approval, manufacturers may omit this monitor. Executive Officer approval shall be granted upon determining that ~~if~~ the manufacturer adequately has ~~demonstrated~~ s that a malfunctioning thermostat cannot cause a measurable increase in emissions during any reasonable driving condition nor cause any disablement of other monitors.
- (10.2.2) ECT Sensor
- (A) Circuit Continuity. The OBD II system shall detect a malfunction when a lack of circuit continuity or out-of-range values occur.
- (B) Time to Reach Closed-Loop Enable Temperature.
- (i) The OBD II system shall detect a malfunction if the ECT sensor does not achieve the stabilized minimum temperature which is needed for the fuel control system to begin closed-loop operation (closed-loop enable temperature) within an Executive Officer approved time interval after starting the engine. For diesel applications, the minimum temperature needed for warmed-up fuel control to begin shall be used instead of the closed-loop enable temperature.
- (ii) The time interval shall be a function of starting ECT and/or a function of intake air temperature and, except as provided below in section (e)(10.2.2)(B)(iii), may not exceed:
- a. two minutes for engine start temperatures at or above 50 degrees Fahrenheit and five minutes for engine start temperatures at or above 20 degrees Fahrenheit and below 50 degrees Fahrenheit for Low Emission Vehicle I applications and 2004 and 2005 model year Low Emission Vehicle II applications;
  - b. two minutes for engine start temperatures up to 15 degrees Fahrenheit below the closed-loop enable temperature and five minutes for engine start temperatures between 15 and 35 degrees Fahrenheit below the closed-loop enable temperature for all 2006 and subsequent model year Low Emission Vehicle II applications.
- (iii) Executive Officer approval of the time interval shall be granted upon determining that the ~~based on~~ data and/or engineering evaluation submitted by the manufacturer ~~to support~~ s the specified times. The Executive Officer shall allow longer time intervals upon determining that the ~~provided a~~ manufacturer has ~~submitted~~ s data and/or an engineering evaluation ~~which that~~ adequately demonstrate that the vehicle requires a longer time to warm up under normal conditions.

- (iv) The Executive Officer shall exempt manufacturers from the requirement of section (e)(10.2.2)(B) if the manufacturer does not utilize ECT to enable closed loop fuel control.
- (C) Stuck in Range Below the Highest Minimum Enable Temperature. The OBD II system shall detect a malfunction if the ECT sensor indicates a fixed temperature below the highest minimum enable temperature required by the OBD II system to enable other diagnostics (e.g., an OBD II system that requires ECT to be greater than 140 degrees Fahrenheit to enable a diagnostic must detect malfunctions that cause the ECT sensor to indicate a fixed temperature below 140 degrees Fahrenheit). Manufacturers are exempted from this requirement for temperature regions in which the monitors required under sections (e)(10.2.1) or (e)(10.2.2)(B) will detect ECT sensor malfunctions as defined in section (e)(10.2.2)(C).
- (D) Stuck in Range Above the Lowest Maximum Enable Temperature.
  - (i) The OBD II system shall detect a malfunction if the ECT sensor indicates a fixed temperature above the lowest maximum enable temperature required by the OBD II system to enable other diagnostics (e.g., an OBD II system that requires ECT to be less than 90 degrees Fahrenheit at engine start to enable a diagnostic must detect malfunctions that cause the ECT sensor to indicate a fixed temperature above 90 degrees Fahrenheit).
  - (ii) Manufacturers are exempted from this requirement for temperature regions in which the monitors required under sections (e)(10.2.1), (e)(10.2.2)(B), or (e)(10.2.2)(C) (i.e., ECT sensor or thermostat malfunctions) will detect ECT sensor malfunctions as defined in section (e)(10.2.2)(D) or in which the MIL will be illuminated under the requirements of section (d)(2.1.3) for default mode operation (e.g., overtemperature protection strategies).
  - (iii) For Low Emission Vehicle I applications and 2004 and 2005 model year Low Emission Vehicle II applications only, manufacturers are also exempted from the requirements of section (e)(10.2.2)(D) for vehicles that have a temperature gauge (not a warning light) on the instrument panel and utilize the same ECT sensor for input to the OBD II system and the temperature gauge.
  - (iv) For 2006 and subsequent model year Low Emission Vehicle II applications, manufacturers are also exempted from the requirements of section (e)(10.2.2)(D) for temperature regions where the temperature gauge indicates a temperature in the red zone (engine overheating zone) for vehicles that have a temperature gauge (not a warning light) on the instrument panel and utilize the same ECT sensor for input to the OBD II system and the temperature gauge.

(10.3) Monitoring Conditions:

(10.3.1) Thermostat

- (A) Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(10.2.1)(A) in accordance with section (d)(3.1). Additionally, except as provided for in sections (e)(10.3.1)(B) and (C), monitoring for malfunctions identified in section (e)(10.2.1)(A) shall be



conducted once per driving cycle on every driving cycle in which the ECT sensor indicates, at engine start, a temperature lower than the temperature established as the malfunction criteria in section (e)(10.2.1)(A).

- (B) Manufacturers may disable thermostat monitoring at ambient starting temperatures below 20 degrees Fahrenheit.
- (C) Manufacturers may request Executive Officer approval to suspend or disable thermostat monitoring if the vehicle is subjected to conditions which could lead to false diagnosis (e.g., vehicle operation at idle for more than 50 percent of the warm-up time, hot restart conditions, etc.). In general, the Executive Officer shall not approve disablement of the monitor on engine starts where the ECT at engine start is more than 35 degrees Fahrenheit lower than the thermostat malfunction threshold temperature determined under section (e)(10.2.1)(A). The Executive Officer shall approve the request upon finding-determining that the manufacturer has provided adequate data and/or engineering analysis that demonstrate the need for ~~to support~~ the request.

(10.3.2) ECT Sensor

- (A) Except as provided below in section (e)(10.3.2)(E), Monitoring for malfunctions identified in section (e)(10.2.2)(A) (i.e., circuit continuity and out of range) shall be conducted continuously.
- (B) Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(10.2.2)(B) in accordance with section (d)(3.1). Additionally, except as provided for in section (e)(10.3.2)(D), monitoring for malfunctions identified in section (e)(10.2.2)(B) shall be conducted once per driving cycle on every driving cycle in which the ECT sensor indicates a temperature lower than the closed loop enable temperature at engine start (i.e., all engine start temperatures greater than the ECT sensor out of range low temperature and less than the closed loop enable temperature).
- (C) Manufacturers shall define the monitoring conditions for malfunctions identified in sections (e)(10.2.2)(C) and (D) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).
- (D) Manufacturers may suspend or delay the time to reach closed loop enable temperature diagnostic if the vehicle is subjected to conditions which could lead to false diagnosis (e.g., vehicle operation at idle for more than 50 to 75 percent of the warm-up time).
- (E) A manufacturer may request Executive Officer approval to disable continuous ECT sensor monitoring when an ECT sensor malfunction cannot be distinguished from other effects. The Executive Officer shall approve the disablement upon determining that the manufacturer has submitted test data and/or engineering evaluation that demonstrate a properly functioning sensor cannot be distinguished from a malfunctioning sensor and that the disablement interval is limited only to that necessary for avoiding false detection.

- (10.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(11) COLD START EMISSION REDUCTION STRATEGY MONITORING

(11.1) Requirement:

(11.1.1) For all 2006 and subsequent model year Low Emission Vehicle II applications, if a vehicle incorporates a specific engine control strategy to reduce cold start emissions, the OBD II system shall monitor the key control or feedback parameters (e.g., engine speed, mass air flow, ignition timing, etc.), other than secondary air, while the control strategy is active to ensure proper operation of the control strategy. Secondary air systems shall be monitored under the provisions of section (e)(5).

(11.1.2) In lieu of meeting ~~the~~ requirements of section (e)(11) on all 2006 and subsequent model year Low Emission Vehicle II applications, a manufacturer shall may be phased in the requirements only on Low Emission Vehicle II applications such that at least as follows: 30 percent of all 2006 model year vehicles, 60 percent of all 2007 model year vehicles, and 100 percent of all 2008 and subsequent model year vehicles meet the requirements of section (e)(11).

~~(11.1)~~(11.2) Malfunction Criteria:

~~(11.1.1)~~(11.2.1) The OBD II system shall detect a malfunction prior to any failure or deterioration of the individual components associated with the cold start emission reduction control strategy that would cause a vehicle's emissions to exceed 1.5 times the applicable FTP standards. Manufacturers shall:

(A) Establish the malfunction criteria based on data from ~~an~~ one or more representative vehicle(s).

(B) Provide an engineering evaluation for establishing the malfunction criteria for the remainder of the manufacturer's product line. The Executive Officer shall waive the evaluation requirement each year if, in the judgement of the Executive Officer, technological changes do not affect the previously determined malfunction criteria.

~~(11.1.2)~~(11.2.2) For components where no failure or deterioration of the component used for the cold start emission reduction strategy could result in a vehicle's emissions exceeding 1.5 times the applicable standards, the individual component shall be monitored for proper functional response in accordance with the malfunction criteria in section (e)(16.2) while the control strategy is active.

~~(11.2)~~(11.3) Monitoring Conditions: Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(11.2) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).

~~(11.3)~~(11.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(12) AIR CONDITIONING (A/C) SYSTEM COMPONENT MONITORING

(12.1) Requirement: If a vehicle incorporates an engine control strategy that alters off-idle fuel and/or spark control when the A/C system is on, the OBD II system shall monitor all electronic air conditioning system components for malfunctions that cause the system to fail to invoke the alternate control while the A/C system is on or cause the system to invoke the alternate control while the A/C system is off. Additionally, the OBD II system shall monitor for malfunction all electronic air conditioning system components that are used

as part of the diagnostic strategy for any other monitored system or component. The requirements of section (e)(12) shall be phased in as follows: 30 percent of all 2006 model year vehicles, 60 percent of all 2007 model year vehicles, and 100 percent of all 2008 and subsequent model year vehicles.

(12.2) Malfunction Criteria:

(12.2.1) The OBD II system shall detect a malfunction prior to any failure or deterioration of an electronic component of the air conditioning system that would cause a vehicle's emissions to exceed 1.5 times any of the appropriate applicable emission standards or would, through software, effectively disable any other monitored system or component covered by this regulation. For malfunctions that result in the alternate control being erroneously invoked while the A/C system is off, the appropriate emission standards shall be the FTP standards. For malfunctions that result in the alternate control failing to be invoked while the A/C system is on, the appropriate emission standards shall be the SC03 emission standards.

(12.2.2) If no single electronic component failure or deterioration causes emissions to exceed 1.5 times any of the appropriate applicable emission standards as defined above in section (e)(12.2.1) nor is used as part of the diagnostic strategy for effectively disables any other monitored system or component, manufacturers are not required to monitor any air conditioning system component for purposes of section (e)(12).

(12.3) Monitoring Conditions: Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(12.2) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).

(12.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(13) VARIABLE VALVE TIMING AND/OR CONTROL (VVT) SYSTEM MONITORING

(13.1) Requirement: On all 2005 and subsequent model year Low Emission Vehicle II applications, the OBD II system shall monitor the VVT system on vehicles so-equipped for target error and slow response malfunctions. The individual electronic components (e.g., actuators, valves, sensors, etc.) that are used in the VVT system shall be monitored in accordance with the comprehensive components requirements in section (e)(16). VVT systems on Low Emission Vehicle I applications and 2004 model year Low Emission Vehicle II applications shall be monitored in accordance with the comprehensive components requirements in section (e)(16).

(13.2) Malfunction Criteria:

(13.2.1) Target Error. The OBD II system shall detect a malfunction prior to any failure or deterioration in the capability of the VVT system to achieve the commanded valve timing and/or control within a crank angle and/or lift tolerance that would cause a vehicle's emissions to exceed 1.5 times any of the applicable FTP standards.

(13.2.2) Slow Response. The OBD II system shall detect a malfunction prior to any failure or deterioration in the capability of the VVT system to achieve the commanded valve timing and/or control within a time that would cause



a vehicle's emissions to exceed 1.5 times any of the applicable FTP standards.

(13.2.3) For vehicles in which no failure or deterioration of the VVT system could result in a vehicle's emissions exceeding 1.5 times any of the applicable standards, the VVT system shall be monitored for proper functional response in accordance with the malfunction criteria in section (e)(16.2).

(13.3) Monitoring Conditions: Manufacturers shall define the monitoring conditions for VVT system malfunctions identified in section (e)(13.2) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements), ~~with the exception that monitoring shall occur every time the monitoring conditions are met during the driving cycle in lieu of once per driving cycle as required in section (d)(3.1.2).~~ Additionally, manufacturers shall track and report VVT system monitor performance under section (d)(3.2.2). For purposes of tracking and reporting as required in section (d)(3.2.2), all monitors used to detect malfunctions identified in section (e)(13.2) shall be tracked separately but reported as a single set of values as specified in section (d)(5.2.2).

(13.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

#### (14) DIRECT OZONE REDUCTION (DOR) SYSTEM MONITORING

##### (14.1) Requirement:

(14.1.1) The OBD II system shall monitor the DOR system on vehicles so-equipped for malfunctions that reduce the ozone reduction performance of the system.

(14.1.2) For 2003, 2004, and 2005 model year vehicles subject to the malfunction criteria of section (e)(14.2.1) below, manufacturers may request to be exempted from DOR system monitoring. The Executive Officer shall approve the exemption upon the manufacturer:

(A) Agreeing that the DOR system receive only 50 percent of the NMOG credit assigned to the DOR system as calculated under Air Resources Board (ARB) Manufacturers Advisory Correspondence (MAC) No. 99-06, December 20, 1999, which is hereby incorporated by reference herein.

(B) Identifying the DOR system component(s) as an emission control device on both the underhood emission control label and a separate label as specified below. The DOR system shall be included in the list of emission control devices on the underhood emission control label and be identified as a "DOR system" or other equivalent term from SAE J1930 "Electrical/Electronic Systems Diagnostic Terms, Definitions, Abbreviations, and Acronyms – Equivalent to ISO/TR 15031-2:December 14, 2001", incorporated by reference. A separate label shall be located on or near the DOR system component(s) in a location that is visible to repair technicians prior to the removal of any parts necessary to replace the DOR system component(s) and shall identify the components as a "DOR system" or other equivalent SAE J1930-term.

##### (14.2) Malfunction Criteria:

(14.2.1) For vehicles in which the NMOG credit assigned to the DOR system, as calculated in accordance with ARB MAC No. 99-06, is less than or equal to 50 percent of the applicable FTP NMOG standard, the OBD II system

shall detect a malfunction when the DOR system has no detectable amount of ozone reduction.

(14.2.2) For vehicles in which the NMOG credit assigned to the DOR system, as calculated in accordance with ARB MAC No. 99-06, is greater than 50 percent of the applicable FTP NMOG standard, the OBD II system shall detect a malfunction when the ozone reduction performance of the DOR system deteriorates to a point where the difference between the NMOG credit assigned to the properly operating DOR system and the NMOG credit calculated for a DOR system performing at the level of the malfunctioning system exceeds 50 percent of the applicable FTP NMOG standard.

(14.2.3) For vehicles equipped with a DOR system, the manufacturer may modify any of the applicable NMOG malfunction criteria in sections (e)(1)-(3), (e)(5)-(8), (e)(11)-(e)(13), and (e)(17) by adding the NMOG credit received by the DOR system to the required NMOG malfunction criteria (e.g., a malfunction criteria of 1.5 x NMOG standard would be modified to (1.5 x NMOG standard) + DOR system NMOG credit).

(14.3) Monitoring Conditions: Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(14.2) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).

(14.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).

## (15) PARTICULATE MATTER (PM) TRAP MONITORING

(15.1) Requirement: On all 2004 and subsequent model year diesel passenger cars, light-duty trucks, and medium-duty passenger vehicles (see section (c)) and all 2005 and subsequent model year [diesel](#) medium-duty vehicles, manufacturers shall monitor the PM trap on vehicles so-equipped for proper performance.

(15.2) Malfunction Criteria:

(15.2.1) For 2004 and subsequent model year diesel passenger cars, light-duty trucks, and medium-duty passenger vehicles, the OBD II system shall detect a malfunction prior to a decrease in the capability of the PM trap that would cause a vehicle's emissions to exceed 1.5 times the applicable standards.

(15.2.2) For 2005 and 2006 model year diesel medium-duty vehicles (except medium-duty passenger vehicles), the OBD II system shall detect a malfunction of the PM trap when catastrophic failure occurs. The Executive Officer shall exempt vehicles from this PM trap monitoring requirement [upon determining that if](#) the manufacturer [has can](#) demonstrated with data and/or engineering evaluation that catastrophic failure of the PM trap will not cause emissions to exceed 1.5 times the applicable standards.

(15.2.3) For 2007 and subsequent model year diesel medium-duty vehicles, the OBD II system shall detect a malfunction prior to a decrease in the capability of the PM trap that would cause a vehicle's emissions to exceed 1.5 times the applicable standards.

- (15.2.4) For vehicles subject to the malfunction criteria in sections (e)(15.2.1) or (15.2.3) above, if no failure or deterioration of the PM trap could result in a vehicle's emissions exceeding 1.5 times any of the applicable standards, the OBD II system shall detect a malfunction when catastrophic failure of the PM trap occurs.
- (15.3) Monitoring Conditions: Manufacturers shall define the monitoring conditions for malfunctions identified in section (e)(15.2) in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).
- (15.4) MIL Illumination and Fault Code Storage: General requirements for MIL illumination and fault code storage are set forth in section (d)(2).
- (16) COMPREHENSIVE COMPONENT MONITORING
- (16.1) Requirement:
- (16.1.1) Except as provided in section (e)(16.1.3) and (e)(17), the OBD II system shall monitor for malfunction any electronic powertrain component/system not otherwise described in sections (e)(1) through (e)(15) that either provides input to (directly or indirectly) or receives commands from the on-board computer(s), and: (1) can affect emissions during any reasonable in-use driving condition, or (2) is used as part of the diagnostic strategy for any other monitored system or component.
- (A) Input Components: Input components required to be monitored may include the vehicle speed sensor, crank angle sensor, knock sensor, throttle position sensor, cam position sensor, fuel composition sensor (e.g. flexible fuel vehicles), and transmission electronic components such as sensors, modules, and solenoids which provide signals to the powertrain control system.
- (B) Output Components/Systems: Output components/systems required to be monitored may include the idle speed control system, automatic transmission solenoids or controls, variable length intake manifold runner systems, supercharger or turbocharger electronic components, heated fuel preparation systems, the wait-to-start lamp on diesel applications, and a warm-up catalyst bypass valve.
- (16.1.2) For purposes of criteria (1) in section (e)(16.1.1) above, the manufacturer shall determine whether a powertrain input or output component/system can affect emissions. If the Executive Officer reasonably believes that a manufacturer has incorrectly determined that a component/system cannot affect emissions, the Executive Officer shall require the manufacturer to provide emission data showing that the component/system, when malfunctioning and installed in a suitable test vehicle, does not have an emission effect. Emission data may be requested for any reasonable driving condition.
- (16.1.3) Manufacturers shall monitor for malfunction electronic powertrain input or output components/systems associated with an electronic transfer case only if the transfer case component or system is used as part of the diagnostic strategy for any other monitored system or component.

(16.2) Malfunction Criteria:

(16.2.1) Input Components:

(A) The OBD II system shall detect malfunctions of input components caused by a lack of circuit continuity, out of range values, and, where feasible, rationality faults. To the extent feasible, the rationality fault diagnostics shall verify that a sensor output is neither inappropriately high nor inappropriately low (e.g., “two-sided” diagnostics).

(B) To the extent feasible on all 2005 and subsequent model year vehicles,  
Rrationality faults shall be separately detected and store different fault codes than the respective lack of circuit continuity and out of range diagnostics. Additionally, input component lack of circuit continuity and out of range faults shall be separately detected and store different fault codes for each distinct malfunction (e.g., out-of-range low, out-of-range high, open circuit, etc.). Manufacturers are not required to store separate fault codes for lack of circuit continuity faults that cannot be distinguished from other out-of-range circuit faults.

(16.2.2) Output Components/Systems:

(A) The OBD II system shall detect a malfunction of an output component/system when proper functional response of the component and system to computer commands does not occur. If a functional check is not feasible, the OBD II system shall detect malfunctions of output components/systems caused by a lack of circuit continuity or circuit fault (e.g., short to ground or high voltage). For output component lack of circuit continuity faults and circuit faults, manufacturers are not required to store different fault codes for each distinct malfunction (e.g., open circuit, shorted low, etc.). Manufacturers are not required to activate an output component/system when it would not normally be active exclusively for the purposes of performing functional monitoring of output components/systems as required in section (e)(16).

(B) The idle speed control system shall be monitored for proper functional response to computer commands. For strategies based on deviation from target idle speed, a malfunction shall be detected when either of the following conditions occur:

(i) The idle speed control system cannot achieve the target idle speed within 200 revolutions per minute (rpm) above the target speed or 100 rpm below the target speed. The Executive Officer shall allow larger engine speed tolerances upon determining that provided a manufacturer has submitteds data and/or an engineering evaluation which adequately demonstrate that the tolerances can be exceeded without a malfunction being present.

(ii) The idle speed control system cannot achieve the target idle speed within the smallest engine speed tolerance range required by the OBD II system to enable any other monitors.

(C) Glow plugs shall be monitored for proper functional response to computer commands. The glow plug circuit(s) shall be monitored for proper current and voltage drop. The Executive Officer shall approve other monitoring strategies based on manufacturer’s data and/or engineering analysis demonstrating equally reliable and timely detection of malfunctions.

Manufacturers shall detect a malfunction when a single glow plug no longer operates within the manufacturer's specified limits for normal operation. If a manufacturer demonstrates that a single glow plug failure cannot cause a measurable increase in emissions during any reasonable driving condition, the manufacturer shall detect a malfunction for the minimum number of glow plugs needed to cause an emission increase. Further, to the extent feasible on existing engine designs (without adding additional hardware for this purpose) and on all new design engines, the stored fault code shall identify the specific malfunctioning glow plug(s).

(16.3) Monitoring Conditions:

(16.3.1) Input Components:

- (A) Except as provided in section (e)(16.3.1)(C), input components shall be monitored continuously for proper range of values and circuit continuity.
- (B) For rationality monitoring (where applicable):
  - (i) For 2004 model year vehicles, manufacturers shall define the monitoring conditions for detecting malfunctions in accordance with section (d)(3.1).
  - (ii) For 2005 and subsequent model year vehicles, manufacturers shall define the monitoring conditions for detecting malfunctions in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements), with the exception that rationality monitoring shall occur every time the monitoring conditions are met during the driving cycle in lieu of once per driving cycle as required in section (d)(3.1.2).

(C) A manufacturer may request Executive Officer approval to disable continuous input component proper range of values or circuit continuity monitoring when a malfunction cannot be distinguished from other effects. The Executive Officer shall approve the disablement upon determining that the manufacturer has submitted test data and/or documentation that demonstrates a properly functioning input component cannot be distinguished from a malfunctioning input component and that the disablement interval is limited only to that necessary for avoiding false detection.

(16.3.2) Output Components/Systems:

- (A) Except as provided in section (e)(16.3.2)(D), monitoring for circuit continuity and circuit faults shall be conducted continuously.
- (B) Except as provided in section (e)(16.3.2)(C), for functional monitoring, manufacturers shall define the monitoring conditions for detecting malfunctions in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements).
- (C) For the idle speed control system on all 2005 and subsequent model year vehicles, manufacturers shall define the monitoring conditions for functional monitoring in accordance with sections (d)(3.1) and (d)(3.2) (i.e., minimum ratio requirements), with the exception that functional monitoring shall occur every time the monitoring conditions are met during the driving cycle in lieu of once per driving cycle as required in section (d)(3.1.2).

(D) A manufacturer may request Executive Officer approval to disable continuous output component circuit continuity or circuit fault monitoring



when a malfunction cannot be distinguished from other effects. The Executive Officer shall approve the disablement upon determining that the manufacturer has submitted test data and/or documentation that demonstrates a properly functioning output component cannot be distinguished from a malfunctioning output component and that the disablement interval is limited only to that necessary for avoiding false detection.

(16.4) MIL Illumination and Fault Code Storage:

(16.4.1) Except as provided in section (e)(16.4.2) below, general requirements for MIL illumination and fault code storage are set forth in section (d)(2).

(16.4.2) Exceptions to general requirements for MIL illumination. MIL illumination is not required in conjunction with storing a confirmed fault code if:

(A) the component or system, when malfunctioning, could not cause vehicle emissions to increase by:

(i) 25 percent or more of the FTP standard for PC/LDT SULEV II vehicles,  
or

(ii) 15 percent or more of the FTP standard for all other vehicles, and

(B) the component or system is not used as part of the diagnostic strategy for any other monitored system or component.

(17) OTHER EMISSION CONTROL OR SOURCE SYSTEM MONITORING

(17.1) Requirement: For other emission control or source systems that are: (1) not identified or addressed in sections (e)(1) through (e)(16) (e.g., hydrocarbon traps, NO<sub>x</sub> storage devices, fuel-fired passenger compartment heaters, etc.), or (2) identified or addressed in section (e)(16) but not corrected or compensated for by the adaptive fuel control system (e.g., swirl control valves), manufacturers shall submit a plan for Executive Officer approval of the monitoring strategy, malfunction criteria, and monitoring conditions prior to introduction on a production vehicle. Executive Officer approval shall be based on the effectiveness of the monitoring strategy, the malfunction criteria utilized, the monitoring conditions required by the diagnostic, and, if applicable, the determination that the requirements of section (e)(17.3) below are satisfied.

(17.2) For purposes of section (e)(17), emission source systems are components or devices that emit pollutants subject to vehicle evaporative and exhaust emission standards (e.g., NMOG, CO, NO<sub>x</sub>, PM, etc.) and include non-electronic components and non-powertrain components (e.g., fuel-fired passenger compartment heaters, on-board reformers, etc.).

(17.3) Except as provided below in this paragraph, for 2005 and subsequent model year vehicles that utilize emission control systems that alter intake air flow or cylinder charge characteristics by actuating valve(s), flap(s), etc. in the intake air delivery system (e.g., swirl control valve systems), the monitoring strategy OBD II system, in addition to meeting the criteria in section (e)(17.1) above (e.g., submit a plan for Executive Officer approval of the malfunction thresholds, etc.), shall may, at a minimum, monitor the shaft to which all valves in one exhaust-intake bank are physically attached in lieu of monitoring the intake air flow, cylinder charge, or individual valve(s)/flap(s) for proper functional response. For non-metal shafts or segmented shafts, the monitor

shall verify all shaft segments for proper functional response (e.g., by verifying the segment or portion of the shaft furthest from the actuator properly functions). For systems that have more than one shaft to operate valves in multiple [exhaust-intake](#) banks, manufacturers are not required to add more than one set of detection hardware (e.g., sensor, switch, etc.) per [exhaust-intake](#) bank to meet this requirement. Vehicles utilizing these emission control systems designed and certified for 2004 or earlier model year vehicles and carried over to the 2005 or subsequent model year shall be not be required to meet the provisions of section (e)(17.3) until the [vehicle](#), [engine](#), or intake air delivery system are redesigned.

(18) EXCEPTIONS TO MONITORING REQUIREMENTS

- (18.1) Except as provided in sections (e)(18.1.1) through (18.1.3) below, upon request of a manufacturer or upon the best engineering judgment of the ARB, the Executive Officer may revise the emission threshold for a malfunction on any check on a Low Emission Vehicle I application or Low Emission Vehicle II application if the most reliable monitoring method developed requires a higher threshold to prevent significant errors of commission in detecting a malfunction.
- (18.1.1) For PC/LDT SULEV II vehicles, the Executive Officer shall approve a malfunction criteria of 2.5 times the applicable FTP standards in lieu of 1.5 wherever required in section (e).
- (18.1.2) For 2004 model year PC/LDT SULEV II vehicles only, the Executive Officer shall approve monitors with thresholds that exceed 2.5 times the applicable FTP standard if the manufacturer demonstrates that a higher threshold is needed given the state of development of the vehicle and that the malfunction criteria and monitoring approach and technology (e.g., fuel system limits, percent misfire, monitored catalyst volume, etc.) are at least as stringent as comparable ULEV (not ULEV II) vehicles.
- (18.1.3) For vehicles certified to Federal Bin 3 or Bin 4 emission standards, manufacturers shall utilize the ULEV II vehicle NMOG and CO malfunction criteria (e.g., 1.5 times the Bin 3 or Bin 4 NMOG and CO standards) and the PC/LDT SULEV II vehicle NOx malfunction criteria (e.g., 2.5 times the Bin 3 or Bin 4 NOx standards).
- (18.2) Whenever the requirements in section (e) of this regulation require a manufacturer to meet a specific phase-in schedule (e.g., (e)(11) cold start emission reduction strategy monitoring requires 30 percent in 2006 model year, 60 percent in 2007 model year, and 100 percent in 2008 model year):
- (18.2.1) The phase-in percentages shall be based on the manufacturer's projected sales volume for all vehicles subject to the requirements of title 13, CCR section 1968.2 unless specifically stated otherwise in section (e).
- (18.2.2) Manufacturers may use an alternate phase-in schedule in lieu of the required phase-in schedule if the alternate phase-in schedule provides for equivalent compliance volume as defined in section (c) except as specifically noted for the phase in of in-use monitor performance ratio monitoring conditions in section (d)(3.2).
- (18.2.3) Small volume manufacturers are required to meet the requirement on all vehicles by the final year of the phase-in in lieu of meeting the specific

phase-in requirements for each model year (e.g., in the example in section (e)(18.2), small volume manufacturers are required to meet 100% in the 2008 model year for cold start emission reduction strategy monitoring, but not 30% in the 2006 model year or 60% in the 2007 model year).

- (18.3) Manufacturers may request Executive Officer approval to disable an OBD II system monitor at ambient engine starting temperatures below twenty degrees Fahrenheit (20°F) (low ambient temperature conditions may be determined based on intake air or engine coolant temperature at engine starting) or at elevations above 8000 feet above sea level. The Executive Officer shall approve the request upon determining that the manufacturer has provided ed:ing data and/or an engineering evaluation that demonstrates that monitoring during the conditions would be unreliable. A manufacturer may further request, and the Executive Officer shall approve, that an OBD II system monitor be disabled at other ambient engine starting temperatures upon determining that the manufacturer has demonstrated ed:ing with data and/or an engineering evaluation that misdiagnosis would occur at the ambient temperatures because of its effect on the component itself (e.g., component freezing).
- (18.4) Manufacturers may request Executive Officer approval to disable monitoring systems that can be affected by low fuel level or running out of fuel (e.g., misfire detection) when the fuel level is 15 percent or less of the nominal capacity of the fuel tank. The Executive Officer shall approve the request upon determining that the manufacturer has submitted ed:ing data and/or an engineering evaluation that adequately demonstrates that monitoring at the fuel levels would be unreliable.
- (18.5) Manufacturers may disable monitoring systems that can be affected by vehicle battery or system voltage levels.

(18.5.1) For monitoring systems affected by low vehicle battery or system voltages, manufacturers may disable monitoring systems when the battery or system voltage is below 11.0 Volts. Manufacturers may request Executive Officer approval to utilize a voltage threshold higher than 11.0 Volts to disable system monitoring. The Executive Officer shall approve the request upon determining that ~~if~~ the manufacturer has submitted eds data and/or an engineering evaluation that adequately demonstrates that monitoring at the voltages would be unreliable, and that operation of a vehicle below the disablement criteria for extended periods of time is either unlikely, ~~and or~~ that the OBD II system monitors the battery or system voltage and will detect a malfunction at the voltage used to disable other monitors.

(18.5.2) For monitoring systems affected by high vehicle battery or system voltages, manufacturers may request Executive Officer approval to disable monitoring systems when the battery or system voltage exceeds a manufacturer-defined voltage. The Executive Officer shall approve the request upon determining that the manufacturer has submitted data and/or an engineering evaluation that demonstrate that monitoring above the manufacturer-defined voltage would be unreliable and that either the electrical charging system/alternator warning light is illuminated (or voltage gauge is in the “red zone”) or that the OBD II system monitors the battery



or system voltage and will detect a malfunction at the voltage used to disable other monitors.

(18.6) A manufacturer may disable affected monitoring systems in vehicles designed to accommodate the installation of Power Take-Off (PTO) units (as defined in section (c)), provided disablement occurs only while the PTO unit is active, and the OBD II readiness status is cleared by the on-board computer (i.e., all monitors set to indicate “not complete”) while the PTO unit is activated (see section (f)(4.1) below). If the disablement occurs, the readiness status may be restored to its state prior to PTO activation when the disablement ends.

(18.7) A manufacturer may request Executive Officer approval to disable affected monitoring systems in vehicles equipped with tire pressure monitoring systems that cause a vehicle to enter a default mode of operation (e.g., reduced top speed) when a tire pressure problem is detected. The Executive Officer shall approve the request upon determining that the manufacturer has submitted data and/or an engineering evaluation that demonstrate that the default mode can affect monitoring system performance, that the tire pressure monitoring system will likely result in action by the consumer to correct the problem, and that the disablement will not prevent or hinder effective testing in an Inspection and Maintenance program.

~~(18.7)~~(18.8) For 2004 model year vehicles certified to run on alternate fuels, manufacturers may request the Executive Officer to waive specific monitoring requirements in section (e) for which monitoring may not be reliable with respect to the use of alternate fuels. The Executive Officer shall grant the request upon determining that provided the manufacturer ~~adequately has demonstrated~~ds that the use of the alternate fuel could cause false illumination of the MIL even when using the best available monitoring technologies.

(18.9) For 2004 model year vehicles only, wherever the requirements of section (e) (except for diesel catalyst (section (e)(1.5)) and particulate matter trap (section (e)(15)) monitoring) reflect a substantive change from the requirements of title 13, CCR section 1968.1(b) for 2003 model year vehicles, the manufacturer may request Executive Officer approval to continue to use the requirements of section 1968.1 in lieu of the requirements of section (e). The Executive Officer shall approve the request upon determining that the manufacturer has submitted data and/or engineering evaluation that demonstrate that software or hardware changes would be required to comply with the requirements of section (e) and that the system complies with the requirements of section 1968.1(b).

## **(f) STANDARDIZATION REQUIREMENTS**

### **(1) Reference Documents:**

The following Society of Automotive Engineers (SAE) and International Organization of Standards (ISO) documents are incorporated by reference into this regulation:

- (1.1) “SAE J1930” refers to: SAE J1930 "Electrical/Electronic Systems Diagnostic Terms, Definitions, Abbreviations, and Acronyms – Equivalent to ISO/TR 15031-2:December 14, 2001", ~~May 1998~~April 2002.
- (1.2) “SAE J1962” refers to: SAE J1962 "Diagnostic Connector – Equivalent to ISO/DIS 15031-3:December 14, 2001", ~~February 1998~~April 2002.

- (1.3) "SAE J1978" refers to: SAE J1978 "OBD II Scan Tool – Equivalent to ISO 15031-4:December 14, 2001", ~~February 1998~~April 2002.
  - (1.4) "SAE J1979" refers to: SAE J1979 "~~Emission-related~~E/E Diagnostic Services Test Modes – Equivalent to ISO/DIS 15031-5:March 15, 2002", ~~September 1997~~April 2002.
  - (1.5) "SAE J1850" refers to: SAE J1850 "Class B Data Communications Network Interface", May 2001.
  - (1.6) "SAE J2012" refers to: SAE J2012 "Diagnostic Trouble Code Definitions – Equivalent to ISO/DIS 15031-6:March 8, 2002", ~~March 1999~~April 2002.
  - (1.7) "ISO 9141-2" refers to: ISO 9141-2:1994 "Road Vehicles-Diagnostic Systems-CARB Requirements for Interchange of Digital Information", February 1994.
  - (1.8) "ISO 14230-4" refers to: ISO 14230-4:2000 "Road Vehicles-Diagnostic Systems-KWP 2000 Requirements for Emission-related Systems", June 2000.
  - ~~(1.9) "ISO 15031-5" refers to: ISO 15031-5:2001 "Road Vehicles-Communication Between Vehicle and External Test Equipment for Emissions-related Diagnostics-Part 5: Emissions-related Diagnostic Services", December 2001.~~
  - ~~(1.10)~~(1.9) "ISO 15765-4" refers to: ISO 15765-4:2001 "Road Vehicles-Diagnostics on Controller Area Network (CAN) - Part 4: Requirements for emission-related systems", December 2001.
- (2) Diagnostic Connector:
- A standard data link connector conforming to SAE J1962 specifications (except as specified in section (f)(2.3)) shall be incorporated in each vehicle.
- (2.1) The connector shall be located in the driver's side foot-well region of the vehicle interior in the area bound by the driver's side of the vehicle and the driver's side edge of the center console (or the vehicle centerline if the vehicle does not have a center console) and at a location no higher than the bottom of the steering wheel when in the lowest adjustable position. The connector may not be located on or in the center console (i.e., neither on the horizontal faces near the floor-mounted gear selector, parking brake lever, or cup-holders nor on the vertical faces near the car stereo, climate system, or navigation system controls). The location of the connector shall be capable of being easily identified by a "crouched" technician entering the vehicle from the driver's side.
  - (2.2) If the connector is covered, the cover must be removable by hand without the use of any tools and be labeled to aid technicians in identifying the location of the connector. Access to the diagnostic connector may not require opening or the removal of any storage accessory (e.g., ashtray, coinbox, etc.). The label shall be submitted to the Executive Officer for review and approval, at or before the time the manufacturer submits its certification application. The Executive Officer shall approve the label ~~if~~ upon determining that it clearly identifies that the connector is located behind the cover and is consistent with language and/or symbols commonly used in the automotive industry.
  - (2.3) Any pins in the connector that provide electrical power shall be properly fused to protect the integrity and usefulness of the connector for diagnostic purposes and may not exceed ~~18.0~~20.0 Volts DC regardless of the nominal vehicle system or battery voltage (e.g., 12V, 24V, 42V, etc.).

~~(2.4) For 2004 model year vehicles only, a manufacturer may comply with the diagnostic connector requirements in title 13, CCR section 1968.1 in lieu of meeting the requirements of section (f)(2).~~

- (3) Communications to a Scan Tool:  
Manufacturers shall use one of the following standardized protocols for communication of all required emission related messages from on-board to off-board network communications to a scan tool meeting SAE J1978 specifications:
- (3.1) SAE J1850. All required emission related messages using this protocol shall use the Cyclic Redundancy Check and the three byte header, may not use inter-byte separation or checksums, and may not require a minimum delay of 100 ms between SAE J1978 scan tool requests. This protocol may not be used on any 2008 or subsequent model year vehicle.
  - (3.2) ISO 9141-2. This protocol may not be used on any ~~2007-2008~~ or subsequent model year vehicle.
  - (3.3) ISO 14230-4. This protocol may not be used on any 2008 or subsequent model year vehicle.
  - (3.4) ISO 15765-4. This protocol shall be allowed on any 2003 and subsequent model year vehicle and required on all 2008 and subsequent model year vehicles. All required emission-related messages using this protocol shall use a 500 kbps baud rate.
- (4) Required Emission Related Functions:  
The following standardized functions shall be implemented in accordance with the specifications in SAE J1979 to allow for access to the required information by a scan tool meeting SAE J1978 specifications:
- (4.1) Readiness Status: In accordance with SAE J1979 specifications, the OBD II system shall indicate “complete” or “not complete” for each of the installed monitored components and systems identified in section (e)(1) through (e)(8) since the fault memory was last cleared. All components or systems that are monitored continuously shall always indicate “complete”. Those components or systems that are not subject to continuous monitoring shall immediately indicate “complete” upon the respective diagnostic(s) being fully executed and determining that the component or system is not malfunctioning. A component or system shall also indicate “complete” if after the requisite number of decisions necessary for determining MIL status have been fully executed, the monitor indicates a malfunction for the component or system. The status for each of the monitored components or systems shall indicate “not complete” whenever fault memory has been cleared or erased by a means other than that allowed in section (d)(2). Normal vehicle shut down (i.e., key off, engine off) may not cause the status to indicate “not complete”.
    - (4.1.1) Subject to Executive Officer approval, if monitoring is disabled for a multiple number of driving cycles due to the continued presence of extreme operating conditions (e.g., cold ambient temperatures, high altitudes, etc), readiness status for the subject monitoring system may be set to indicate “complete” without monitoring having been completed. Executive Officer approval shall be based on the conditions for monitoring

system disablement and the number of driving cycles specified without completion of monitoring before readiness is indicated as “complete”.

- (4.1.2) For the evaporative system monitor, ~~the readiness status shall be set in accordance with section (f)(4.1) when both the functional check of the purge valve and the 0.020 inch leak detection monitor indicate that they are complete. For vehicles with both 0.040 inch and 0.020 inch leak detection monitors, the readiness status may be set when both the functional check of the purge valve and the 0.040 inch leak detection monitor indicate that they are complete.~~

(A) Except as provided below in section (f)(4.1.2)(B), the readiness status shall be set in accordance with section (f)(4.1) when both the functional check of the purge valve and the leak detection monitor of the orifice size specified in either section (e)(4.2.2)(B) or (C) (e.g., 0.040 inch or 0.020 inch) indicate that they are complete.

(B) For vehicles that utilize a 0.090 inch (in lieu of 0.040 inch) leak detection monitor in accordance with section (e)(4.2.5), the readiness status shall be set in accordance with section (f)(4.1) when both the functional check of the purge valve and the leak detection monitor of the orifice size specified in section (e)(4.2.2)(C) (e.g., 0.020 inch) indicate that they are complete.

- (4.1.3) If the manufacturer elects to additionally indicate readiness status through the MIL in the key on, engine off position as provided for in section (d)~~(2.52.1.4)~~, the readiness status shall be indicated in the following manner: If the readiness status for all monitored components or systems is “complete”, the MIL shall remain continuously illuminated in the key on, engine off position for at least 15-20 seconds. If the readiness status for one or more of the monitored components or systems is “not complete”, after 15-20 seconds of operation in the key on, engine off position with the MIL illuminated continuously, the MIL shall blink once per second for 5-10 seconds. The data stream value for MIL status (section (f)(4.2)) shall indicate “commanded off” during this sequence unless the MIL has also been “commanded on” for a detected fault.

- (4.2) Data Stream: The following signals shall be made available on demand through the standardized data link connector in accordance with SAE J1979 specifications. The actual signal value shall always be used instead of a default or limp home value.

(4.2.1) For all vehicles: calculated load value, number of stored confirmed fault codes, engine coolant temperature, engine speed, absolute throttle position (if equipped with a throttle), vehicle speed, and MIL status (i.e., commanded-on or commanded-off).

(4.2.2) For all vehicles so equipped: fuel control system status (e.g., open loop, closed loop, etc.), fuel trim, fuel pressure, ignition timing advance, intake air temperature, manifold ~~air~~absolute pressure, air flow rate from mass air flow sensor, secondary air status (upstream, downstream, or atmosphere), oxygen sensor output, air/fuel ratio sensor output.

(4.2.3) For all 2005 and subsequent model year vehicles using the ISO 15765-4 protocol for the standardized functions required in section (f), the following signals shall also be made available: absolute load, fuel level (if used to enable or disable any other diagnostics), relative throttle position (if

equipped with a throttle), barometric pressure (directly measured or estimated), engine control module system voltage, commanded equivalence ratio, catalyst temperature (if directly measured or estimated for purposes of enabling the catalyst monitor(s)), monitor status (i.e., disabled for the rest of this driving cycle, complete this driving cycle, or not complete this driving cycle) since last engine shut-off for each monitor used for readiness status, time elapsed since engine start, distance traveled while MIL activated, distance traveled since fault memory last cleared, and number of warm-up cycles since fault memory last cleared.

(4.2.4) For all 2005 and subsequent model year vehicles so equipped and using the ISO 15765-4 protocol for the standardized functions required in section (f): ambient air temperature, evaporative system vapor pressure, commanded purge valve duty cycle/position, commanded EGR valve duty cycle/position, EGR error between actual and commanded, PTO status (active or not active), redundant absolute throttle position (for electronic throttle or other systems that utilize two or more sensors), absolute pedal position, redundant absolute pedal position, and commanded throttle motor position.

(4.3) Freeze Frame.

(4.3.1) "Freeze frame" information required to be stored pursuant to sections (d)(2.2.14), (e)(3.4.3), and (e)(6.4.4) shall be made available on demand through the standardized data link connector in accordance with SAE J1979 specifications.

(4.3.2) "Freeze frame" conditions must include the fault code which caused the data to be stored and all of the signals required in section (f)(4.2) except: number of stored confirmed fault codes, oxygen sensor output, air/fuel ratio sensor output, catalyst temperature, evaporative system vapor pressure, MIL status, monitor status since last engine shut off, distance traveled while MIL activated, distance traveled since fault memory last cleared, and number of warm-up cycles since fault memory last cleared.

(4.3.3) Only one frame of data is required to be recorded. Manufacturers may choose to store additional frames provided that at least the required frame can be read by a scan tool meeting SAE J1978 specifications.

~~(4.3.4) For 2004 model year vehicles only, a manufacturer may choose to store freeze frame conditions in accordance with title 13, CCR section 1968.1(f) in lieu of the requirements of sections (f)(4.3.1) through (f)(4.3.3) above.~~

(4.4) Fault Codes

(4.4.1) For all monitored components and systems, stored pending and confirmed fault codes shall be made available through the diagnostic connector in accordance with SAE J1979 specifications. Standardized fault codes conforming to SAE J2012 shall be employed.

(4.4.2) The stored fault code shall, to the fullest extent possible, pinpoint the likely cause of the malfunction. To the extent feasible on all 2005 and subsequent model year vehicles, Mmanufacturers shall use separate fault codes for every diagnostic where the diagnostic and repair procedure or likely cause of the failure is different. In general, rationality and functional diagnostics shall use different fault codes than the respective circuit continuity diagnostics. Additionally, input component circuit continuity



diagnostics shall use different fault codes for distinct malfunctions (e.g., out-of-range low, out-of-range high, open circuit, etc.).

- (4.4.3) Manufacturers shall use appropriate SAE-defined fault codes of [SAE J2012](#) (e.g., P0xxx, P2xxx) whenever possible. With Executive Officer approval, manufacturers may use manufacturer-defined fault codes in accordance with SAE J2012 specifications (e.g., P1xxx). Factors to be considered by the Executive Officer for approval shall include the lack of available SAE-defined fault codes, uniqueness of the diagnostic or monitored component, expected future usage of the diagnostic or component, and estimated usefulness in providing additional diagnostic and repair information to service technicians. Manufacturer-defined fault codes shall be used consistently (i.e., the same fault code may not be used to represent two different failure modes) across a manufacturer's entire product line.
- (4.4.4) A fault code (pending and/or confirmed, as required in sections (d) and (e)) shall be stored and available to an SAE J1978 scan tool within 10 seconds after a diagnostic has determined that a malfunction has occurred.
- (4.4.5) Pending fault codes:
- (A) On all 2005 and subsequent model year vehicles, pending fault codes for all components and systems (including continuously and non-continuously monitored components) shall be made available through the diagnostic connector in accordance with SAE J1979 specifications (e.g., Mode/[Service](#) \$07).
  - (B) On all 2005 and subsequent model year vehicles, a pending fault code(s) shall be stored and available through the diagnostic connector for all currently malfunctioning monitored component(s) or system(s), regardless of the MIL illumination status or confirmed fault code status (e.g., even after a pending fault has matured to a confirmed fault code and the MIL is illuminated, a pending fault code shall be stored and available if the most recent monitoring event indicates the component is malfunctioning).
  - (C) Manufacturers using alternate statistical protocols for MIL illumination as allowed in section (d)(2.2.3) shall submit to the Executive Officer a protocol for setting pending fault codes. The Executive Officer shall approve the proposed protocol upon [finding-determining](#) that, overall, it is equivalent to the requirements in sections (f)(4.4.5)(A) and (B) and that it effectively provides service technicians with a quick and accurate indication of a pending failure.
- (4.5) Test Results
- (4.5.1) For all monitored components and systems identified in section (e)(1) through (e)(8) except misfire detection and fuel system monitoring, results of the most recent monitoring of the components and systems and the test limits established for monitoring the respective components and systems shall be stored and available through the data link in accordance with SAE J1979 specifications.
- (4.5.2) The test results shall be reported such that properly functioning components and systems (e.g., "passing" systems) do not store test values outside of the established test limits.

- (4.5.3) The test results shall be stored until updated by a more recent valid test result or the fault memory of the OBD II system computer is cleared. Upon fault memory being cleared, test results reported for monitors that have not yet completed since the last time the fault memory was cleared shall report values that do not indicate a failure (i.e., a test value which is outside of the test limits).
- (4.5.4) Additionally, for vehicles using ISO 15765-4 (see section (f)(3.4)) as the communication protocol:
- (A) The test results and limits shall be made available in the standardized format specified in ~~SAE J1979~~[ISO 15031-5](#) for the ISO 15765-4 protocol.
  - (B) Test limits shall include both minimum and maximum acceptable values and shall be reported for all monitored components and systems identified in sections (e)(1) through (e)(8), except fuel system monitoring. The test limits shall be defined so that a test result equal to either test limit is a “passing” value, not a “failing” value.
  - (C) For 2005 and subsequent model year vehicles, misfire monitoring test results shall be calculated and reported in the standardized format specified in ~~SAE J1979~~[ISO 15031-5](#).
  - (D) Monitors that have not yet completed since the last time the fault memory was cleared shall report values of zero for the test result and test limits.
  - (E) All test results and test limits shall always be reported and the test results shall be stored until updated by a more recent valid test result or the fault memory of the OBD II system computer is cleared.
  - (F) The OBD II system shall store and report unique test results for each separate diagnostic (e.g., an OBD II system with individual evaporative system diagnostics for 0.040 inch and 0.020 inch leaks shall separately report 0.040 inch and 0.020 inch test results).
- (4.6) Software Calibration Identification: On all vehicles, a software calibration identification number (CAL ID) for the diagnostic or emission critical powertrain control unit(s) shall be made available through the standardized data link connector in accordance with the SAE J1979 specifications. A unique CAL ID shall be used for every emission-related calibration and/or software set having at least one bit of different data from any other emission-related calibration and/or software set. Control units coded with multiple emission or diagnostic calibrations and/or software sets shall indicate a unique CAL ID for each variant in a manner that enables an off-board device to determine which variant is being used by the vehicle. [Control units that utilize a strategy that will result in MIL illumination if the incorrect variant is used \(e.g., control units that contain variants for manual and automatic transmissions but will illuminate the MIL if the variant selected does not match the type of transmission on the vehicle\) are not required to use unique CAL IDs.](#)
- (4.7) Software Calibration Verification Number
- (4.7.1) All 2005<sup>2</sup> and subsequent model year vehicles shall use an algorithm to calculate a calibration verification number (CVN) that verifies the on-board

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<sup>2</sup> The requirements of section (f)(4.7) shall supercede the requirements set forth in title 13, CCR section 1968.1(l)(4.0).



computer software integrity in diagnostic or emission critical electronically reprogrammable powertrain control units. The CVN shall be made available through the standardized data link connector in accordance with the SAE J1979 specifications. The CVN shall be capable of being used to determine if the emission-related software and/or calibration data are valid and applicable for that vehicle and CAL ID.

- (4.7.2) Manufacturers shall request Executive Officer approval of the algorithm used to calculate the CVN. Executive Officer approval of the algorithm shall be based on the complexity of the algorithm and the difficulty in achieving the same CVN with modified calibration values.
  - (4.7.3) The CVN shall be calculated at least once per driving cycle and stored until the CVN is subsequently updated. Except for immediately after a reprogramming event or a non-volatile memory clear [or for the first 30 seconds of engine operation after a volatile memory clear or battery disconnect](#), the stored value shall be made available through the data link connector to a generic scan tool in accordance with SAE J1979 specifications. The stored CVN value may not be erased when fault memory is erased by a generic scan tool in accordance with SAE J1979 specifications or during normal vehicle shut down (i.e., key off, engine off).
  - (4.7.4) For purposes of Inspection and Maintenance (I/M) testing, manufacturers shall make the CVN and CAL ID combination information available [for all 2005 and subsequent model year vehicles](#) in a standardized electronic format that allows for off-board verification that the CVN is valid and appropriate for a specific vehicle and CAL ID.
  - (4.8) Vehicle Identification Number: All 2005 and subsequent model year vehicles shall have the vehicle identification number (VIN) available in a standardized format through the standardized data link connector in accordance with SAE J1979 specifications. Only one electronic control unit per vehicle shall report the VIN to an SAE J1978 scan tool.
- (5) In-use Performance Ratio Tracking Requirements
- (5.1) For each monitor required in section (e) to separately report an in-use performance ratio, manufacturers shall implement software algorithms to report a numerator and denominator in the standardized format specified below and in accordance with the ~~SAE J1979~~[ISO 15031-5](#) specifications.
  - (5.2) Numerical Value Specifications:
    - (5.2.1) For the numerator, denominator, general denominator, and ignition cycle counter:
      - (A) Each number shall have a minimum value of zero and a maximum value of 65,535 with a resolution of one.
      - (B) Each number shall be reset to zero only when a non-volatile memory reset occurs (e.g., reprogramming event, etc.) and may not be reset to zero under any other circumstances including when a scan tool command to clear fault codes is received.
      - (C) If either the numerator or denominator for a specific component reaches the maximum value of 65,535  $\pm 2$ , both numbers shall be divided by two before either is incremented again to avoid overflow problems.
      - (D) If the ignition cycle counter reaches the maximum value of 65,535  $\pm 2$ , the

ignition cycle counter shall rollover and increment to zero on the next ignition cycle to avoid overflow problems.

(E) If the general denominator reaches the maximum value of 65,535  $\pm$ 2, the general denominator shall rollover and increment to zero on the next driving cycle that meets the general denominator definition to avoid overflow problems.

(F) If a vehicle is not equipped with a component (e.g., oxygen sensor bank 2, secondary air system), the corresponding numerator and denominator for that specific component shall always be reported as zero.

(5.2.2) For the ratio:

(A) The ratio shall have a minimum value of zero and a maximum value of 7.99527 with a resolution of 0.000122.

(B) A ratio for a specific component shall be considered to be zero whenever the corresponding numerator is equal to zero and the corresponding denominator is not zero.

(C) A ratio for a specific component shall be considered to be the maximum value of 7.99527 if the corresponding denominator is zero or if the actual value of the numerator divided by the denominator exceeds the maximum value of 7.99527.

(6) Service Information:

(6.1) Motor vehicle manufacturers shall provide the aftermarket service and repair industry emission-related service information for all 1994 and subsequent model year vehicles equipped with OBD II systems as set forth in sections (f)(6.3) through (6.85). The requirements of section (f)(6) shall supersede the service information requirements set forth in title 13, CCR section 1968.1.

(6.2) The Executive Officer shall waive the requirements of sections (f)(6.3) through (6.85) if upon determining that the ARB or U.S. EPA has adopted a service information regulation or rule that is in effect and operative and requires motor vehicle manufacturers to provide emission-related service information:

(A) of comparable or greater scope than required under these provisions;

(B) in an easily accessible format and in a timeframe that is equivalent to or exceeds the timeframes set forth below; and

(C) at fair and reasonable cost.

(6.3) For all 1994 and subsequent model year vehicles equipped with an OBD II system, manufacturers shall make readily available, at a fair and reasonable price to the automotive repair industry, vehicle repair procedures which allow effective emission-related diagnosis and repairs to be performed using only the SAE J1978 generic scan tool and commonly available, non-microprocessor based tools.

(6.4) As an alternative to publishing repair procedures required under section (f)(6.3), a manufacturer may publish repair procedures referencing the use of manufacturer-specific or enhanced equipment provided the manufacturer makes available to the aftermarket scan tool industry the information needed to manufacture scan tools to perform the same emission-related diagnosis and repair procedures (excluding any reprogramming) in a comparable manner as the manufacturer-specific diagnostic scan tool.

- (6.5) For all 1996 and subsequent model year vehicles, manufacturers shall make available:
- (A) Information to utilize the test results reported as required in section (f)(4.5) (or title 13, CCR section 1968.1 (l)(3.0) for 1996 through 2002 model year vehicles). The information must include a description of the test and test result, associated fault codes with the test result, and scaling, units, and conversion factors necessary to convert the results to engineering units.
  - (B) A generic description of each of the diagnostics used to meet the requirements of this regulation. The generic description must include a text description of how the diagnostic is performed, typical enable conditions, typical malfunction thresholds, typical monitoring time, fault codes associated with the diagnostic, and test results (section (f)(4.5)) associated with the diagnostic. Vehicles that have diagnostics not adequately represented by the typical values identified above shall be specifically identified along with the appropriate typical values.
  - (C) Information necessary to execute each of the diagnostics used to meet the requirements of sections (e)(1) through (e)(8). The information must ~~either~~ include either a description of sample driving patterns designed to be operated in-use or a written description of the conditions the vehicle needs to operate in to execute each of the diagnostics necessary to change the readiness status from “not complete” to “complete” for all monitors. The information shall be able to be used to exercise all necessary monitors in a single driving cycle as well as be able to be used to exercise the monitors to individually change the readiness status for each specific monitor from “not complete” to “complete”.

(7) Exceptions to Standardization Requirements.

- (7.1) For medium-duty vehicles equipped with engines certified on an engine dynamometer, a manufacturer may request Executive Officer approval to use an alternate diagnostic connector, communication protocol, and emission-related message structure and format in lieu of the standardization requirements in sections (f)(2) and (4) that refer to SAE J1962, SAE J1978, and SAE J1979 as well as the identified protocols in section (f)(3). The Executive Officer shall approve the request upon determination that:
- (A) The ARB has adopted an on-board diagnostic regulation for heavy-duty vehicles; and
  - (B) The alternate diagnostic connector, communication protocol, and emission-related message format and structure requested by the manufacturer meets the standardization requirements in the on-board diagnostic regulation for heavy-duty vehicles.
- (7.2) For 2004 model year vehicles only, wherever the requirements of sections (f)(2) and (f)(4) reflect a substantive change from the requirements of title 13, CCR sections 1968.1(e), (f), (k) and (l) for the 2003 model year vehicles, the manufacturer may request Executive Officer approval to continue to use the requirements of section 1968.1 in lieu of the requirements of sections (f)(2) and (f)(4). The Executive Officer shall approve the request upon determining that the manufacturer has submitted data and/or engineering evaluation that demonstrate that software or hardware changes would be required to comply

with the requirements of sections (f)(2) and (f)(4) and that the system complies with the requirements of sections 1968.1(e), (f), (k), and (l).

**(g) MONITORING SYSTEM DEMONSTRATION REQUIREMENTS FOR CERTIFICATION**

- (1) General.
  - (1.1) Certification requires that manufacturers submit emission test data from one or more durability demonstration test vehicles (test vehicles). For applications certified on engine dynamometers, engines may be used instead of vehicles.
  - (1.2) The Executive Officer may approve other demonstration protocols if the manufacturer can provide comparable assurance that the malfunction criteria are chosen based on meeting emission requirements and that the timeliness of malfunction detection is within the constraints of the applicable monitoring requirements.
  - (1.3) For flexible fuel vehicles capable of operating on more than one fuel or fuel combinations, the manufacturer shall submit a plan for providing emission test data to the Executive Officer for approval. The Executive Officer shall approve the plan if it is determined to be representative of expected in-use fuel or fuel combinations and provides accurate and timely evaluation of the monitored systems.
- (2) Selection of Test Vehicles:
  - (2.1.1) Prior to submitting any applications for certification for a model year, a manufacturer shall notify the Executive Officer of the test groups planned for that model year. The Executive Officer will then select the test group(s) that the manufacturer shall use as demonstration test vehicles to provide emission test data. The selection of test vehicles for production vehicle evaluation, as specified in section (i), may take place during this selection process.
  - (2.1.2) A manufacturer certifying one to five test groups in a model year shall provide emission test data from a test vehicle from one test group. A manufacturer certifying six to ten test groups in a model year shall provide emission test data from test vehicles from two test groups. A manufacturer certifying eleven or more test groups in a model year shall provide emission test data from test vehicles from three test groups. The Executive Officer may waive the requirement for submittal of data from one or more of the test groups if data ~~has~~have been previously submitted for all of the test groups.
  - (2.1.3) For the test vehicle(s), a manufacturer shall use a certification emission durability test vehicle(s), a representative high mileage vehicle(s), or a vehicle(s) aged to the end of the full useful life using an ARB-approved alternative durability procedure (ADP).
- (3) Required Testing:

Except as provided below, the manufacturer shall perform single-fault testing based on the applicable FTP test with the following components/systems set at their malfunction criteria limits as determined by the manufacturer for meeting the requirements of section (e):

  - (3.1) Oxygen Sensors:
    - (3.1.1) The manufacturer shall perform a test with all primary oxygen sensors

used for fuel control simultaneously possessing a response rate deteriorated to the malfunction criteria limit. Manufacturers shall also perform a test for any other oxygen sensor parameter that can cause vehicle emissions to exceed 1.5 times the applicable standards (e.g., shift in air/fuel ratio at which oxygen sensor switches, decreased amplitude, etc.). When performing additional test(s), all primary and secondary (if applicable) oxygen sensors used for fuel control shall be operating at the malfunction criteria limit for the applicable parameter only. All other primary and secondary oxygen sensor parameters shall be with normal characteristics.

- (3.1.2) For vehicles utilizing sensors other than oxygen sensors for primary fuel control (e.g., linear air-fuel ratio sensors, universal sensors, etc.), the manufacturer shall submit, for Executive Officer approval, a demonstration test plan for performing testing of all of the sensor parameters that can cause vehicle emissions to exceed 1.5 times the applicable standards. The Executive Officer shall approve the plan if it is determined that it will provide data that will assure proper performance of the diagnostics of the sensors, consistent with the intent of section (g).
- (3.2) EGR System: The manufacturer shall perform a test at the low flow limit.
- (3.3) VVT System: For 2005 and subsequent model year Low Emission II applications, the manufacturer shall perform a test at each target error limit and slow response limit calibrated to the malfunction criteria (e.g., 1.5 times the FTP standard) in sections (e)(13.2.1) and (13.2.2). In conducting the VVT system demonstration tests, the manufacturer may use computer modifications to cause the VVT system to operate at the malfunction limit if the manufacturer can demonstrate that the computer modifications produce test results equivalent to an induced hardware malfunction.
- (3.4) Fuel System:
  - (3.4.1) For vehicles with adaptive feedback based on the primary fuel control sensor(s), the manufacturer shall perform a test with the adaptive feedback based on the primary fuel control sensor(s) at the rich limit(s) and a test at the lean limit(s) established by the manufacturer in section (e)(6.2.1) to detect a malfunction before emissions exceed 1.5 times the applicable standards.
  - (3.4.2) For vehicles with feedback based on a secondary fuel control sensor(s) and subject to the malfunction criteria in section (e)(6.2.1), the manufacturer shall perform a test with the feedback based on the secondary fuel control sensor(s) at the rich limit(s) and a test at the lean limit(s) established by the manufacturer in section (e)(6.2.1) to detect a malfunction before emissions exceed 1.5 times the applicable standards.
  - (3.4.3) For other fuel metering or control systems, the manufacturer shall perform a test at the criteria limit(s).
  - (3.4.4) For purposes of fuel system testing, the fault(s) induced may result in a uniform distribution of fuel and air among the cylinders. Non-uniform distribution of fuel and air used to induce a fault may not cause misfire. In conducting the fuel system demonstration tests, the manufacturer may use computer modifications to cause the fuel system to operate at the malfunction limit if the manufacturer can demonstrate that the computer

modifications produce test results equivalent to an induced hardware malfunction.

- (3.5) Misfire: The manufacturer shall perform a test at the malfunction criteria limit specified in section (e)(3.2.2). The testing is not required for diesel applications.
  - (3.6) Secondary Air System: The manufacturer shall perform a test at the low flow limit. Manufacturers performing only a functional check in accordance with the provisions of section (e)(5.2.2)(B) or (e)(5.2.4) shall perform a test at the functional check flow malfunction criteria.
  - (3.7) Catalyst System: The manufacturer shall perform a test using a catalyst system deteriorated to the malfunction criteria using methods established by the manufacturer in accordance with section (e)(1.2.6). For diesel vehicles, the manufacturer shall perform a test using a catalyst system deteriorated to the malfunction criteria in sections (e)(1.5.2)(A)(i), (B)(i), or (C)(i). For diesel vehicles with catalyst systems not subject to the malfunction criteria in section (e)(1.5.2)(A)(i), (B)(i), or (C)(i), manufacturers are not required to perform a catalyst demonstration test.
  - (3.8) Heated Catalyst Systems: The manufacturer shall perform a test at the malfunction criteria limit established by the manufacturer in section (e)(2.2).
  - (3.9) PM Trap: The manufacturer shall perform a test using a PM trap(s) deteriorated to the malfunction criteria in sections (e)(15.2.1) or (15.2.3). For diesel vehicles with a PM trap(s) not subject to the malfunction criteria in section (e)(15.2.1) or (15.2.3), manufacturers are not required to perform a PM trap(s) demonstration test.
  - (3.10) Other systems: The manufacturer shall conduct demonstration tests for all other emission control components designed and calibrated to a malfunction criteria of 1.5 times any of the applicable emission standards (e.g., hydrocarbon traps, adsorbers, etc.) under the provisions of section (e)(17).
  - (3.11) The manufacturer may electronically simulate deteriorated components but may not make any vehicle control unit modifications (unless otherwise excepted above) when performing demonstration tests. All equipment necessary to duplicate the demonstration test must be made available to the ARB upon request.
- (4) Testing Protocol:
- (4.1) Preconditioning: The manufacturer shall use an applicable FTP cycle (or Unified Cycle, if approved) for preconditioning test vehicles prior to conducting each of the above emission tests. Upon determining that ~~if~~ a manufacturer has ~~provid~~ed data and/or an engineering evaluation that adequately ~~demonstrates~~ that additional preconditioning is necessary to stabilize the emission control system, the Executive Officer shall allow the manufacturer to perform a single additional preconditioning cycle, identical to the initial preconditioning cycle, or a Federal Highway Fuel Economy Driving Cycle, following a ten minute (20 minutes for medium duty engines certified on an engine dynamometer) hot soak after the initial preconditioning cycle. The manufacturer may not require the test vehicle to be cold soaked prior to conducting preconditioning cycles in order for the monitoring system testing to be successful.
  - (4.2) Test Sequence:



- (4.2.1) The manufacturer shall set the system or component on the test vehicle for which detection is to be tested at the criteria limit(s) prior to conducting the applicable preconditioning cycle(s). If a second preconditioning cycle is permitted in accordance with section (g)(4.1) above, the manufacturer may adjust the system or component to be tested before conducting the second preconditioning cycle. The manufacturer may not replace, modify, or adjust the system or component after the last preconditioning cycle has taken place.
- (4.2.2) After preconditioning, the test vehicle shall be operated over the applicable FTP cycle (or Unified Cycle, if approved) to allow for the initial detection of the tested system or component malfunction. This driving cycle may be omitted from the testing protocol if it is unnecessary. If required by the designated monitoring strategy, a cold soak may be performed prior to conducting this driving cycle.
- (4.2.3) The test vehicle shall then be operated over the cold start and hot start exhaust tests of the applicable FTP test. If monitoring during the Unified Cycle is approved, a second Unified Cycle may be conducted prior to the FTP test.
- (4.3) A manufacturer required to test more than one test vehicle (section (g)(2.1.2)) may utilize internal calibration sign-off test procedures (e.g., forced cool downs, less frequently calibrated emission analyzers, etc.) instead of official FTP test procedures to obtain the emission test data required in section (g) for all but one of the required test vehicles. The manufacturer may elect this option if the data from the alternative test procedure are representative of official FTP emission test results. Manufacturers using this option are still responsible for meeting the malfunction criteria specified in section (e) when emission tests are performed in accordance with official FTP test procedures.
- (5) Evaluation Protocol:
  - (5.1.1) For all tests conducted under section (g), the MIL shall be illuminated upon detection of the tested system or component malfunction before the hot start exhaust test of the complete FTP test (or before the hot start portion of the last Unified Cycle, if applicable) in accordance with requirements of section (e).
  - (5.1.2) For all tests conducted under section (g), manufacturers may use Non-Methane Hydrocarbon (NMHC) emission results in lieu of Non-Methane Organic Gas (NMOG) emission results for comparison to the applicable FTP standards or malfunction criteria (e.g., 1.5 times the FTP standards). If NMHC emission results are used in lieu of NMOG, the emission result shall be multiplied by 1.04 to generate an equivalent NMOG result before comparison to the applicable FTP standards.
  - (5.1.3) If the MIL illuminates prior to emissions exceeding the applicable malfunction criteria specified in section (e), no further demonstration is required. With respect to the misfire monitor demonstration test, if a manufacturer has elected to use the minimum misfire malfunction criteria of one percent as allowed in section (e)(3.2.2)(A), no further demonstration is required if the MIL illuminates with misfire implanted at the malfunction criteria limit.
  - (5.1.4) If the MIL does not illuminate when the systems or components are set at



their limit(s), the criteria limit or the OBD II system is not acceptable.

- (A) Except for testing of the catalyst system, if the MIL first illuminates after emissions exceed the applicable malfunction criteria specified in section (e), the test vehicle shall be retested with the tested system or component adjusted so that the MIL will illuminate before emissions exceed the applicable malfunction criteria specified in section (e). If the component cannot be adjusted to meet this criterion because a default fuel or emission control strategy is used when a malfunction is detected (e.g., open loop fuel control used after an O<sub>2</sub> sensor malfunction is determined, etc.), the test vehicle shall be retested with the component adjusted to the worst acceptable limit (i.e., the applicable monitor indicates the component is performing at or slightly better than the malfunction criteria). For the OBD II system to be approved, the MIL must not illuminate during this test and the vehicle emissions must be below the applicable malfunction criteria specified in section (e).
  - (B) In testing the catalyst system, if the MIL first illuminates after emissions exceed the applicable emission threshold(s) specified in section (e), the tested vehicle shall be retested with a less deteriorated catalyst system (i.e., more of the applicable engine out pollutants are converted). For the OBD II system to be approved, testing shall be continued until either of the following conditions are satisfied:
    - (i) The MIL is illuminated and emissions do not exceed the thresholds specified in section (e); or
    - (ii) The manufacturer demonstrates that the MIL illuminates within acceptable upper and lower limits of the threshold specified in section (e) for MIL illumination. The manufacturer shall demonstrate acceptable limits by continuing testing until the test results show:
      - a. The MIL is illuminated and emissions exceed the thresholds specified in section (e) by 10 percent or less of the applicable standard (e.g., emissions are less than 1.85 times the applicable standard for a malfunction criterion of 1.75 times the standard); and
      - b. The MIL is not illuminated and emissions are below the thresholds specified in section (e) by no more than 20 percent of the standard (e.g., emissions are between 1.55 and 1.75 times the applicable standard for a malfunction criterion of 1.75 times the standard).
- (5.1.5) If an OBD II system is determined unacceptable by the above criteria, the manufacturer may recalibrate and retest the system on the same test vehicle. In such a case, the manufacturer must confirm, by retesting, that all systems and components that were tested prior to recalibration and are affected by the recalibration function properly under the OBD II system as recalibrated.
- (6) Confirmatory Testing:
- (6.1) The ARB may perform confirmatory testing to verify the emission test data submitted by the manufacturer under the requirements of section (g) complies with the requirements of section (g) and the malfunction criteria identified in section (e). This confirmatory testing is limited to vehicles in the OBD II test group vehicle configuration represented by the demonstration vehicle(s).

- (6.2) The ARB or its designee may install appropriately deteriorated or malfunctioning components in an otherwise properly functioning test vehicle of a test group represented by the demonstration test vehicle(s) (or simulate a deteriorated or malfunctioning component) in order to test any of the components or systems required to be tested in section (g). Upon request by the Executive Officer, the manufacturer shall make available a vehicle and all test equipment (e.g., malfunction simulators, deteriorated components, etc.) necessary to duplicate the manufacturer's testing. The Executive Officer shall make the request within six months of reviewing and approving the demonstration test vehicle data submitted by the manufacturer for the specific test group.
- (6.3) Vehicles with OBD II systems represented by the demonstration vehicle(s) may be recalled for corrective action if a representative sample of vehicles uniformly fails to meet the requirements of section (g).

**(h) CERTIFICATION DOCUMENTATION**

- (1) When submitting an application for certification of a test group, the manufacturer shall submit the following documentation. If any of the items listed below are standardized for all of a manufacturer's test groups, the manufacturer may, for each model year, submit one set of documents covering the standardized items for all of its test groups.
  - (1.1) For the required documentation not standardized across all test groups, the manufacturer may propose to the Executive Officer that documentation covering a specified combination of test groups be used. These combinations shall be known as "OBD II groups". Executive Officer approval shall be granted for those groupings that include test groups using the same OBD II strategies and similar calibrations. If approved by the Executive Officer, the manufacturer may submit one set of documentation from one or more representative test group(s) that are a part of the OBD II group. The Executive Officer shall determine whether a selected test group(s) is representative of the OBD II group as a whole. To be approved as representative, the test group(s) must possess the most stringent emission standards and OBD II monitoring requirements and cover all of the emission control devices within the OBD II group.
  - (1.2) With Executive Officer approval, one or more of the documentation requirements of section (h) may be waived or modified if the information required would be redundant or unnecessarily burdensome to generate.
  - (1.3) To the extent possible, the certification documentation shall use SAE J1930 terms, abbreviations, and acronyms.
- (2) The following information shall be submitted as "Part 1" of the certification application. Except as provided below for demonstration data, the Executive Officer will not issue an Executive Order certifying the covered vehicles without the information having been provided. The information must include:
  - (2.1) A description of the functional operation of the OBD II system including a complete written description for each monitoring strategy that outlines every step in the decision making process of the monitor. Algorithms, diagrams, samples of data, and/or other graphical representations of the monitoring

- strategy shall be included where necessary to adequately describe the information.
- (2.2) A table, in the standardized format detailed in Attachment A of ARB Mail-Out #95-20, May 22, 1995, incorporated by reference.
- (2.2.1) The table must include the following information for each monitored component or system (either computer-sensed or -controlled) of the emission control system:
- (A) corresponding fault code
  - (B) monitoring method or procedure for malfunction detection
  - (C) primary malfunction detection parameter and its type of output signal
  - (D) fault criteria limits used to evaluate output signal of primary parameter
  - (E) other monitored secondary parameters and conditions (in engineering units) necessary for malfunction detection
  - (F) monitoring time length and frequency of checks
  - (G) criteria for storing fault code
  - (H) criteria for illuminating malfunction indicator light
  - (I) criteria used for determining out of range values and input component rationality checks
- (2.2.2) Wherever possible, the table shall use the following engineering units:
- (A) Degrees Celsius (°C) for all temperature criteria
  - (B) KiloPascals (KPa) for all pressure criteria related to manifold or atmospheric pressure
  - (C) Grams (g) for all intake air mass criteria
  - (D) Pascals (Pa) for all pressure criteria related to evaporative system vapor pressure
  - (E) Miles per hour (mph) for all vehicle speed criteria
  - (F) Relative percent (%) for all relative throttle position criteria (as defined in [SAE J1979/ISO 15031-5](#))
  - (G) Voltage (V) for all absolute throttle position criteria (as defined in [SAE J1979/ISO 15031-5](#))
  - (H) Per crankshaft revolution (/rev) for all changes per ignition event based criteria (e.g., g/rev instead of g/stroke or g/firing)
  - (I) Per second (/sec) for all changes per time based criteria (e.g., g/sec)
  - (J) Percent of nominal tank volume (%) for all fuel tank level criteria
- (2.3) A logic flowchart describing the step by step evaluation of the enable criteria and malfunction criteria for each monitored emission-related component or system.
- (2.4) Emission test data, a description of the testing sequence (e.g., the number and types of preconditioning cycles), approximate time (in seconds) of MIL illumination during the test, fault code(s) and freeze frame information stored at the time of detection, corresponding SAE J1979 test results (e.g. Mode/[Service](#) \$06) stored during the test, and a description of the modified or deteriorated components used for fault simulation with respect to the demonstration tests specified in section (g). The Executive Officer may approve conditional certification of a test group prior to the submittal of this data for ARB review and approval. Factors to be considered by the Executive Officer in approving the late submission of information identified in section (h)(2.4) shall include the reason for the delay in the data collection, the length

- of time until data will be available, and the demonstrated previous success of the manufacturer in submitting the data prior to certification.
- (2.5) Data supporting the misfire monitor, including:
    - (2.5.1) The established percentage of misfire that can be tolerated without damaging the catalyst over the full range of engine speed and load conditions.
    - (2.5.2) Data demonstrating the probability of detection of misfire events of the misfire monitoring system over the full engine speed and load operating range for the following misfire patterns: random cylinders misfiring at the malfunction criteria established in section (e)(3.2.2), one cylinder continuously misfiring, and paired cylinders continuously misfiring.
    - (2.5.3) Data identifying all disablement of misfire monitoring that occurs during the FTP and US06 cycles. For every disablement that occurs during the cycles, the data should identify: when the disablement occurred relative to the driver's trace, the number of engine revolutions that each disablement was present for, and which disable condition documented in the certification application caused the disablement.
    - (2.5.4) Manufacturers are not required to use the durability demonstration vehicle to collect the misfire data for sections (h)(2.5.1) through (2.5.3).
  - (2.6) Data supporting the limit for the time between engine starting and attaining the designated heating temperature for after-start heated catalyst systems.
  - (2.7) A listing of all electronic powertrain input and output signals (including those not monitored by the OBD II system) that identifies which signals are monitored by the OBD II system.
  - (2.8) A written description of all parameters and conditions necessary to begin closed loop operation.
  - (2.9) A summary table identifying every test group and each of the OBD II phase-in requirements that apply to each test group.
  - (2.10) A written identification of the communication protocol utilized by each test group for communication with an SAE J1978 scan tool.
  - (2.11) A pictorial representation or written description of the diagnostic connector location including any covers or labels.
  - (2.12) A written description of the method used by the manufacturer to meet the requirements of section (e)(9) for PCV system monitoring including diagrams or pictures of valve and/or hose connections.
  - (2.13) Any other information determined by the Executive Officer to be necessary to demonstrate compliance with the requirements of this regulation.
  - (3) "Part 2". The following information shall be submitted by January 1<sup>st</sup> of the applicable model year:
    - (3.1) A listing and block diagram of the input parameters used to calculate or determine calculated load values and the input parameters used to calculate or determine fuel trim values.
    - (3.2) A scale drawing of the MIL and the fuel cap indicator light, if present, which specifies location in the instrument panel, wording, color, and intensity.
  - (4) "Part 3". The following information shall be submitted upon request of the Executive Officer:

- (4.1) Data supporting the criteria used to detect a malfunction when catalyst deterioration causes emissions to exceed the applicable malfunction criteria specified in section (e).
- (4.2) Data supporting the criteria used to detect evaporative system leaks.
- (4.3) Any other information determined by the Executive Officer to be necessary to demonstrate compliance with the requirements of this regulation.

**(i) DEFICIENCIES**

- (1) For 2004 and subsequent model year vehicles, the Executive Officer, upon receipt of an application from the manufacturer, may certify vehicles even though said vehicles may not comply with one or more of the requirements of title 13, CCR section 1968.2. In granting the certification, the Executive Officer shall consider the following factors: the extent to which the requirements of section 1968.2 are satisfied overall based on a review of the vehicle applications in question, the relative performance of the resultant OBD II system compared to systems fully compliant with the requirements of title 13, CCR section 1968.2, and a demonstrated good-faith effort on the part of the manufacturer to: (1) meet the requirements in full by evaluating and considering the best available monitoring technology; and (2) come into compliance as expeditiously as possible. The Executive Officer may not grant certification to a vehicle in which the reported noncompliance for which a deficiency is sought would be subject to ordered recall pursuant to section 1968.5 (c)(3)(A).
- (2) Manufacturers of non-complying systems are subject to fines pursuant to section 43016 of the California Health and Safety Code. The specified fines apply to the third and subsequently identified deficiencies, with the exception that fines shall apply to all monitoring system deficiencies wherein a required monitoring strategy is completely absent from the OBD system.
- (3) The fines are in the amount of \$50 per deficiency per vehicle for non-compliance with any of the monitoring requirements specified in sections (e)(1) through (e)(8), (e)(11), (e)(13) through (e)(15), and (e)(17), and \$25 per deficiency per vehicle for non-compliance with any other requirement of section 1968.2. In determining the identified order of deficiencies, deficiencies subject to a \$50 fine are identified first. Total fines per vehicle under section (i) may not exceed \$500 per vehicle and are payable to the State Treasurer for deposit in the Air Pollution Control Fund.
- (4) Manufacturers must re-apply for Executive Officer approval of a deficiency each model year. In considering the request to carry-over a deficiency, the Executive Officer shall consider the factors identified in section (i)(1) including the manufacturer's progress towards correcting the deficiency. The Executive Officer may not allow manufacturers to carry over monitoring system deficiencies for more than two model years unless it can be ~~adequately~~ demonstrated that substantial vehicle hardware modifications and additional lead time beyond two years would be necessary to correct the deficiency, in which case the Executive Officer shall allow the deficiency to be carried over for three model years.
- (5) Except as allowed in section (i)(6), deficiencies may not be retroactively granted after certification.

- (6) Request for retroactive deficiencies
  - (6.1) Manufacturers may request that the Executive Officer grant a deficiency and amend a vehicle's certification to conform to the granting of the deficiencies during the first ~~120-180~~ days after commencement of normal production for each aspect of the monitoring system: (a) identified by the manufacturer (during testing required by section (j)(2) or any other testing) to be functioning different than the certified system or otherwise not meeting the requirements of any aspect of section 1968.2; and (b) reported to the Executive Officer. If the Executive Officer grants the deficiencies and amended certification, their approval would be retroactive to the start of production.
  - (6.2) Executive Officer approval of the request for a retroactive deficiency shall be granted provided that the conditions necessary for a pre-certification deficiency determination are satisfied (see section (i)(1)) and the manufacturer could not have reasonably anticipated the identified problem before commencement of production.
  - (6.3) In granting the amended certification, the Executive Officer shall include any approved post-production deficiencies together with all previously approved deficiencies in computing fines in accordance with section (i)(2).
- (7) Any OBD II system installed on a production vehicle that fails to conform with the certified OBD II system for that vehicle or otherwise fails to meet the requirements of section 1968.2 and has not been granted a deficiency pursuant to the provisions of section (i)(1) through (i)(6) are considered non-compliant. The vehicles are subject to enforcement pursuant to applicable provisions of the Health and Safety Code and title 13, CCR section 1968.5.

**(j) PRODUCTION VEHICLE EVALUATION TESTING**

- (1) Verification of Standardized Requirements
  - (1.1) Requirement: For 2005 and subsequent model year vehicles, manufacturers shall perform testing to verify that all vehicles ~~using ISO 15765-4 as the OBD II system communication protocol (see section (f)(3.4))~~ meet the requirements of section (f)(3) and (f)(4) relevant to proper communication of required emission-related messages to an SAE J1978 scan tool.
  - (1.2) Selection of Test Vehicles: Manufacturers shall perform this testing every model year on one production vehicle from every unique calibration within 30 days of the start of normal production for that calibration. Manufacturers may request Executive Officer approval to group multiple calibrations together and test one representative calibration per group. The Executive Officer shall approve the request upon finding that the software designed to comply with the standardization requirements of section (f) in the representative calibration vehicle is identical (e.g., communication protocol message timing, number of supported data stream parameters, etc.) to all others in the group and that any differences in the calibrations are not relevant with respect to meeting the criteria in section (j)(1.4).
  - (1.3) Test Equipment: For the testing required in section (j)(1), manufacturers shall utilize an off-board device to conduct the testing. Prior to conducting testing, manufacturers are required to request and receive Executive Officer approval of the off-board device that the manufacturer will use to perform the testing. The Executive Officer shall approve the request upon determining that the



manufacturer has submitted ~~ing~~ data, specifications, and/or engineering analysis that demonstrate that the off-board device will verify vehicles will be able to perform all of the required functions in section (j)(1.4) with any other off-board device designed and built in accordance with the SAE J1978 generic scan tool specifications.

(1.4) Required Testing:

- (1.4.1) The testing shall verify that the vehicle can properly establish communications between all emission-related on-board computers and any SAE J1978 scan tool designed to adhere strictly to the communication protocols allowed in section (f)(3);
- (1.4.2) The testing shall further verify that the vehicle can properly communicate to any SAE J1978 scan tool:
  - (A) The current readiness status from all on-board computers required to support readiness status in accordance with ~~SAE J1979~~ISO 15031-5 and section (f)(4.1) while the engine is running;
  - (B) The MIL command status while the MIL is commanded off and while the MIL is commanded on in accordance with ~~SAE J1979~~ISO 15031-5 and section (f)(4.2) while the engine is running, and in accordance with ~~SAE J1979~~ISO 15031-5 and sections (d)~~(2-52.1.2)~~ during the MIL functional check and, if applicable, (f)(4.1.3) during the MIL ~~functional-readiness status~~ check while the engine is off;
  - (C) All data stream parameters required in section (f)(4.2) in accordance with ~~SAE J1979~~ISO 15031-5 including the identification of each data stream parameter as supported in ~~SAE J1979~~ISO 15031-5 (e.g., Mode/Service \$01, PID \$00);
  - (D) The CAL ID, CVN, and VIN (if applicable) in accordance with ~~SAE J1979~~ISO 15031-5 and sections (f)(4.6) through (4.8);
  - (E) An emission-related fault code (both confirmed and pending) in accordance with ~~SAE J1979~~ISO 15031-5 (including correctly indicating the number of stored fault codes (e.g., Mode/Service \$01, PID \$01, Data A)) and section (f)(4.4);
- (1.4.3) The testing shall also verify that the vehicle can properly respond to any SAE J1978 scan tool request to clear emission-related fault codes and reset readiness status.

(1.5) Reporting of Results:

- (1.5.1) The manufacturer shall notify the Executive Officer within 30 days of identifying any vehicle that does not meet the requirements of section (j)(1.4). The manufacturer shall submit a written report of the problem(s) identified and propose corrective action (if any) to remedy the problem(s) to the Executive Officer for approval. Factors to be considered by the Executive Officer in approving the proposed corrective action shall include the severity of the problem(s), the ability of the vehicle to be tested in an I/M program, the ability of service technicians to access the required diagnostic information, the impact on equipment and tool manufacturers, and the amount of time prior to implementation of the proposed corrective action.

- (1.5.2) Upon request of the Executive Officer, a manufacturer shall submit a report of the results of any testing conducted pursuant to section (j)(1) to the Executive Officer for review.
- (1.5.3) In accordance with section (i)(6), manufacturers may request Executive Officer approval for a retroactive deficiency to be granted for items identified during this testing.
- (2) Verification of Monitoring Requirements
- (2.1) Within the first ~~four~~ six months after normal production begins, manufacturers shall conduct a complete evaluation of the OBD II system of one or more production vehicles ~~(test vehicles) per test group selected for monitoring system demonstration in section (g)~~ and submit the results of the evaluation to the Executive Officer.
- (2.2) Selection of test vehicles:
- (2.2.1) Prior to submitting any applications for certification for a model year, a manufacturer shall notify the Executive Officer of the test groups planned for that model year. The Executive Officer will then select the test group(s), in accordance with sections (j)(2.2.2) and (j)(2.2.3) below, that the manufacturer shall use as test vehicles to provide evaluation test results. This selection process may take place during durability demonstration test vehicle selection specified in section (g).
- (2.2.2) A manufacturer shall evaluate one production vehicle per test group selected for monitoring system demonstration in section (g).
- (2.2.3) In addition to the vehicles selected in section (j)(2.2.2) above, a manufacturer shall evaluate vehicles chosen from test groups that are not selected for monitoring system demonstration testing under section (g). The number of additional vehicles to be tested shall be equal to the number of vehicles selected for monitoring system demonstration in section (g).
- (2.2.4) The Executive Officer may waive the requirements for submittal of evaluation results from one or more of the test groups if data has been previously submitted for all of the test groups.
- ~~(2.2)~~ (2.3) Evaluation requirements:
- ~~(2.2.1)~~ (2.3.1) The evaluation shall demonstrate the ability of the OBD II system on the selected production vehicle to detect a malfunction, illuminate the MIL, and store a confirmed fault code when a malfunction is present and the monitoring conditions have been satisfied for each individual diagnostic required by title 13, CCR section 1968.2.
- ~~(2.2.2)~~ (2.3.2) The evaluation shall verify that malfunctions detected by non-MIL illuminating diagnostics of components used to enable any other OBD II system diagnostic (e.g., fuel level sensor) will not inhibit the ability of other OBD II system diagnostics to properly detect malfunctions.
- ~~(2.2.3)~~ (2.3.3) On vehicles so equipped, the evaluation shall verify that the software used to track the numerator and denominator for purposes of determining in-use monitoring frequency correctly increments as required in section (d)(4).
- ~~(2.2.4)~~ (2.3.4) Malfunctions may be mechanically implanted or electronically simulated but internal on-board computer hardware or software changes

may not be used to simulate malfunctions. Emission testing to confirm that the malfunction is detected before the appropriate emission standards are exceeded is not required.

~~(2.2.5)~~(2.3.5) Manufacturers shall submit a proposed test plan for Executive Officer approval prior to evaluation testing being performed. The test plan shall identify the method used to induce a malfunction in each diagnostic. If the Executive Officer determines that the requirements of section (j)(2) are satisfied, the proposed test plan shall be approved.

~~(2.2.6)~~(2.3.6) Subject to Executive Officer approval, manufacturers may omit demonstration of specific diagnostics. The Executive Officer shall approve a manufacturer's request if the demonstration cannot be reasonably performed without causing physical damage to the vehicle (e.g., on-board computer internal circuit faults).

~~(2.2.7)~~(2.3.7) For this evaluation, manufacturers are not required to demonstrate diagnostics that were previously demonstrated prior to certification as required in section (g).

~~(2.3)~~(2.4) Manufacturers shall submit a report of the results of all testing conducted pursuant to section (j)(2) to the Executive Officer for review. This report shall identify the method used to induce a malfunction in each diagnostic, the MIL illumination status, and the confirmed fault code(s) stored.

~~(2.4)~~(2.5) In accordance with section (i)(6), manufacturers may request Executive Officer approval for a retroactive deficiency to be granted for items identified during this testing.

### (3) Verification and Reporting of In-use Monitoring Performance

(3.1) Manufacturers are required to collect and report in-use monitoring performance data representative of every test group certified by the manufacturer and equipped with in-use monitoring performance tracking software in accordance with section (d)(4) to the ARB within six months ~~from either the time of introduction to commerce or after~~ the start of normal production, whichever is later. The manufacturer may propose to the Executive Officer that multiple test groups be combined to collect representative data. Executive Officer approval shall be granted upon determining that the proposed groupings include test groups using the same OBD II strategies and similar calibrations and that are expected to have similar in-use monitoring performance. If approved by the Executive Officer, the manufacturer may submit one set of data for each of the approved groupings.

(3.2) For each test group or combination of test groups, the data must include all of the in-use performance tracking data reported through SAE J1979 (i.e., all numerators, denominators, and the ignition cycle counter), the date the data was collected, the vehicle VIN, and the ECM software calibration identification number.

(3.3) Manufacturers shall submit a plan to the Executive Officer for review and approval of the sampling method, number of vehicles to be sampled, time line to collect the data, and reporting format. The Executive Officer shall approve the plan upon determining that ~~if~~ it provides for effective collection of data from a representative sample of vehicles that, at a minimum, is ~~thirty~~ fifteen

vehicles, will likely result in the collection and submittal of data within the required six month time frame, will generate data that ~~is~~are representative of California drivers and temperatures, and does not, by design, exclude or include specific vehicles in an attempt to collect data only from vehicles with the highest in-use performance ratios.

(3.4) Upon request of the manufacturer, the Executive Officer may for good cause extend the six month time requirement set forth in section (j)(3.1) up to a maximum of twelve months. In granting additional time, the Executive Officer shall consider, among other things, information submitted by the manufacturer to justify the delay, sales volume of the test group(s), and the sampling mechanism utilized by the manufacturer to procure vehicles. If an extension beyond six months is granted, the manufacturer shall additionally be required to submit an interim report within six months for data collected up to the time of the interim report.

(3.5) Upon request of the manufacturer, the Executive Officer may reduce the minimum sample size of fifteen vehicles set forth in section (j)(3.3) for test groups with low sales volume. In granting approval of a sampling plan with a reduced minimum sample size, the Executive Officer shall consider, among other things, information submitted by the manufacturer to justify the smaller sample size, sales volume of the test group(s), and the sampling mechanism utilized by the manufacturer to procure vehicles.

NOTE: Authority cited: Sections 39600, 39601, 43000.5, 43013, 43018, 43100, 43101, 43104, 43105, 43105.5, and 43106, Health and Safety Code. Reference: Sections 39002, 39003, 39010-39060, 39515, 39600-39601, 43000, 43000.5, 43004, 43006, 43013, 43016, 43018, 43100, 43101, 43102, 43104, 43105, 43105.5, 43106, 43150-43156, 43204, 43211, and 43212, Health and Safety Code.

## **Attachment B**

Enforcement of Malfunction and Diagnostic System Requirements for 2004 and Subsequent Model-Year Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles and Engines, Section 1968.5, Title 13, California Code of Regulations

**§ 1968.5. Enforcement of Malfunction and Diagnostic System Requirements for 2004 and Subsequent Model-Year Passenger Cars, Light-Duty Trucks, and Medium-Duty Vehicles and Engines.**

**(a) General**

(1) Applicability.

(A) These procedures shall be used to assure compliance with the requirements of title 13, California Code of Regulations (CCR) section 1968.2 for all 2004 and subsequent model year vehicles equipped with OBD II systems that have been certified for sale in California.

(B) Vehicles manufactured prior to the 2004 model year are covered by the general enforcement and penalty provisions of the Health and Safety Code, and the specific provisions of title 13, CCR sections 1968.1 and 2111 through 2149.

(2) Purpose.

The purpose of this section is to establish the enforcement protocol that shall be used by the ARB to assure that vehicles certified for sale in California are equipped with OBD II systems that properly function and meet the purposes and requirements of title 13, CCR section 1968.2.

(3) Definitions.

The definitions applicable to these rules include those set forth in Health and Safety Code section 39010 et seq. and ~~at-in~~ title 13, CCR sections 1900(b) and 1968.2(b), which are incorporated by reference herein. The following definitions are specifically applicable to section 1968.5 and take precedence over any contrary definitions.

(A) “Days”, when computing any period of time, unless otherwise noted, means normal working calendar days, ~~but the Executive Officer when considering any request for extension of time shall consider the days~~ that a manufacturer is open for business.

(B) “Executive Officer” means the Executive Officer of the Air Resources Board or his or her authorized representative.

(C) “Influenced OBD II-Related Recall” means an inspection, repair, adjustment, or modification program initiated and conducted by a manufacturer as a result of enforcement testing conducted by the ARB or any other information for the purpose of correcting any nonconforming OBD II system for which direct notification of vehicle or engine owners is necessary.

(D) “Major Monitor” means those monitors covered by the requirements set forth in title 13, CCR section 1968.2(e)(1.0) through (e)(8.0), (e)(11.0) through (e)(15.0), and (e)(17.0).

(E) “Motor Vehicle Class” means a group or set of vehicles or engines subject to enforcement testing that have been determined by the Executive Officer to share common or similar hardware, software, OBD II monitoring strategy, or emission control strategy.

(F) “Motor Vehicle Manufacturer” means the manufacturer granted certification to sell motor vehicles in the State of California.



- (G) “Nonconforming OBD II System” means an OBD II system on a production vehicle that has been determined not to comply with the requirements of title 13, CCR section 1968.2. For purposes of section 1968.5, a motor vehicle class shall be considered nonconforming irrespective of whether vehicles in the motor vehicle class, on average, meet applicable tailpipe or evaporative emission standards.
- (H) “OBD II Emission Testing” refers to testing conducted to determine compliance with the malfunction criteria in title 13, CCR section 1968.2(e) that are based on a multiple of a tailpipe emission standard (e.g., 1.5 times the applicable FTP emission standards).
- (I) “OBD II Ratio Testing” refers to testing conducted to determine compliance with the required in-use monitor performance ratio in title 13, CCR section 1968.2(d)(3.2.1).
- (J) “Ordered OBD II-Related Recall” means an inspection, repair, adjustment, or modification program required by the ARB to be conducted by the manufacturer to correct any nonconforming OBD II system for which direct notification of vehicle owners is necessary.
- (K) “Quarterly Reports” refer to the following calendar periods: January 1 – March 31; April 1 – June 30; July 1 – September 30; October 1 – December 31.
- (L) “Test Sample Group” means a group of production vehicles in a designated motor vehicle class that are equipped with OBD II systems and are selected and tested as part of the ARB enforcement testing program set forth in section (b).
- (M) “Voluntary OBD II-Related Recall” means an inspection, repair, adjustment, or modification program voluntarily initiated and conducted by a manufacturer to correct any nonconforming OBD II system for which direct notification of vehicle owners is necessary.

**(b) Testing Procedures**

(1) Purpose.

To assure that OBD II systems on production motor vehicles and engines comply with the requirements of title 13, CCR section 1968.2, the ARB may periodically evaluate vehicles and engines from a motor vehicle class.

(2) Preliminary Testing and Evaluation.

(A) As part of his or her evaluation of vehicles to determine compliance with the requirements of title 13, CCR section 1968.2, the Executive Officer may routinely conduct testing on any production vehicles that have been ~~sold and operated~~ certified for sale in California.

(B) Based upon such testing or any other information, including data from California or other State Inspection and Maintenance (I&M) stations, warranty information reports, and field information reports, the Executive Officer may conduct enforcement testing pursuant to sections (b)(3) through (5) below.

(3) Vehicle Selection for Enforcement Testing.

(A) Determining the Motor Vehicle Class.

- (i) Upon deciding to conduct enforcement testing, the Executive Officer shall determine the motor vehicle class to be tested. In determining the scope of the motor vehicle class to be tested, the Executive Officer shall consider the similarities and differences in the OBD II systems of potentially affected vehicles. Among other things, the Executive Officer shall consider whether vehicles share similar computer hardware and software, calibrations, or OBD II monitoring and emission control strategies.
- (ii) The default motor vehicle class is the test group or OBD II group used by the manufacturer to certify the vehicles to be tested. However, upon concluding that a subgroup of vehicles differs from other vehicles in the identified test group or OBD II group and that a reasonable basis exists to believe that the differences may directly impact the type of testing that will be performed, the Executive Officer may determine that a subgroup of the test group or OBD II group is the appropriate motor vehicle class for testing.
- (iii) Similarly, upon concluding that vehicles from several OBD II groups [\(which may include OBD II groups from different model years\)](#) share such common characteristics that a reasonable basis exists to believe that results of enforcement testing may be applicable to a motor vehicle class larger than a specific test group or OBD II group, the Executive Officer may determine that the appropriate motor vehicle class includes more than one test group or OBD II group.
- (iv) [Except for testing to determine if an OBD II system has been designed to deactivate based on age and/or mileage \(title 13, CCR section 1968.2 \(d\)\(1.3\)\)](#), ~~¶~~the Executive Officer may not conduct testing of a motor vehicle class whose vehicles, on average, exceed the defined full useful life of the motor vehicle class. For purposes of the determination of this average, the Executive Officer shall use the accrual rates appropriate for vehicles in the motor vehicle class as defined in Section 7.1, "Accrual Rates", EMFAC2000 Technical Support Documentation, incorporated by reference.

(B) Size of Test Sample Group.

After determining the motor vehicle class to be tested, the Executive Officer shall determine the appropriate number of vehicles to include in the test sample group for enforcement testing in accordance with the following guidelines:

- (i) For OBD II emission testing, the Executive Officer shall follow the procedures regarding sample size established in title 13, CCR section 2137 (e.g., using a sample size of at least 10 vehicles).
- (ii) For OBD II ratio testing, the Executive Officer shall collect data from a test sample group of at least 30 vehicles.

- (iii) In determining compliance with any other requirements of title 13, CCR section 1968.2 (e.g., diagnostic connector location, communication protocol standards, MIL illumination protocol, evaporative system diagnostics, etc.), the Executive Officer shall determine the number of vehicles to be included in the test sample group based upon the nature of the noncompliance and the scope of the motor vehicle class. The test sample group could be as few as two test vehicles.
- (C) Protocol for Procuring Vehicles for Test Sample Group
- (i) For OBD II emission testing, the Executive Officer shall follow the same procurement policies used by the Executive Officer in accordance with title 13, CCR section 2137 to procure vehicles for in-use testing of vehicles for compliance with exhaust emission standards, with the exception that the Executive Officer shall modify the selection process (if necessary) to ensure proper selection of vehicles in accord with section (b)(3)(D)(i) below in lieu of the criteria in title 13, CCR section 2137.
  - (ii) For OBD II ratio testing, the Executive Officer shall follow the same procurement policies used by the Executive Officer in accordance with title 13, CCR section 2137 to procure vehicles for in-use testing of vehicles for compliance with exhaust emission standards, with the exception that the Executive Officer shall modify the selection process (if necessary) to ensure proper selection of vehicles in accord with section (b)(3)(D)(ii) below in lieu of the criteria in title 13, CCR section 2137.
  - (iii) For all other testing, the Executive Officer shall determine the appropriate procurement policy to be used in procuring vehicles for the test sample group based upon the nature of the noncompliance and the scope of the motor vehicle class. If the Executive Officer concludes that a reasonable basis exists to believe that a vehicle operator's driving or maintenance habits would not substantially impact test results to determine noncompliance, he or she may procure vehicle(s) by any means that assures effective collection and testing of vehicles (e.g., rental car agencies, fleet vehicles, etc.). In all cases, however, the selection process must ensure proper selection of vehicles in accord with section (b)(3)(D)(iii) below.
- (D) Vehicles to be included in a Test Sample Group.
- (i) In selecting vehicles to be included in a test sample group for enforcement OBD II emission testing, the Executive Officer shall include only vehicles that:
    - a. Are certified to the requirements of title 13, CCR section 1968.2 and California exhaust emission standards.
    - b. Are registered for operation in California.
    - c. Have an odometer reading ~~and age~~ that are is equal to or less than 75 percent of the certified full useful life mileage and have

an age of less than the certified full useful life age for the subject vehicles.

- d. Have no reasonably apparent evidence of tampering or being equipped with add-on or modified parts that would cause the OBD II system not to comply with the requirements of title 13, CCR section 1968.2.
  - e. Have no reasonably apparent indication of abuse (e.g., racing, overloading, misfueling) neglect, improper maintenance, or other factors that would cause the OBD II system not to comply with the requirements of title 13, CCR section 1968.2 or would have a permanent effect on exhaust emission performance.
  - f. Have no reasonably apparent detected or known malfunction(s) that would affect the performance of the OBD II system and are unrelated to the monitor or system being evaluated. At its discretion, the ARB may elect to repair a vehicle with a detected or known malfunction and then include the vehicle in the test sample group.
  - g. Have no reasonably apparent evidence of a major repair to the engine or major repair of the vehicle resulting from a collision.
  - h. Have no reasonably apparent indication of a problem that might jeopardize the safety of laboratory personnel.
- (ii) In selecting vehicles to be included in a test sample group for enforcement OBD II ratio testing, the Executive Officer shall include only vehicles that:
- a. Are certified to the requirements of title 13, CCR section 1968.2.
  - b. Have collected sufficient vehicle operation data for the monitor to be tested. For monitors required to meet the in-use monitor performance ratio and to track and report ratio data pursuant to title 13, CCR section 1968.2(d)(3.2), sufficient vehicle operation data shall mean the denominator meets the criteria set forth in paragraphs 1. and 2. below. For monitors required to meet the in-use monitor performance ratio but not required to track and report ratio data pursuant to title 13, CCR section 1968.2(d)(3.2), sufficient vehicle operation data shall mean that vehicles that otherwise meet the selection criteria set forth in sections (b)(3)(D)(ii)a., c., and d. have a denominator that meets the criteria set forth in paragraphs 1. and 2. below after undergoing testing as set forth in section (b)(4)(C)(ii) below. Specifically, the denominator, as defined in title 13, CCR section 1968.2(d)(4.3), for the monitor to be tested must have a value equal to or greater than:  
4.(i) 150 for evaporative system monitors, secondary air system monitors, and monitors utilizing a denominator incremented in accordance with title 13, CCR sections 1968.2(d)(4.3.2)(E) or (F) (e.g., cold start monitors, air conditioning system monitors, etc.), or

~~2.(ii)~~ 300 for catalyst, oxygen sensor, EGR, VVT, and all other component monitors.

c. Have no reasonably apparent evidence of tampering or being equipped with add-on or modified parts that would cause the OBD II system not to comply with the requirements of title 13, CCR section 1968.2.

d. Have an odometer reading and age that are less than the certified full useful life mileage and age for the subject vehicles.

(iii) In selecting vehicles to be included in a test sample group for enforcement testing of any other requirement of title 13, CCR section 1968.2 (not covered by sections (b)(3)(D)(i) or (ii) above), the Executive Officer shall include only vehicles that:

a. Are certified to the requirements of title 13, CCR section 1968.2.

b. Have no reasonably apparent evidence of tampering or being equipped with add-on or modified parts that would cause the OBD II system not to comply with the requirements of title 13, CCR section 1968.2.

c. Have no reasonably apparent detected or known malfunction(s) that would affect the performance of the OBD II system and are unrelated to the monitor or system being evaluated. At its discretion, the ARB may elect to repair a vehicle with a detected or known malfunction and then include the vehicle in the test sample group.

d. Have an odometer reading and age that are less than the certified full useful life mileage and age for the subject vehicles.

(iv) A manufacturer may request Executive Officer approval to remove a vehicle from the test sample group. The Executive Officer shall approve the request upon determining that the manufacturer has demonstrated that the vehicle does not meet one or more of the applicable criteria in sections (b)(3)(D)(i) through (iii) above. The Executive Officer may replace any vehicle removed with an additional vehicle selected in accordance with sections (b)(3)(C) and (D) above.

#### (4) Enforcement Testing Procedures

(A) Prior to conducting any testing under section (b)(4), the Executive Officer may replace components monitored by the OBD II system with components that are sufficiently deteriorated or simulated to cause malfunctions that exceed the malfunction criteria established pursuant to title 13, CCR section 1968.2(e) in a properly operating system. The Executive Officer may not use components deteriorated or simulated to represent failure modes that ~~are solely caused by vehicle operator action(s) beyond the vehicle manufacturer's control and that~~ could not have been foreseen to occur by the manufacturer (e.g., the use of leaded gasoline in an unleaded vehicle, etc.). Upon request by the Executive Officer, the manufacturer shall make available all test equipment (e.g., malfunction simulators, deteriorated "threshold"

- components, etc.) necessary to duplicate testing done by the manufacturer to determine the malfunction criteria used for major monitors subject to OBD II emission testing.
- (B) OBD II Emission Testing: After the test sample group has been selected and procured, the Executive Officer may perform one or more of the following tests:
- (i) Emission testing in accordance with the test procedures used by the Executive Officer for in-use testing of compliance with exhaust emission standards in accordance with title 13, CCR sections 2138 and 2139.
  - (ii) On-road or dynamometer testing with the vehicle being driven in a manner that reasonably ensures that all of the monitoring conditions disclosed in the manufacturer's certification application for the tested monitor are encountered.
- (C) OBD II Ratio Testing:
- (i) For OBD II ratio testing of monitors required to meet the in-use monitor performance ratio and to track and report ratio data pursuant to title 13, CCR section 1968.2(d)(3.2), after the test sample group has been selected and procured, the Executive Officer shall download the data from monitors required to track and report such data.
  - (ii) For OBD II ratio testing of monitors required to meet the in-use monitor performance ratio but not required to track and report ratio data pursuant to title 13, CCR section 1968.2(d)(3.2), after the test sample group has been selected and procured, the Executive Officer shall collect data by installing instrumentation or data-logging equipment on the vehicles. After installation of the equipment, the vehicles shall be returned to the vehicle owner/operator to continue to operate the vehicle until the minimum denominator criteria (see section (b)(3)(D)(ii)b.) is satisfied. The Executive Officer shall then calculate the ratio from the data collected in a manner that will allow the Executive Officer to effectively determine the in-use monitor performance ratio in accordance with the requirements of title 13, CCR section 1968.2(d)(3.2).
- (D) Testing for compliance with any other requirement of title 13, CCR section 1968.2: After the test sample group has been selected and procured, the Executive Officer may perform one or more of the following tests:
- (i) Emission testing on the applicable FTP cycle or other applicable emission test cycle used for measuring exhaust or evaporative emissions.
  - (ii) On-road or dynamometer testing with the vehicle being driven in a manner that reasonably ensures that all of the monitoring conditions disclosed in the manufacturer's certification application for the tested monitor are encountered.



- (iii) Any other testing determined to be necessary by the Executive Officer. This may include, but is not limited to, the use of special test equipment to verify compliance with standardization requirements.
- (5) Additional Testing.
  - (A) Based upon testing of the motor vehicle class in section (b)(4) above and after review of all evidence available at the conclusion of such testing, the Executive Officer may elect to conduct further testing of a subgroup of vehicles from the motor vehicle class if the Executive Officer has determined that:
    - (i) a subgroup of tested vehicles differs sufficiently enough from other vehicles in the tested motor vehicle class, and
    - (ii) a reasonable basis exists to believe that the identified differences may indicate that the subgroup may be nonconforming whereas the tested motor vehicle class as a whole is not.
  - (B) Hereinafter all references to motor vehicle class shall be applicable to the subgroup meeting the conditions of section (b)(5)(A) above.
  - (C) In any testing of a subgroup of vehicles under section (b)(5), the Executive Officer shall follow the vehicle selection and testing procedures set forth in sections (b)(3) and (4) above.
- (6) Finding of Nonconformance after Enforcement Testing.
 

After conducting enforcement testing pursuant to section (b)(4) above, the Executive Officer shall make a finding of nonconformance of the OBD II system in the identified motor vehicle class if:

  - (A) OBD II Emission Testing:
    - (i) Intermediate In-Use Thresholds. For 2004 through 2008 model year vehicles, the results of the OBD II emission tests indicate that 50 percent or more of the vehicles in the test sample do not properly illuminate the MIL when emissions exceed 2.0 times the FTP standards for malfunction criteria defined in title 13, CCR section 1968.2(e) that require MIL illumination at 1.5 or 1.75 times the FTP standards or 3.5 times the FTP standards for malfunction criteria defined in title 13, CCR section 1968.2(e) that require MIL illumination at 2.5 times the FTP standards.
    - (ii) For 2009 and subsequent model year vehicles, the results of the OBD II emission tests indicate that 50 percent or more of the vehicles in the test sample do not properly illuminate the MIL when the emission malfunction criteria defined in title 13, CCR section 1968.2(e) are exceeded.
  - ~~(A)~~(B) OBD II Ratio Testing:
    - (i) For 2004 ~~through 2008, 2005, and 2006~~ model year vehicles certified to a ratio of 0.100 in accordance with title 13, CCR section 1968.2(d)(3.2.1)(D), the data collected from the vehicles in the test sample indicate either that the average in-use monitor performance ratio for one or more of the monitors in the test sample group is less than 0.100 or that 66.0 percent or more of the vehicles in the test

sample group have an in-use monitor performance ratio of less than 0.100 for the same monitor.

- (ii) For 2006~~7~~ and subsequent model year vehicles certified to the ratios in title 13, CCR sections 1968.2(d)(3.2.1)(A) through (C), the data collected from the vehicles in the test sample indicate either that 66.0 percent or more of the vehicles in the test sample group have an in-use monitor performance ratio of less than the required minimum ratio defined in title 13, CCR section 1968.2(d)(3.2.1) for the same monitor or that the average in-use monitor performance ratio for one or more of the monitors in the motor vehicle class is less than the required minimum ratio defined in title 13, CCR section 1968.2(d)(3.2.1) as defined by determining the average in-use monitor performance ratio for one or more of the monitors in the test sample group is less than:
  - a. 0.230 for secondary air system monitors and other cold start related monitors utilizing a denominator incremented in accordance with title 13, CCR section 1968.2(d)(4.3.2)(E) (e.g., cold start strategy monitors, etc.);
  - b. For evaporative system monitors:
    - ~~1.~~(i) 0.230 for monitors designed to detect malfunctions identified in title 13, CCR section 1968.2(e)(4.2.2)(C) (i.e., 0.020 inch leak detection);
    - ~~2.~~(ii) 0.460 for monitors designed to detect malfunctions identified in title 13, CCR section 1968.2(e)(4.2.2)(A) and (B) (i.e., purge flow and 0.040 inch leak detection);
  - c. 0.297 for catalyst, oxygen sensor, EGR, VVT system, and all other monitors specifically required in section title 13, CCR section 1968.2(e) to meet the monitoring condition requirements of title 13, CCR section 1968.2(d)(3.2).

~~(B)~~(C) All Other OBD II Testing:

- (i) The results of the testing indicate that at least 30 percent of the vehicles in the test sample do not comply with the same requirement of title 13, CCR section 1968.2.
- (ii) If the finding of nonconformance under paragraph (b)(6)(C)(i) above concerns vehicles that do not comply with the requirements of title 13, CCR section 1968.2(d)(4) or (5) (e.g., numerators or denominators are not properly being incremented), it shall be presumed that the nonconformance would result in an OBD II ratio enforcement test result that would be subject to an ordered OBD II-related recall in accord with the criterion in section (c)(3)(A)(i). The manufacturer may rebut such a presumption by presenting evidence in accord with section (b)(7)(C)(iii) below that demonstrates to the satisfaction of the Executive Officer that the identified nonconformance would not result in an ordered OBD II-related recall under section (c)(3)(A)(i).

(7) Executive Officer Notification to the Manufacturer Regarding

## Determination of Nonconformance

- (A) Upon making the determination of nonconformance in section (b)(6) above, the Executive Officer shall notify the manufacturer in writing.
- (B) The Executive Officer shall include in the notice:
- (i) a description of each group or set of vehicles or engines in the motor vehicle class covered by the determination;
  - (ii) a factual basis for the determination, including a summary of the test results relied upon for the determination;
  - (iii) a statement that the Executive Officer shall provide to the manufacturer, upon request and consistent with the California Public Records Act, Government Code section 6250 et seq., all records material to the Executive Officer's determination; relevant information, including supporting test data, that the Executive Officer relied upon in making his or her determination;
  - ~~(ii)~~(iv) a provision allowing the manufacturer no less than 90 days from the date of issuance of the notice to provide the Executive Officer with any information contesting the findings set forth in the notice; and
  - ~~(iii)~~(v) a statement that if a final determination is made that the motor vehicle class is equipped with a nonconforming OBD II system, the manufacturer may be subject to appropriate remedial action, including recall and monetary penalties.
- (C) Within the time period set by the Executive Officer in section (b)(7)(B)(~~iv~~) and any extensions of time granted under section (b)(7)(H), the manufacturer ~~may~~ shall provide the Executive Officer with any test results, data, or other information that may rebut or mitigate the results of the ARB testing.
- (i) If the manufacturer elects to conduct additional testing of vehicles or engines and submit the results of such testing to the Executive Officer, the manufacturer shall also submit a detailed description of the procurement and test procedures used by the manufacturer in conducting such testing. ~~notify the Executive Officer before conducting such testing so that the Executive Officer may have the opportunity to review the testing protocol of the manufacturer, and witness the testing of vehicles.~~
  - (ii) If the manufacturer objects to the size of the test sample group or the method used to procure vehicles in the test sample group used by the Executive Officer pursuant to section (b)(3)(B)(iii) or (b)(3)(C)(iii), the manufacturer shall set forth what it considers to be the appropriate size and procurement method and the reasons therefore.
  - (iii) If the manufacturer elects to present evidence to overcome the presumption of nonconformance in section (b)(6)(C)(ii) above, the manufacturer shall demonstrate that the vehicles comply with in-use monitor performance ratio requirements of title 13, CCR section 1968.2(d)(3.2) by ~~following one of the following procedures:~~

- a. Presenting evidence in accord with the procurement and testing requirements of sections (b)(3) and (4).
- b. ~~Any other evidence that Requesting Executive Officer approval to use an alternate procedure to demonstrate compliance. The Executive Officer shall approve the alternate procedure if the manufacturer demonstrates that it would~~ provides an equivalent level of proof that vehicles operated in California ~~do~~ comply with the in-use monitor performance ratio.

(D) The requirements of section (b)(7)(C) above shall not be construed to abridge the manufacturer's right to assert any privilege or right provided under California law.

(E) Any information provided by the manufacturer to the Executive Officer after the time established by the Executive Officer for submission shall be considered by the Executive Officer only if the manufacturer could not have foreseen the need for the information or could not have generated the information during the time period provided.

~~(D)~~(F) After receipt of any information submitted by the manufacturer pursuant to section (b)(7)(C) above, the Executive Officer shall consider all information submitted by the manufacturer and may conduct any additional testing that he or she believes is necessary.

~~(E)~~(G) Final Determination:

- (i) Within 60 days after completing any additional testing that the Executive Officer deemed necessary under section (b)(7)~~(D)~~ above, the Executive Officer shall notify the manufacturer of his or her final determination regarding the finding of nonconformity of the OBD II system in the motor vehicle class. The determination shall be made after considering all of the information collected and received, including all information that has been received from the manufacturer.
- (ii) The notice must include a description of each test group(s), OBD II group(s), or subgroups thereof, that has been determined to have a nonconforming OBD II system and set forth the factual bases for the determination.

~~(F)~~(H) Extensions: The Executive Officer may for good cause extend the time requirements set forth in section (b)(7). In granting additional time to a manufacturer, the Executive Officer shall consider, among other things, any documentation submitted by the manufacturer regarding the time that it reasonably believes is necessary to conduct its own testing, why such information could not have been more expeditiously presented, and what effect any delay caused by granting the extension may have on effective enforcement and the health and welfare of the State.

(c) **Remedial Action**

(1) General Voluntary OBD II-Related Recalls

If a manufacturer initiates a voluntary OBD II-related recall campaign, the manufacturer shall notify the Executive Officer of the recall at least 45 days before owner notification is to begin. The manufacturer shall also submit a voluntary OBD II-related recall plan for approval, as prescribed under section (d)(1) below. A voluntary recall plan shall be deemed approved unless disapproved by the Executive Officer within 30 days after receipt of the recall plan.

(2) Influenced OBD II-Related Recalls.

(A) Upon being notified by the Executive Officer, pursuant to section (b)(7)(E), that a motor vehicle class is equipped with a nonconforming OBD II system, the manufacturer may, within 45 days from the date of service of such notification, elect to conduct an influenced **OBD II-related** recall of all vehicles within the motor vehicle class for the purpose of correcting the nonconforming OBD II systems. Upon such an election, the manufacturer shall submit an influenced OBD II-related recall plan for approval, as prescribed under section (d)(1) below~~follow the procedures set forth in sections (c)(2) and (d) below.~~

(B) If a manufacturer does not elect to conduct an influenced **OBD II-related** recall under section (c)(1)(A) above, the Executive Officer may order the manufacturer to undertake appropriate remedial action, up to and including the recall and repair of the nonconforming OBD II systems.

~~(2) Voluntary and Influenced OBD II-Related Recalls.~~

~~(A) If a manufacturer initiates a voluntary OBD II-related recall campaign, the manufacturer shall notify the Executive Officer of the recall at least 45 days before owner notification is to begin. The manufacturer shall also submit a voluntary OBD II-related recall plan for approval, as prescribed under section (d)(1) below.~~

~~(B) If a manufacturer initiates an influenced OBD II-related recall pursuant to section (c)(1)(A), the manufacturer shall submit a recall plan for approval, as prescribed under section (d)(1) below.~~

~~(C) A voluntary or influenced OBD II-related recall plan submitted under sections (c)(2)(A) and (B) above shall be approved by the Executive Officer pursuant to section (d)(1)(B) below.~~

(3) Ordered Remedial Action-Mandatory Recall.

(A) Except as provided in sections (c)(3)(B) and (C) below, ~~¶~~ the Executive Officer shall order the recall and repair of all vehicles and engines in a motor vehicle class that have been determined to be equipped with a nonconforming OBD II system if enforcement testing conducted pursuant to section (b) above or information received from the manufacturer indicates that:

(i) For 2007-2006 and subsequent model year vehicles certified to the ratios in title 13, CCR sections 1968.2 (d)(3.2.1)(A) through (C), the average in-use monitor performance ratio for one or more of the

major monitors in the test sample group is less than or equal to 33.0 percent of the applicable required minimum ratio established in title 13, CCR section 1968.2(d)(3.2.1) (e.g., if the required ratio is 0.336, less than or equal to a ratio of 0.111) or 66.0 percent or more of the vehicles in the test sample group have an in-use monitor performance ratio of less than or equal to 33.0 percent of the applicable required minimum ratio established in title 13, CCR section 1968.2(d)(3.2.1) for the same major monitor. For 2004, ~~2005, and 2006~~ through 2008 model year vehicles certified to the 0.100 ratio in title 13, CCR section 1968.2 (d)(3.2.1)(D), the Executive Officer shall determine the remedial action for nonconformances regarding the in-use monitor performance ratio in accordance with section (c)(~~34~~)(B) below.

- (ii) When the vehicle is tested on-road and driven so as to reasonably encounter all monitoring conditions disclosed in the manufacturer's certification application, ~~a major monitor~~ the OBD II system (other than the monitors for misfire causing catalyst damage and the evaporative system) is unable to detect and illuminate the MIL for a malfunction of ~~the monitored a~~ component/system monitored by a major monitor (other than the monitors for misfire causing catalyst damage and the evaporative system) prior to emissions exceeding two times the malfunction criteria of title 13, CCR section 1968.2(e) ~~by an additional amount equal to 1.5 times the applicable FTP standard~~ (e.g., if the malfunction criteria is 1.75 times the applicable FTP standard, recall would be required when emissions exceed 3.50 times the applicable FTP standard). Additionally, for the first two years that a new major monitor is required (e.g., 2006 and 2007 model year for cold start strategy monitoring in title 13, CCR section (e)(11)), the Executive Officer shall use three times the malfunction criteria in lieu of two times the malfunction criteria (e.g., if the malfunction criteria is 1.5 times the applicable FTP standard, recall would be required when emissions exceed 4.5 times the applicable FTP standard). For purposes of the emission exceedance determination, carbon monoxide (CO) emissions are not considered.
- (iii) The monitor for misfire causing catalyst damage is unable to properly detect and illuminate the MIL for misfire rates that are more than 20 percentage points greater than the misfire rates disclosed by the manufacturer in its certification application as causing catalyst damage (e.g., if the disclosed misfire rate is 12 percent, recall would be required if the misfire rate is greater than 32 percent without proper detection).
- (iv) When the vehicle is tested on-road and driven so as to reasonably encounter all monitoring conditions disclosed in the manufacturer's certification application, the evaporative system monitor is unable to detect and illuminate the MIL for a cumulative leak or leaks in the



evaporative system equivalent to that caused by an orifice with a diameter of at least 1.5 times the diameter of the required orifice in title 13, CCR section 1968.2(e)(4.2.2)(C).

- (v) When the vehicle is tested on-road and driven so as to reasonably encounter all monitoring conditions disclosed in the manufacturer's certification application, the OBD II system cannot detect and illuminate the MIL for a malfunction of a non-major monitor component that effectively disables a major monitor and the major monitor, by being disabled, meets the criteria for recall identified in sections (c)(3)(A)(ii) or (iv) above (e.g. is unable to detect and illuminate the MIL for malfunctions that cause FTP emissions to exceed the malfunction criteria by an additional amount equal to or greater than 1.5 times the applicable FTP standard).
- (vi) The motor vehicle class cannot be tested so as to obtain valid test results in accordance with the procedures of the California Inspection & Maintenance (I/M) program applicable at the time of vehicle certification due to the nonconforming OBD II system. If the I/M test procedures have been amended within two years prior to the time of certification, the motor vehicle manufacturer may elect to use the preceding procedures.

(B) A motor vehicle class shall not be subject to mandatory recall if the Executive Officer determines that, even though a monitor meets a criterion set forth in section (c)(3)(A)(i)-(vi) for mandatory recall, the OBD II system can still detect and illuminate the MIL for all malfunctions monitored by the nonconforming monitor (e.g., monitor "A" is non-functional but monitor "B" is able to detect all malfunctions of the component(s) monitored by monitor "A"). In such a case, the Executive Officer may still find that the manufacturer is subject to remedial action pursuant to section (c)(4) below.

(C) A motor vehicle class shall not be subject to mandatory recall if the Executive Officer determines that a monitor meets a criterion set forth in section (c)(3)(A)(i)-(vi) solely due to a failure or deterioration mode of a monitored component or system that could not have been foreseen to occur by the manufacturer.

#### (4) Other Ordered Remedial Action

(A) If the Executive Officer has determined based upon enforcement testing conducted pursuant to section (b) above or information received from the manufacturer that a motor vehicle class is equipped with a nonconforming OBD II system and the nonconformance does not fall within the provisions of section (c)(3)(A) above, he or she may require the manufacturer to undertake remedial action up to and including recall of the affected motor vehicle class.

(B) In making his or her findings regarding remedial action, the Executive Officer shall consider the capability of the OBD II system to properly function. This determination shall be based upon consideration of all

relevant circumstances including, but not limited to, those set forth below.

- ~~(vii)~~(i) Whether the manufacturer identified and informed the ARB about the nonconformance(s) or whether the ARB identified the nonconformance(s) prior to being informed by manufacturer.
- ~~(viii)~~(ii) The number of nonconformances.
- ~~(ix)~~(iii) If the identified nonconformance(s) is with a major monitor(s), the nature and extent of the nonconformance(s), including:
  - a. the degree to which the in-use monitor performance ratio(s) is below the required ratio(s) specified in title 13, CCR section 1968.2(d)(3.2.1), and
  - b. the amount of the emission exceedance(s) over the established malfunction criteria set forth in title 13, CCR section 1968.2(e) before a malfunction is detected and the MIL is illuminated.
- ~~(x)~~(iv) If the identified nonconformance(s) is with a non-major monitor the nature and extent of the nonconformance(s), including:
  - a. the degree to which the in-use monitor performance ratio(s) (where applicable) is below the required ratio(s) specified in title 13, CCR section 1968.2(d)(3.2.1),
  - b. the degree to which the monitored component must be malfunctioning or exceed the established malfunction criteria set forth in title 13, CCR section 1968.2(e) before a malfunction is detected and the MIL is illuminated, and
  - c. the effect that the nonconformance(s) has on the operation of a major monitor(s).
- ~~(xi)~~(v) The impact of the nonconformance on vehicle owners (e.g., cost of future repairs, driveability, etc.) and the ability of the service and repair industry to make effective repairs (e.g., difficulty in accessing fault information, diagnosing the root cause of a failure, etc.).
- ~~(xii)~~(vi) The degree to which the identified nonconformance(s) complicates, interferes with, disrupts, or hampers a service technician's ability to follow California I/M testing protocol when performing a California I/M inspection.
- ~~(xiii)~~(vii) The failure of the data link connector of the motor vehicle class to meet the requirements of title 13, CCR section 1968.2(f)(2).
- ~~(xiv)~~(viii) The failure of the PCV system in a motor vehicle class to comply with the requirements of title 13, CCR section 1968.2(e)(9).
- ~~(xv)~~(ix) The failure of the cooling system monitor in a motor vehicle class to properly verify that the cooling system reaches the highest enable temperature used for any other monitor when the vehicle is operated in the monitoring conditions disclosed in the manufacturer's certification application, or failure to comply with any requirement in title 13, CCR section 1968.2(e)(10).
- ~~(xvi)~~(x) The estimated frequency that a monitor detects a malfunction and illuminates the MIL when no component malfunction is present (i.e., false MILs).

~~(xvii)~~(xi) The estimated frequency that a monitor fails to detect a malfunction and illuminate the MIL when the monitoring conditions, as set forth in the manufacturer's approved certification application, have been satisfied and a faulty or deteriorated monitored component is present (i.e., false passes).

~~(xviii)~~(xii) Whether the manufacturer submitted false, inaccurate, or incomplete documentation regarding the identified nonconformance at the time of certification pursuant to title 13, CCR section 1968.2(h) and the extent to which the false, inaccurate, or incomplete documentation was material to the granting of certification.

(C) In making the determination, the average tailpipe and evaporative emissions of vehicles within the affected motor vehicle class shall not be considered.

~~(4)~~(5) Assessment of Monetary Penalties.

The Executive Officer may seek penalties pursuant to the applicable provisions of the Health and Safety Code for violations of the requirements of title 13, CCR section 1968.2 or for production vehicles otherwise failing to be equipped with OBD II systems that have been certified by the ARB. In determining the penalty amounts that the ARB may seek, the Executive Officer shall consider all relevant circumstances including, ~~but not limited to, mitigation factors and~~ the factors set forth below:

- (A) Whether the manufacturer self-reported the nonconformity or the ARB discovered the nonconformity independent of the manufacturer.
- (B) The nature and degree of the nonconformity and whether the manufacturer should reasonably have discovered the nonconformity and taken corrective action by voluntary OBD II-related recall or running changes during the production year.
- (C) The economic benefits, if any, gained by the manufacturer from not complying with the provisions of title 13, CCR section 1968.2.
- (D) The manufacturer's history of compliance with the OBD II requirements.
- (E) The preventative efforts taken by the manufacturer to avoid noncompliance, including any programs followed by the manufacturer to ensure compliance.
- (F) The manufacturer's efforts to correct the nonconformity once it was identified.
- (G) The innovative nature and magnitude of effort, including the cost of any other proposed remedial action, necessary to correct the nonconformity.

~~(H) The cooperation of the manufacturer during the course of the investigation and any action taken by the manufacturer, including the nature, extent, and time of response of any action taken to mitigate the violation.~~

~~(I)~~(H) The deterrent effect of the penalty.

~~(J)~~(I) Whether the manufacturer has failed to provide complete and

accurate information required to be submitted at the time of certification pursuant to title 13, CCR section 1968.2(h).

~~(K)~~(J) The nature and degree that OBD II systems on production vehicles differ from the systems that have been certified by the ARB.

~~(5)~~(6) Notice to Manufacturer [for an Ordered Remedial Action](#).

(A) The Executive Officer shall immediately notify the manufacturer upon the Executive Officer determining the type of remedial action to be taken.

(B) For remedial actions other than the assessment of monetary penalties, the notice must:

(i) specifically set forth the remedial action that is being ordered,

(ii) include a description of the test group(s), OBD II group(s), or subgroup(s) thereof, that has been determined to have a nonconforming OBD II system,

(iii) set forth the factual bases for the determination, and

(iv) designate a date at least 45 days from the date of receipt of such notice by which the manufacturer shall submit a plan, pursuant to section (d)(1) below, outlining the remedial action to be undertaken consistent with the Executive Officer's order. Except as provided in paragraph (c)(~~67~~)([BC](#)) below, all plans shall be submitted to the Chief, Mobile Source Operations Division, 9528 Telstar Avenue, El Monte, California 91731, within the time limit specified in the notice. The Executive Officer may grant the manufacturer an extension of time for good cause.

(C) For cases in which the ARB elects to seek monetary penalties pursuant to authority granted under the Health and Safety Code, the Executive Officer shall issue a notice to the manufacturer that he or she will be filing a complaint in the appropriate administrative or civil court forum seeking penalties against the manufacturer for violations of title 13, CCR section 1968.2. The notice must include a description of the test group(s), OBD II group(s), or subgroup(s) thereof, that have been determined to have a nonconforming OBD II system and set forth the factual bases for the determination.

~~(6)~~(7) Availability of Public Hearing to Contest Remedial Actions Other than [Determination to Seek Monetary Penalties](#) [Assessments](#).

(A) Within 45 days from the date of receipt of the notice that is required under section (c)(~~45~~) above, the manufacturer may request a public hearing pursuant to the procedures set forth in title 17, CCR section 60055, et seq., to contest the findings of nonconformity, the necessity for, or the scope of any ordered remedial action.

(B) [Notwithstanding the provisions of title 17, CCR section 60055\(a\)\(1\), administrative hearings conducted pursuant to a request filed under section \(c\)\(7\)\(A\) above shall be referred to the Office of Administrative Hearings, which shall follow the procedures established in title 17, CCR section 60055, et seq.](#)

~~(B)~~(C) If a manufacturer requests a public hearing pursuant to section (c)(~~67~~)(A) above and if the Executive Officer's determination of nonconformity is confirmed at the hearing, the manufacturer shall submit the required remedial action plan in accordance with section (d)(1) below within 30 days after receipt of the Board's decision.

**(d) Requirements for Implementing Remedial Actions**

**(1) Remedial Action Plans.**

- (A) A manufacturer initiating a remedial action ~~campaign~~ (voluntary, influenced, or ordered), other than payment of monetary penalties, shall develop a remedial action plan that contains the following information, unless otherwise specified:
- (i) A description of each test group, OBD II group, or subgroup thereof covered by the remedial action, including the number of vehicles or engines, the engine families, test groups, or subgroups within the identified class(es), the make(s), model(s), and model years of the covered vehicles and engines, and such other information as may be required to identify the covered vehicles or engines.
  - (ii) A description of the nonconforming OBD II system and, in the case of a recall (whether voluntary, influenced, or ordered), the specific modifications, alterations, repairs, adjustments, or other changes to correct the nonconforming OBD II system, including data and/or engineering evaluation supporting the specific corrections.
  - (iii) A description of the method ~~and schedule~~ that the manufacturer will use to determine the names and addresses of vehicle or engine owners and the manufacturer's method and schedule for notifying the service facilities and vehicle or engine owners ~~them~~ of the remedial action.
  - (iv) A copy of all instructions that the manufacturer will use to notify service facilities about the required remedial action and the specific corrections, if any, that will be required to be made to the nonconforming OBD II systems.
  - (v) A description of the procedure to be followed by vehicle or engine owners to obtain remedial action for the nonconforming OBD II system. This must include the date, on or after which the owner can have required remedial action performed, the time reasonably necessary to perform the labor to remedy the nonconformity, and the designation of facilities at which the nonconformity can be remedied.
  - (vi) If some or all of the nonconforming OBD II systems are to be remedied by persons other than dealers or authorized warranty agents of the manufacturer, a description of such class of service agents and what steps, including a copy of all instructions mailed to such service agents, the manufacturer will take to assure that such agents are prepared and equipped to perform the proposed remedial action.

- (vii) A copy of the letter of notification to be sent to vehicle or engine owners.
  - (viii) A proposed schedule for implementing the remedial action, including identified increments of progress towards full implementation.
  - (ix) A description of the method that the manufacturer will use to assure that an adequate supply of parts will be available to initiate the remedial action campaign on the date set by the manufacturer and that an adequate supply of parts will continue to be available throughout the campaign.
  - (x) A description and test data of the emission impact, if any, that the proposed remedial action may cause to a representative vehicle or engine from the motor vehicle class to be remedied.
  - (xi) A description of the impact, if any, and supporting data and/or engineering evaluation, that the proposed remedial action will have on fuel economy, driveability, performance, and safety of the motor vehicle class covered by the remedial action.
  - (xii) Any other information, reports, or data which the Executive Officer may reasonably determine to be necessary to evaluate the remedial action plan.
- (B) Approval and Implementation of Remedial Action Plans.
- (i) If the Executive Officer finds that the remedial action plan is designed effectively to address the required remedial action and complies with the provisions in section (d)(1)(A) above, he or she shall notify the manufacturer in writing within 30 days of receipt of the plan that the plan has been approved.
  - (ii) The Executive Officer shall approve a voluntary, influenced, or ordered remedial action plan if the plan contains the information specified in section (d)(1)(A) above and is designed to notify the vehicle or engine owner and implement the remedial action in an expeditious manner.
  - (iii) In disapproving an ordered remedial action plan, the Executive Officer shall notify the manufacturer in writing of the disapproval and the reasons for the determination. The manufacturer shall resubmit a revised remedial action plan that fully addresses the reasons for the Executive Officer's disapproval within 10 days of receipt of the disapproval notice.
  - (iv) Upon receipt of the [ordered remedial action](#) approval notice from the Executive Officer, the manufacturer shall, within 45 days of receipt of the notice, begin to notify vehicle or engine owners and implement the remedial action campaign.
  - (v) If the Executive Officer disapproves a voluntary or influenced remedial action plan, the manufacturer shall either accept the proposed modifications to the plan as suggested by the Executive Officer, [resubmit a revised remedial action plan that fully addresses the reasons for the Executive Officer's disapproval within 30 days,](#)



or be subject to an Executive Officer order that the manufacturer undertake appropriate remedial action pursuant to section (c)(1)(B) above.

(vi) Upon receipt of the voluntary or influenced remedial action approval notice from the Executive Officer, the manufacturer shall begin to notify vehicle or engine owners and implement the remedial action campaign according to the schedule indicated in the remedial action plan.

(2) Eligibility for Remedial Action.

(A) The manufacturer may not condition a vehicle or engine owner's eligibility for remedial action required under section 1968.5 on the proper maintenance or use of the vehicle or engine.

(B) ~~Subject to Executive Officer approval, t~~The manufacturer ~~may~~shall not be obligated to ~~perform the remedial action on a vehicle repair a component~~ which has been modified or altered such that the remedial action cannot be performed without additional cost.

(3) Notice to Owners.

(A) The manufacturer shall notify owners of vehicles or engines in the motor vehicle class covered by the remedial order. The notice must be made by first-class mail or by such other means as approved by the Executive Officer. When necessary, the Executive Officer may require the use of certified mail for ordered remedial actions to assure effective notification.

(B) The manufacturer shall use all reasonable means necessary to locate vehicle or engine owners, including motor vehicle registration lists available from the California Department of Motor Vehicles and commercial sources such as R.L. Polk & Co.

(C) The notice must contain the following:

(i) For ordered remedial actions, a statement: "The California Air Resources Board has determined that your (vehicle or engine) (is or may be) equipped with an improperly functioning on-board emission-related diagnostic system that violates established standards and regulations that were adopted to protect your health and welfare from the dangers of air pollution."

(ii) For voluntary and influenced remedial actions, a statement: "Your (vehicle or engine) (is or may be) equipped with an improperly functioning on-board emission-related diagnostic system that violates (California or California and Federal) standards and regulations" if applicable as determined by the Executive Officer.

~~(ii)~~(iii) A statement that the nonconformity of any such vehicles or engines will be remedied at the expense of the manufacturer. "the (name of motor vehicle manufacturer) will, at its expense, be taking the following remedial action (describe) to redress the problems that have been identified with the improperly functioning emission control system."

- ~~(iii)~~(iv) A statement that eligibility for remedial action may not be denied solely on the basis that the vehicle or engine owner used parts not manufactured by the original equipment vehicle manufacturer, or had repairs performed by outlets other than the vehicle or engine manufacturer's franchised dealers.
- ~~(iv)~~(v) Instructions to the vehicle or engine owners on how to obtain remedial action, including instructions on whom to contact (i.e., a description of the facilities where the vehicles or engines should be taken for the remedial action), the first date that a vehicle or engine may be brought in for remedial action, and the time that it will reasonably take to correct the nonconformity.
- ~~(v)~~(vi) The statement: "In order to assure your full protection under the emission warranty provisions, it is recommended that you have ~~the required remedial action performed on~~ your (vehicle or engine) ~~serviced (at the time and date indicated or, in the case of recall, as soon as possible)~~. Failure to do so could be determined as lack of proper maintenance of your (vehicle or engine)."
- ~~(vi)~~(vii) A telephone number for vehicle and engine owners to call to report difficulty in obtaining remedial action.
- ~~(vii)~~(viii) A card to be used by a vehicle or engine owner in the event the vehicle or engine to be recalled has been sold. Such card should be addressed to the manufacturer, have postage paid, and shall provide a space in which the owner may indicate the name and address of the person to whom the vehicle or engine was sold or transferred.
- ~~(viii)~~(ix) If the remedial action involves recall, the notice must also provide:
- a. A clear description of the components that will be affected by the remedial action and a general statement of the measures to be taken to correct the nonconformity.
  - b. A statement that such nonconformity, if not corrected, may cause the vehicle or engine to fail an emission inspection or I/M smog check test.
  - c. A statement describing the adverse effects, if any, of an uncorrected nonconforming OBD II system on the performance, fuel economy, or durability of the vehicle or engine.
  - d. A statement that after remedial action has been taken, the manufacturer will have the service facility issue a certificate showing that a vehicle has been corrected under the recall program, and that such a certificate will be required to be provided to the Department of Motor Vehicles as a condition for vehicle registration.
- (D) A notice sent pursuant to this section or any other communication sent to vehicle or engine owners or dealers may not contain any statement, expressed or implied, that the OBD II system is compliant or that the OBD II system will not degrade air quality.

- (E) The Executive Officer shall inform the manufacturer of any other requirements pertaining to the notification under section (d)(3) which the Executive Officer has determined as reasonable and necessary to assure the effectiveness of the recall campaign.
- (4) Label Indicating that Recall Repairs Have Been Performed.
- (A) If the required remedial action involves recall of a test group(s), OBD II group(s), or subgroup(s) thereof, the manufacturer shall require those who perform inspections and/or recall repairs to affix a label to each vehicle or engine that has been inspected and/or repaired.
- (B) The label must be placed in a location approved by the Executive Officer and must be fabricated of a material suitable for such location in which it is installed and which is not readily removable.
- (C) The label must contain the remedial action campaign number and a code designating the facility at which the remedial action or inspection to determine the need for remedial action was performed.
- (5) Proof of Performance of Remedial Action Certificate.
- If the required remedial action involves a recall, the manufacturer shall provide, through its service agents, to owners of vehicles or engines that have had the remedial action performed a certificate that confirms that the vehicle has been recalled and that required inspection and/or repairs have been performed. The certificate must be in a format prescribed by the Executive Officer, [however, the Executive Officer may not require a format different in any way from the format of the certificate required in title 13, CCR sections 2117 and 2129.](#)
- (6) Record Keeping and Reporting Requirements.
- (A) The manufacturer shall maintain sufficient records to enable the Executive Officer to conduct an analysis of the adequacy of the remedial action.
- (B) Unless otherwise specified by the Executive Officer, the manufacturer shall report on the progress of the remedial action campaign by submitting reports for eight consecutive quarters commencing with the quarter immediately after the recall campaign begins. The reports shall be submitted no later than 25 days after the close of each calendar quarter to: Chief, Mobile Source Operations Division, 9528 Telstar Avenue, El Monte, California 91731. For each [test group within the motor vehicle class subject to the emission](#)-recall campaign, the quarterly report must contain the following:
- (i) The [test group and the](#) remedial action campaign number designated by the manufacturer and a brief description of the nature of the campaign.
- (ii) The date owner notifications began and date completed.
- (iii) The number of vehicles or engines involved in the remedial action campaign.
- (iv) The number of vehicles or engines known or estimated to be equipped with the nonconforming OBD II system and an explanation of the means by which this number was determined.

- (v) The number of vehicles or engines inspected ~~during the reporting period and~~ during the campaign since its inception.
- (vi) The number of vehicles or engines found to be affected by the nonconformity during the campaign since its inception.
- ~~(vii)~~(vii) The number of vehicles or engines receiving remedial action ~~during the reporting period and~~ during the campaign since its inception.
- ~~(vii)~~(viii) The number of vehicles or engines determined to be unavailable for inspection or remedial action, ~~during the most recent reporting period and~~ during the campaign since its inception, due to exportation, theft, scrapping, or other reasons (specify).
- ~~(viii)~~(ix) The number of vehicles or engines, ~~during the most recent reporting period and~~ during the campaign since its inception, determined to be ineligible for remedial action under section (d)~~(42)~~(B).
- ~~(ix)~~(x) An initial list, using the following data elements and designated positions, indicating all vehicles or engines subject to recall that the manufacturer has not been invoiced for, or a subsequent list indicating all vehicles subject to the recall that the manufacturer has been invoiced for since the previous report~~informed of being corrected as of the end of the reporting period~~. The list must be supplied in a standardized computer format to be specified by the Executive Officer. The data elements must be written in "ASCII" code with out a comma separating each element. For example: XTY32A7,1234,E-9456,1234,08-25-91,A. The add/~~delete~~ flag (see below) should reflect the vehicles or engines for which the manufacturer has not been invoiced and the delete flag should reflect changes in the quarterly updates since the previous report. The Executive Officer may change the frequency of this submittal depending on the needs of enforcement. The Executive Officer may not, however, require a frequency or format for this submittal that is different in any way from the frequency or format determined by the Executive Officer as required for reporting of data in title 13, CCR sections 2119(a)(10) and 2133(a)(10).

<u>Data Elements</u>	<u>Positions</u>
• File Code (designated by DMV)	1
• License Plate Number	2-8
• Last three VIN positions	9-11
• Recall ID Number	12-17
• Mfg. ID Number (Mfg. Occupational License Number)	18-22
• Recall Start Date (mmddyyyy)	23-30
• Add or Delete Flag (A/D)	31
• Complete VIN if personalized license plate (File Code "L" or "S")	32-48

- ~~(x)~~(xi) A copy of any service bulletins issued during the reporting period by the manufacturer to franchised dealerships or other service agents that relate to the nonconforming OBD II system and the remedial action and have not previously been reported to the Executive Officer.
- ~~(x)~~(xii) A copy of all communications transmitted to vehicle or engine owners that relate to the nonconforming OBD II systems and the required remedial action and have not been previously reported to the Executive Officer.
- (C) If the manufacturer determines that any of the information submitted to the Executive Officer pursuant to section (d) has changed or is incorrect, the manufacturer shall submit the revised information, with an explanation.
- (D) The manufacturer shall maintain in a form suitable for inspection, such as computer information, storage devices, or card files, and shall make available to the Executive Officer or his or her authorized representative upon request, the names and addresses of vehicle or engine owners:
- (i) To whom notification was sent;
  - (ii) Whose vehicles or engines were repaired or inspected under the recall campaign;
  - (iii) Whose vehicles or engines were determined not to be eligible for remedial action because the vehicles or engines were modified, altered, or unavailable due to exportation, theft, scrapping, or other reason specified in the answer to sections (d)(86)(B)(viii) and (ixviii).
- (E) The information gathered by the manufacturer to compile the reports required by these procedures must be retained for no less than one year beyond the useful life of the vehicles or engines and must be made available to authorized personnel of the ARB upon request.
- (F) The filing of any report under the provisions of these procedures must not affect the manufacturer's responsibility to file reports or

applications, obtain approval, or give notice under any other provisions of law.

(7) Extension of Time

Upon request of the manufacturer, the Executive Officer may extend any deadline set forth in section 1968.5(d) upon finding that the manufacturer has demonstrated good cause for the requested extension.

**(e) Penalties for Failing to Comply with the Requirements of Section (d).**

(1) In addition to the penalties that may be assessed by the Executive Officer pursuant to section (c) because of a manufacturer's failure to comply with the requirements of title 13, CCR section 1968.2, a manufacturer may be subject to penalties for failing to comply with the requirements of section (d).

(2) If a manufacturer fails to comply with a voluntary or influenced remedial action plan, the Executive Officer may order remedial action pursuant to section (c) above.

NOTE: Authority cited: Sections 39600, 39601, 43000.5, 43013, 43016, 43018, 43100, 43101, 43104, 43105, 43105.5, 43106, 43154, 43211, and 43212, Health and Safety Code. Reference: Sections 39002, 39003, 39010-39060, 39600-39601, 39515, 43000, 43000.5, 43004, 43006, 43013, 43016, 43018, 43100, 43101, 43102, 43104, 43105, 43105.5, 43106, 43150-43156, 43204, 43211, and 43212, Health and Safety Code.