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Legal Disclaimer

The "Covered by Cap-and-Trade?" filter in the Greenhouse Gas Emissions Analytical and Visualization Tool (Tool) is not intended to, nor does it, include every entity subject to (or "covered by") the California Cap on Greenhouse Gas Emissions and Market-Based Compliance Mechanisms Regulation (Cap-and-Trade Regulation; title 17, California Code of Regulations (CCR), §§ 95801-96022). The Cap-and-Trade Regulation requires entities across a range of sectors, including industrial facilities, electricity generators and importers, and suppliers of transportation fuels and natural gas, to acquire and submit compliance instruments sufficient to cover their reported and verified greenhouse Gas Emissions; 17 CCR § 95100 *et seq.*), if their emissions exceed 25,000 metric tons of carbon dioxide equivalent (MTCO2e) in any emissions year. In some situations, the Cap-and-Trade Regulation also covers entities whose emissions exceeded this threshold in previous years and allows other entities to voluntarily opt-in to be covered.

The Tool is intended to portray only those stationary source facilities (not electricity importers or suppliers of transportation fuels or natural gas) that are subject to the Cap-and-Trade Regulation and are located within California. As such, the "Covered by Cap-and-Trade?" filter is not an exhaustive list of all entities covered by the Cap-and-Trade Regulation. Moreover, the list of facilities covered by the Cap-and-Trade Regulation used in the Tool reflects only those facilities that were covered in a given year, based on previous years' emissions data and other specific requirements of the Cap-and-Trade Regulation. (*See for example*, section 95812(b) of the Cap-and-Trade Regulation). For instance, an entity may be covered by the Cap-and-Trade Regulation in 2013 because its emissions exceeded 25,000 MTCO2e in 2012, even if its reported and verified GHG emissions were below that threshold in 2013. A facility under this scenario would still be shown in the list for 2013. Furthermore, some facilities may have opted into the Cap-and-Trade Program even if their emissions for any year are below 25,000 MTCO2e.

The Tool is intended to be used as a general guide to identify Cap-and-Trade Program facilities in California. Even if a facility is not included on the 2013 list, that facility may still have a compliance obligation under the Cap-and-Trade Regulation. Entities and their associated facilities must review the Mandatory Reporting and Cap-and-Trade Regulations to ensure they are in full compliance. A complete list of entities with a compliance obligation under the Cap-and-Trade Regulation as of November 2014 (for their 2013 emissions), including those with associated facilities (shown per ARB GHG ID) and electricity generators and importers, is posted at http://www.arb.ca.gov/cc/capandtrade/2013compliancereport.xlsx. This table will be updated annually for each emissions year.

Further detail on the Mandatory Reporting Regulation is available here: http://www.arb.ca.gov/cc/reporting/ghg-rep/ghg-rep.htm. Further detail on the Cap-and-Trade Regulation is available here: http://www.arb.ca.gov/cc/capandtrade/capandtrade.htm

The energy challenge facing California is real. Every Californian needs to take immediate action to reduce energy consumption. For a list of simple ways you can reduce demand and cut your energy costs, see our website: <u>http://www.arb.ca.gov</u>.

California Environmental Protection Agency